

## Experimental design of fMRI studies & Resting-State fMRI

Sandra Iglesias

**With many thanks for slides & images to:**

Klaas Enno Stephan,  
FIL Methods group,  
Christian Ruff



**University of  
Zurich** UZH

**ETH**

Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich



Translational Neuromodeling Unit

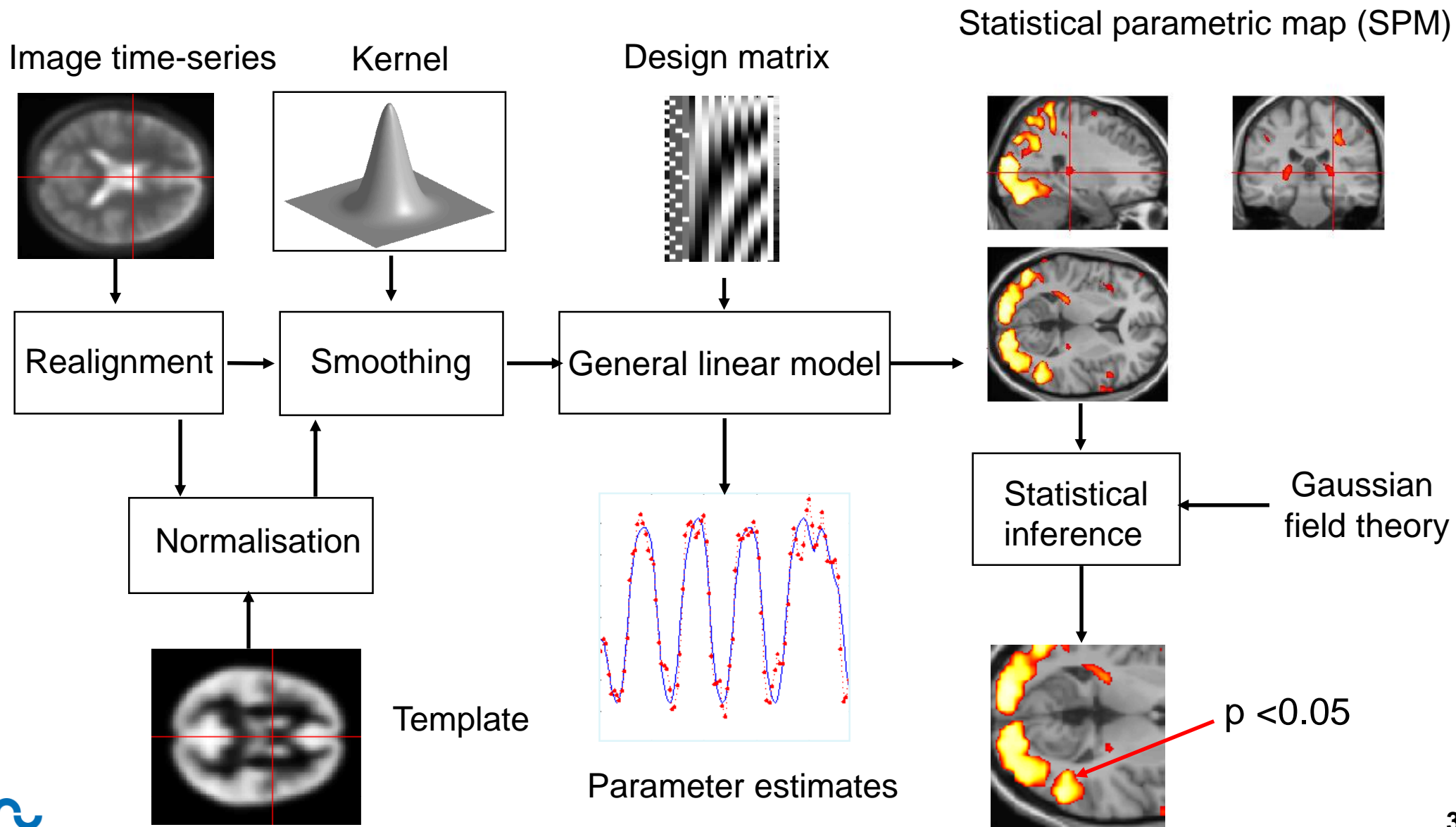
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# Overview of SPM



# Overview of SPM

**Research question:**  
Which neuronal structures support face recognition?



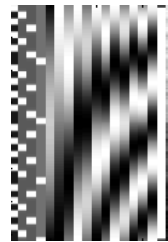
**Hypothesis:**  
The fusiform gyrus is implicated in face recognition



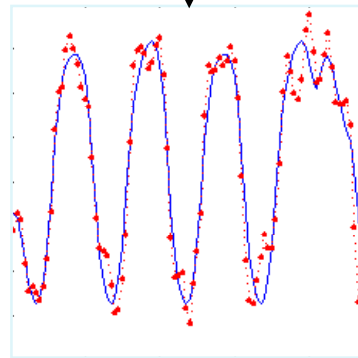
**Experimental design**



Design matrix

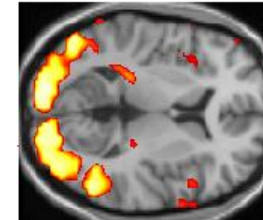
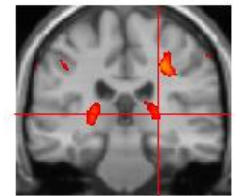
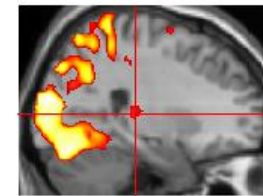


General linear model



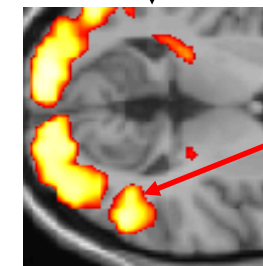
Parameter estimates

Statistical parametric map (SPM)



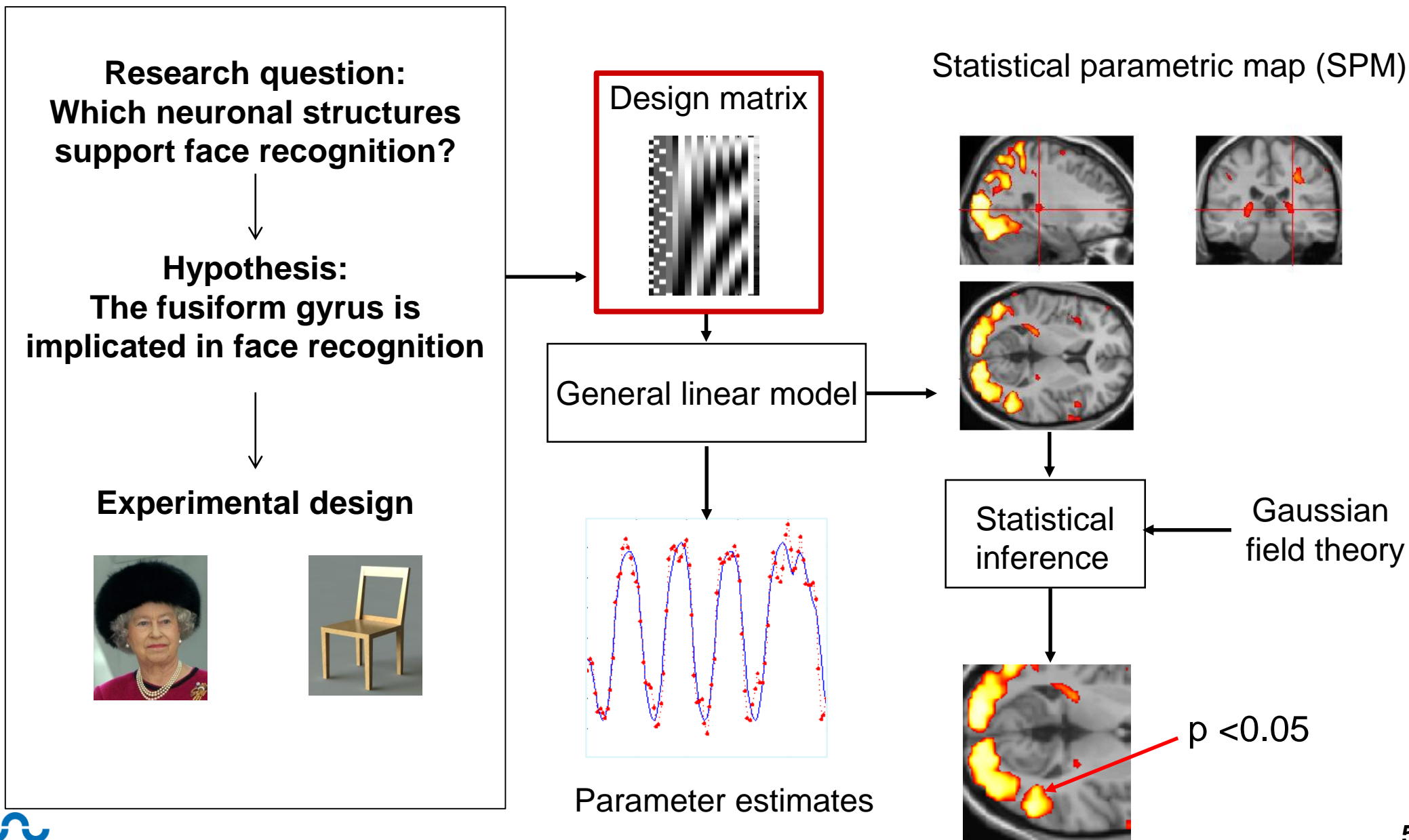
Statistical inference

Gaussian field theory



$p < 0.05$

# Overview of SPM



- Categorical designs

- Subtraction      - Pure insertion, evoked / differential responses
- Conjunction      - Testing multiple hypotheses

- Parametric designs

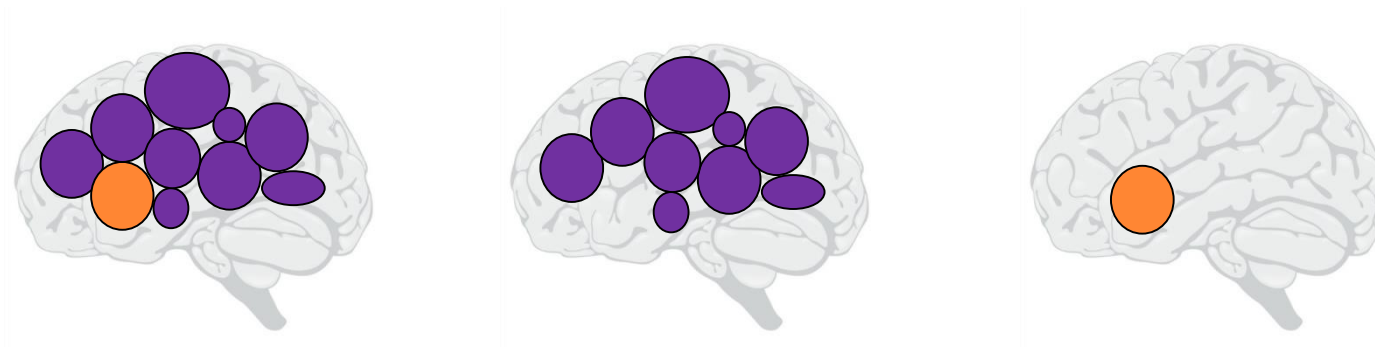
- Linear              - Adaptation, cognitive dimensions
- Nonlinear          - Polynomial expansions, neurometric functions

- Factorial designs

- Categorical      - Interactions and pure insertion
- Parametric        - Linear and nonlinear interactions
- Psychophysiological Interactions

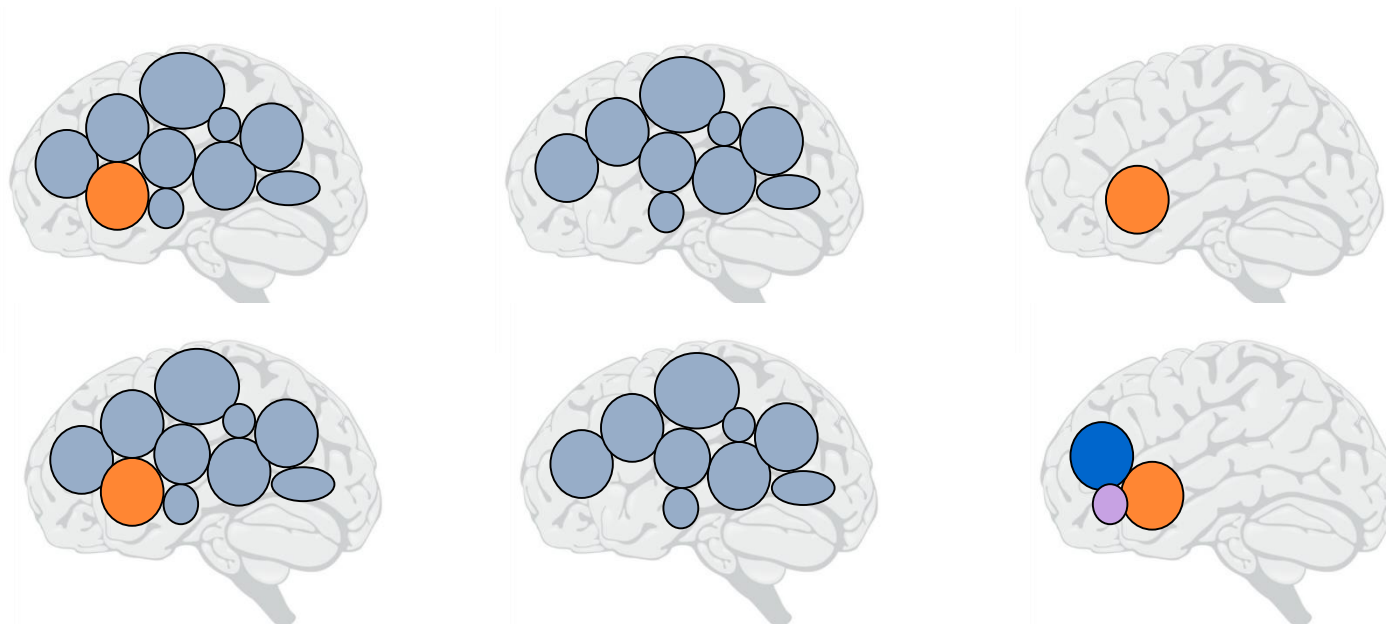
# Cognitive subtraction

- **Aim:**
  - Neuronal structures underlying a *single* process  $P$ ?
- **Procedure:**
  - Contrast: [Task with  $P$ ] – [control task without  $P$ ] =  $P$   
→ the critical assumption of „pure insertion“
- **Example:**      [Task with  $P$ ] – [task without  $P$ ] =       $P$



# Cognitive subtraction

- **Aim:**
  - Neuronal structures underlying a *single* process  $P$ ?
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→ the critical assumption of „pure insertion“
- **Example:**      [Task with  $P$ ] – [task without  $P$ ] =       $P$





# Subtraction Logic

**Cognitive subtraction originated with reaction time experiments (F. C. Donders, a Dutch physiologist).**

Measure the time for a process to occur by comparing two reaction times, one which has the same components as the other + the process of interest.

## **Example:**

**T1: Hit a button when you see a light**

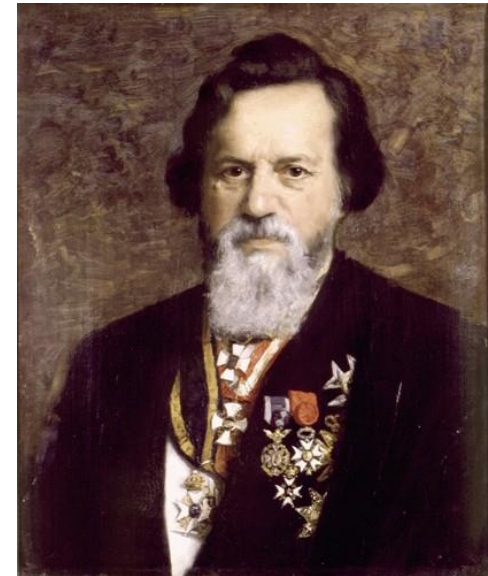
**T2: Hit a button when the light is green but not red**

**T3: Hit the left button when the light is green and the right button when the light is red**

**$T2 - T1$  = time to make discrimination between light color**

**$T3 - T2$  = time to make a decision**

**Assumption of pure insertion:** You can insert a component process into a task without disrupting the other components.



*F.C. Donders 1868*

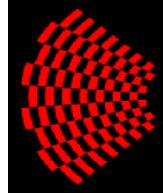
# Cognitive subtraction: Baseline problems

## Which neuronal structures support face recognition ?

- „Distant“ stimuli



-



→ Several components differ!

- „Related“ stimuli



-



„Queen!“

„Aunt Jenny?“

→ *P* implicit in control condition?

- Same stimuli, different task



-



Name Person!

Name Gender!

→ Interaction of task and stimuli (i.e. do task differences depend on stimuli chosen)?

# A categorical analysis

## Experimental design

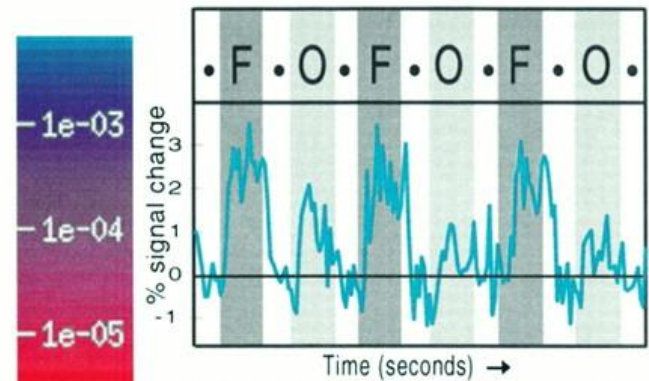
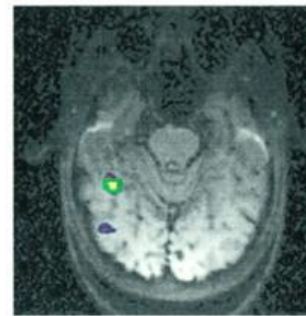
Face viewing                      F  
Object viewing                  O

F - O = Face recognition

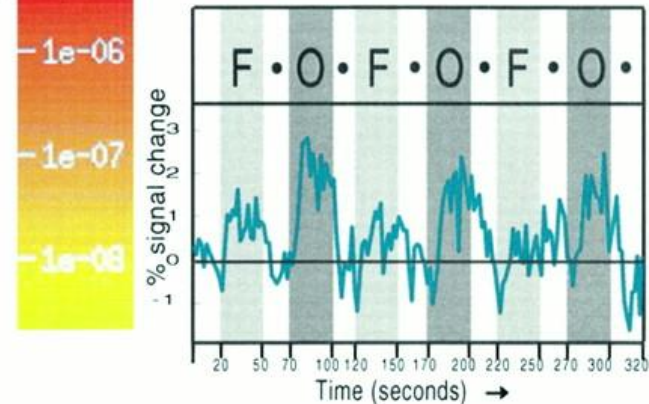
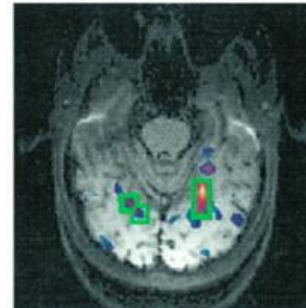
O - F = Object recognition

...under assumption of pure insertion

1a. Faces > Objects

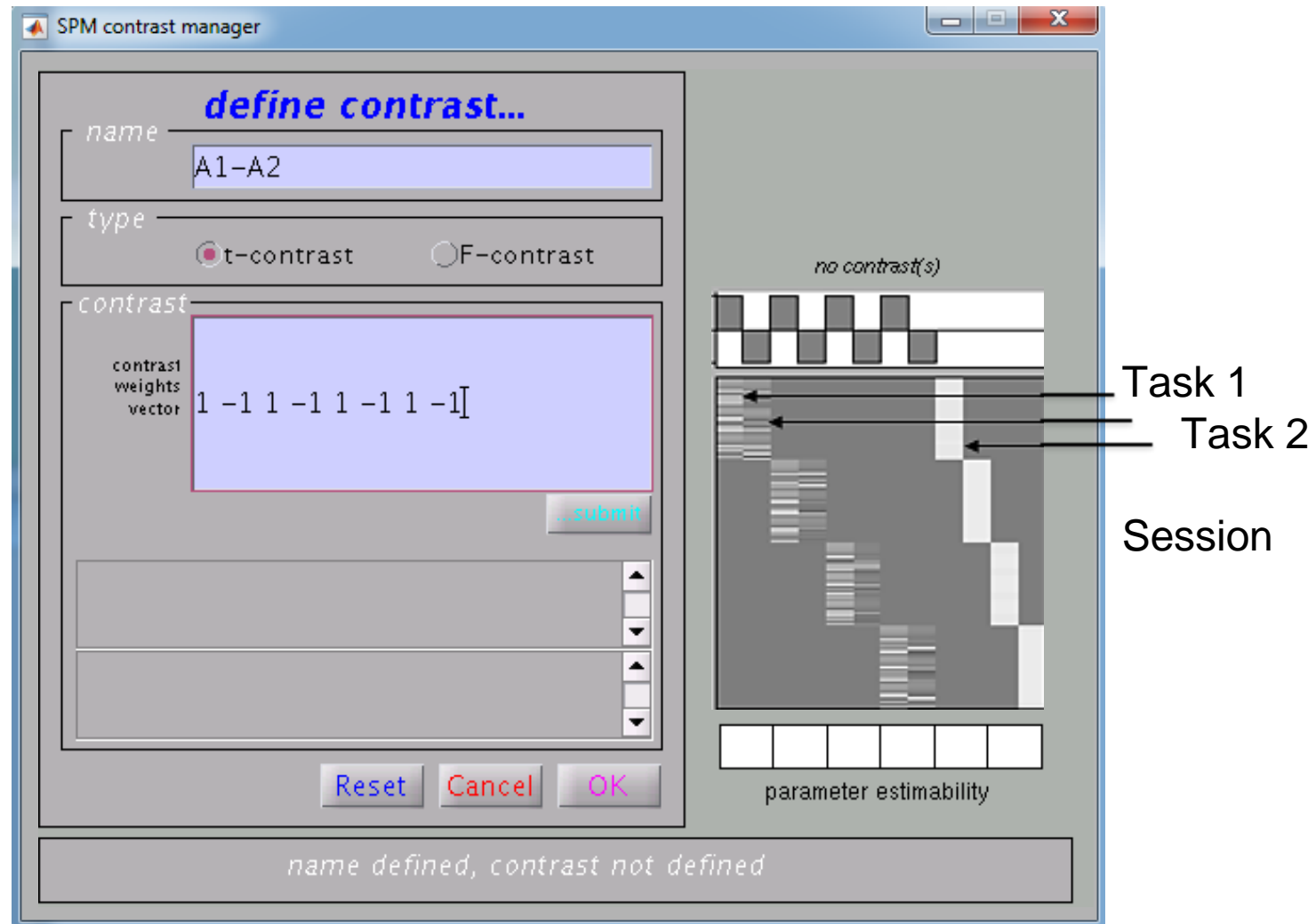


1b. Objects > Faces



Kanwisher N et al. J. Neurosci. 1997;

# Categorical design



- Categorical designs

- Subtraction - Pure insertion, evoked / differential responses

- Conjunction - Testing multiple hypotheses**

- Parametric designs

- Linear - Adaptation, cognitive dimensions

- Nonlinear - Polynomial expansions, neurometric functions

- Factorial designs

- Categorical - Interactions and pure insertion

- Parametric - Linear and nonlinear interactions

- Psychophysiological Interactions

# Conjunctions

- One way to minimize the baseline/pure insertion problem is to isolate the same process by two or more separate comparisons, and inspect the resulting simple effects for commonalities
- A test for such activation common to several independent contrasts is called “conjunction”
- Conjunctions can be conducted across a whole variety of different contexts:
  - tasks
  - stimuli
  - senses (vision, audition)
  - etc.
- Note: the contrasts entering a conjunction must be orthogonal (this is ensured automatically by SPM)

# Conjunctions

Example: Which neural structures support object recognition, independent of task (naming vs. viewing)?

		Task (1/2)	
		Viewing	Naming
Stimuli (A/B)	Colours	A1	A2
	Objects	B1	B2

Visual Processing	V
Object Recognition	R
Phonological Retrieval	P

# Conjunctions

		Task (1/2)			
		Viewing		Naming	
Stimuli (A/B)	Colours	A1		A2	
		Visual Processing	V	Visual Processing Phonological Retrieval	V P
Objects	B1			B2	
		Visual Processing Object Recognition	V R	Visual Processing Phonological Retrieval Object Recognition	V P R

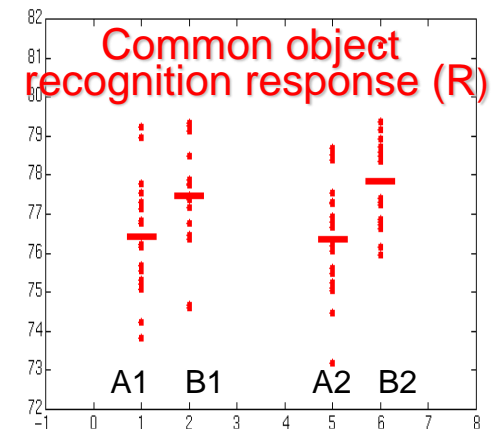
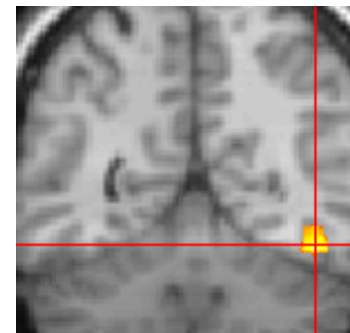
Which neural structures support object recognition?

(Object - Colour viewing) [B1 - A1]  
&

(Object - Colour naming) [B2 - A2]

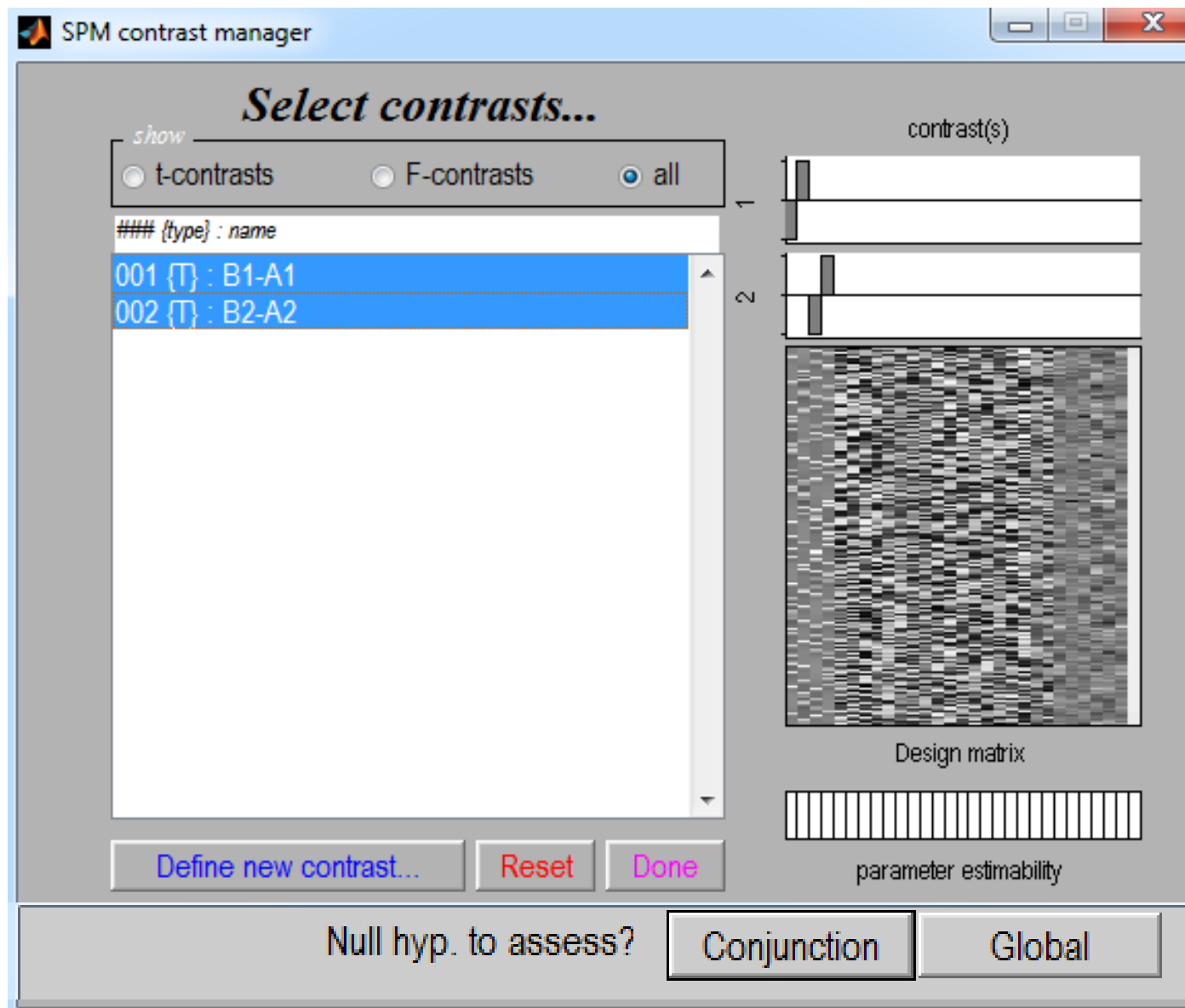
[ V,R - V ] & [ P,V,R - P,V ] = R & R = R

Price et al. 1997



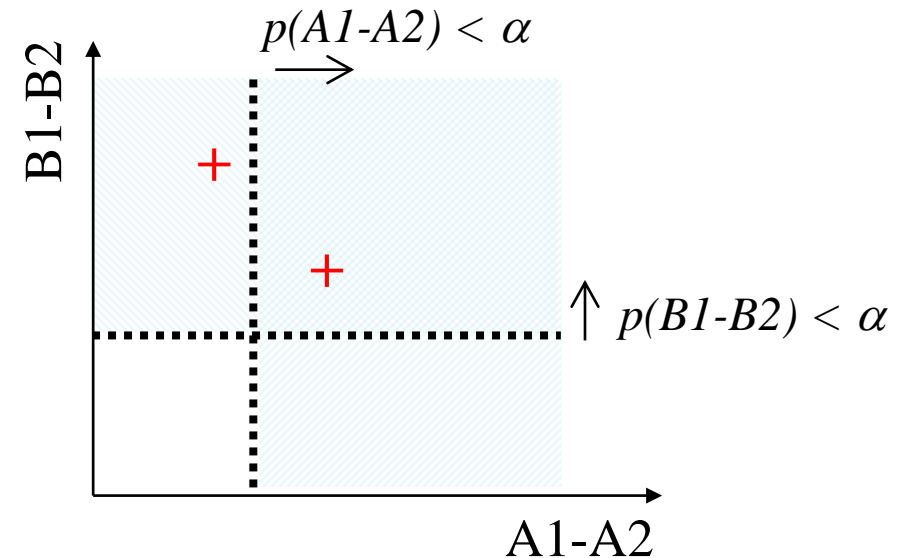


# Conjunctions



# Two types of conjunctions

- Test of **global null hypothesis**:  
**Significant set of consistent effects**
  - “Which voxels show effects of similar direction (but not necessarily individual significance) across contrasts?”
  - **Null hypothesis**: No contrast is significant:  $k = 0$
  - does not correspond to a logical AND !
- Test of **conjunction null hypothesis**:  
**Set of consistently significant effects**
  - “Which voxels show, for each specified contrast, significant effects?”
  - **Null hypothesis**: Not all contrasts are significant:  $k < n$
  - corresponds to a logical AND



Friston et al. (2005). *Neuroimage*, 25:661-667.

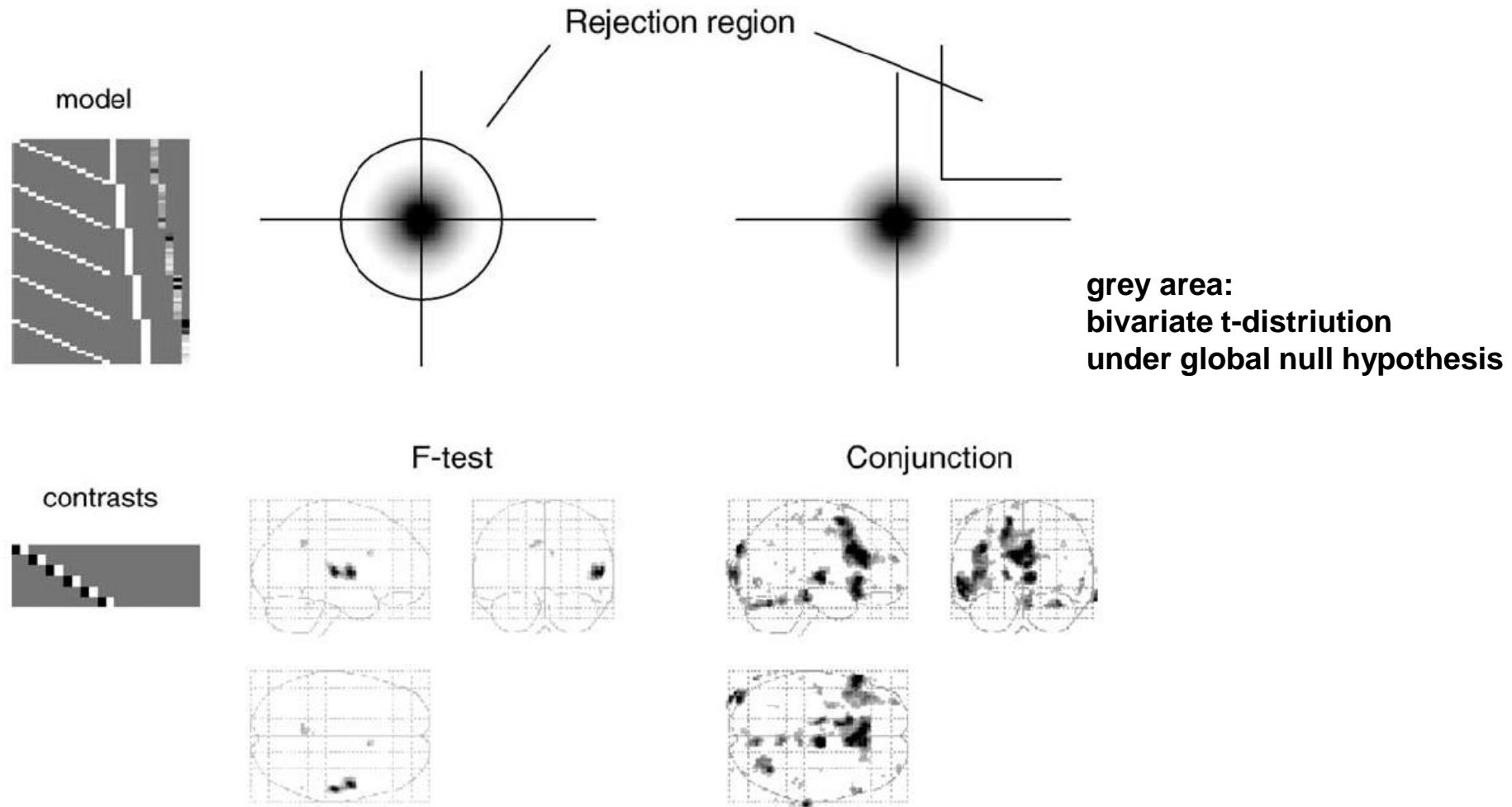
Nichols et al. (2005). *Neuroimage*, 25:653-660.

# Global null hypothesis

- based on the "minimum t statistic":
  - imagine a voxel where contrast A gives  $t=1$  and contrast B gives  $t=1.4$
  - neither t-value is significant alone, but the fact that both values are larger than zero suggests that there may be a real effect
- test: compare the observed minimum t value to the null distribution of minimal t-values for a given set of contrasts
  - assuming independence between the tests, one can find uncorrected and corrected thresholds for a minimum of two or more t-values (Worsley and Friston, 2000)
  - this means the contrasts have to be orthogonal!

Worsley & Friston (2000) Stat. Probab. Lett. 47 (2), 135–140

# F-test vs. conjunction based on global null



→ **Null hypothesis:** No contrast is significant:  $k = 0$

- Categorical designs

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- **Parametric designs**

- Linear - Adaptation, cognitive dimensions
- Nonlinear - Polynomial expansions, neurometric functions

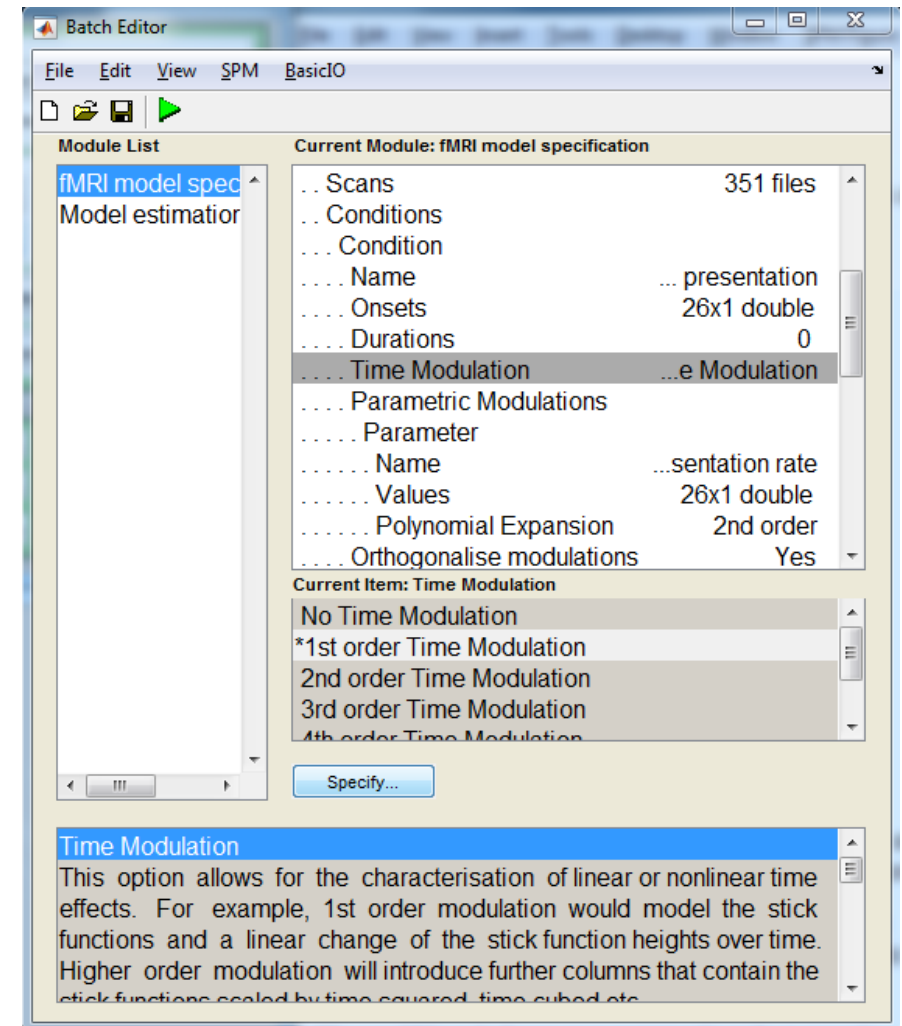
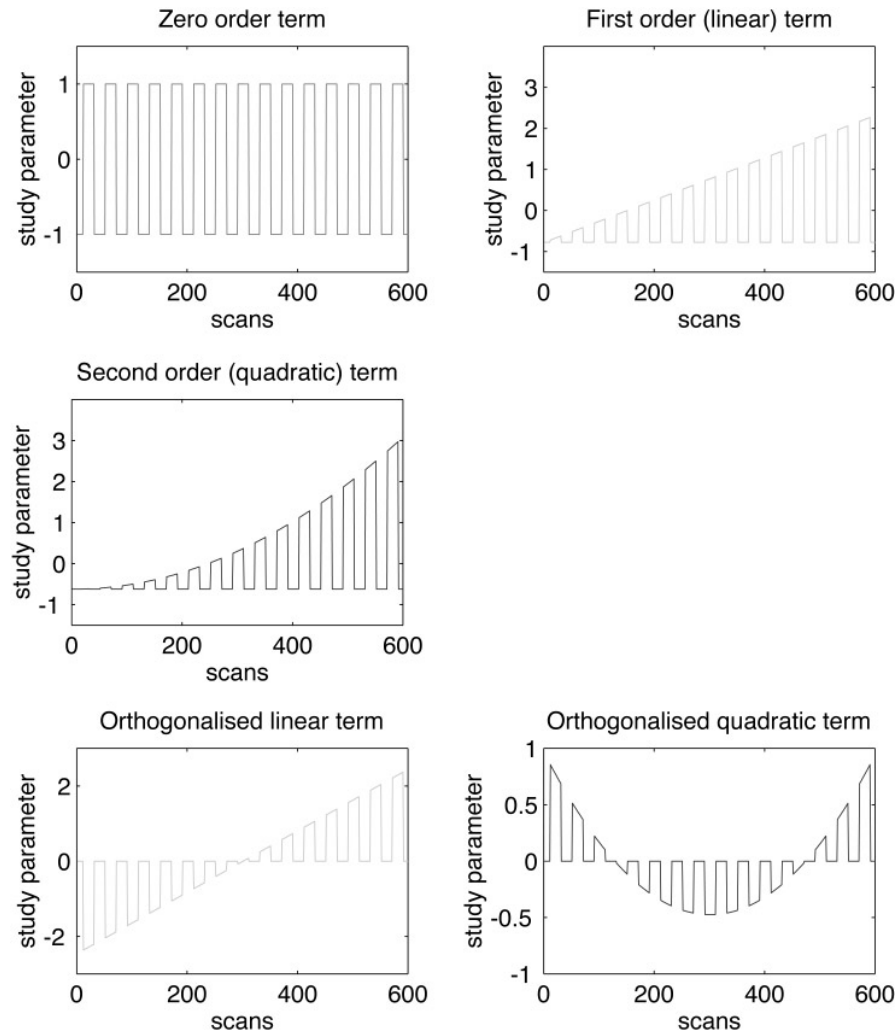
- Factorial designs

- Categorical - Interactions and pure insertion
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# Parametric designs

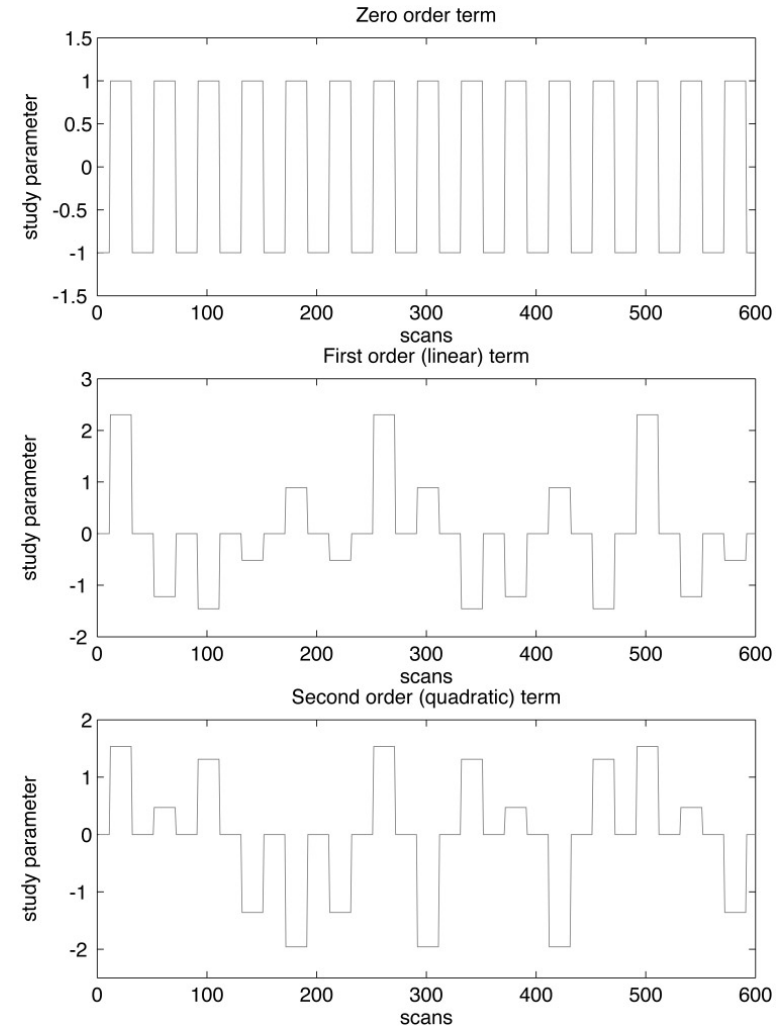
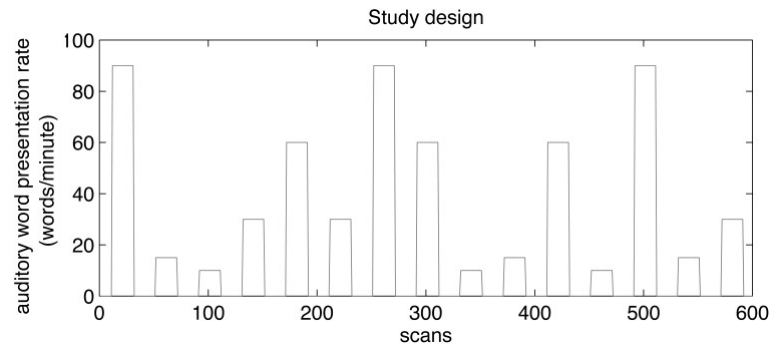
- Parametric designs approach the baseline problem by:
  - Varying the stimulus-parameter of interest on a continuum, in multiple ( $n > 2$ ) steps...
  - ... and relating measured BOLD signal to this parameter
- Possible tests for such relations are manifold:
  - Linear
  - Nonlinear: Quadratic/cubic/etc. (polynomial expansion)
  - Model-based (e.g. predictions from learning models)

# Parametric modulation of regressors by time



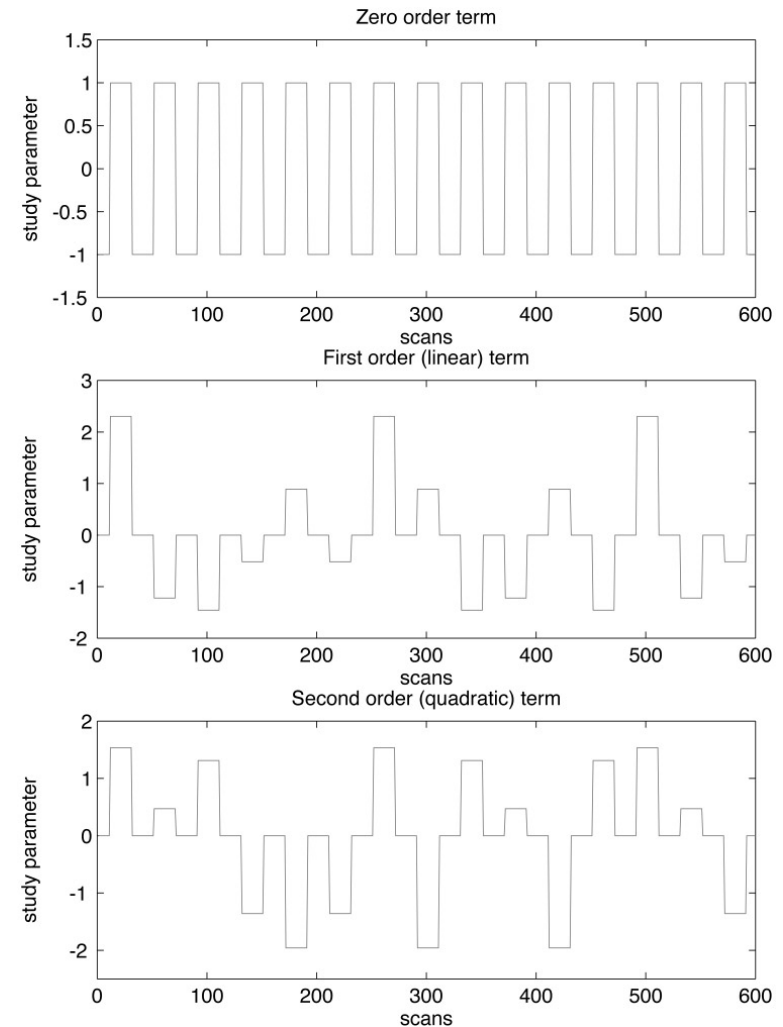
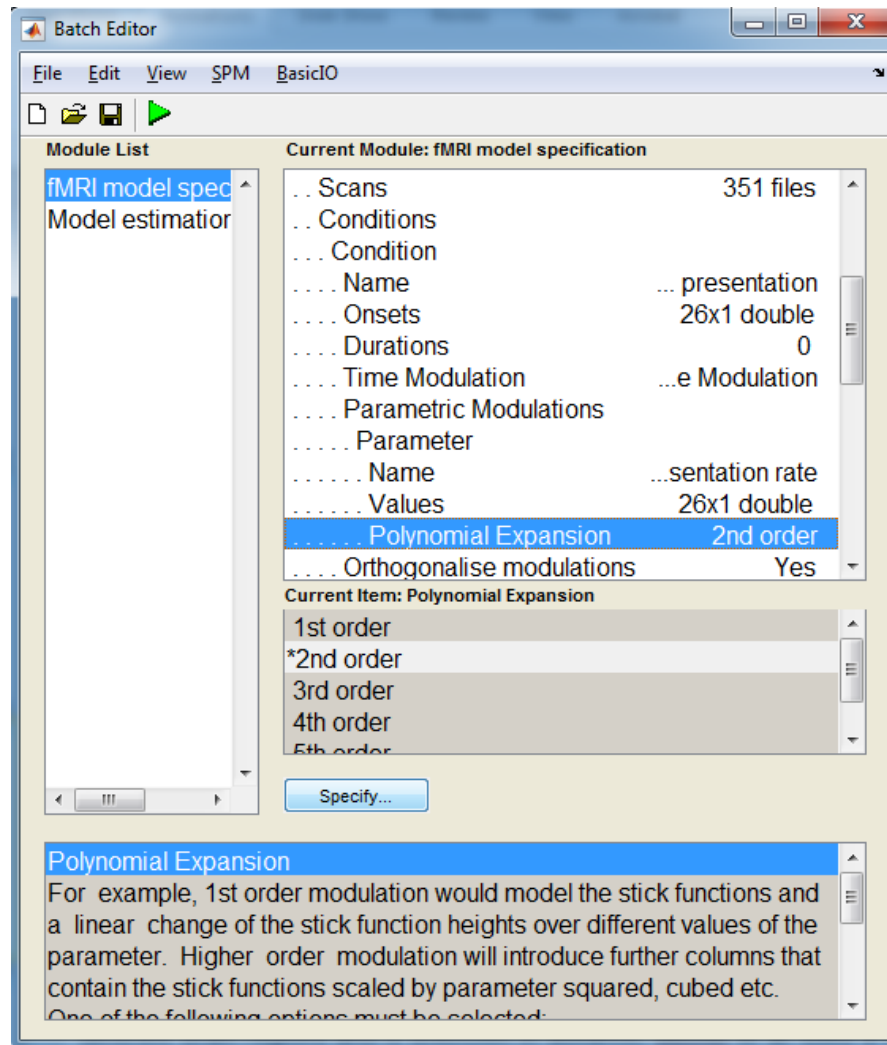
# “User-specified” parametric modulation of regressors

**Polynomial expansion  
&  
orthogonalisation**



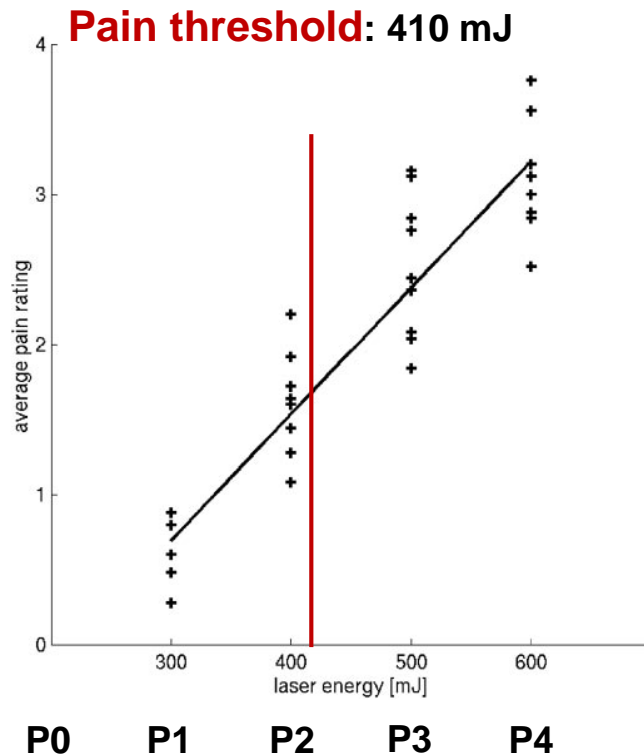


# “User-specified” parametric modulation of regressors

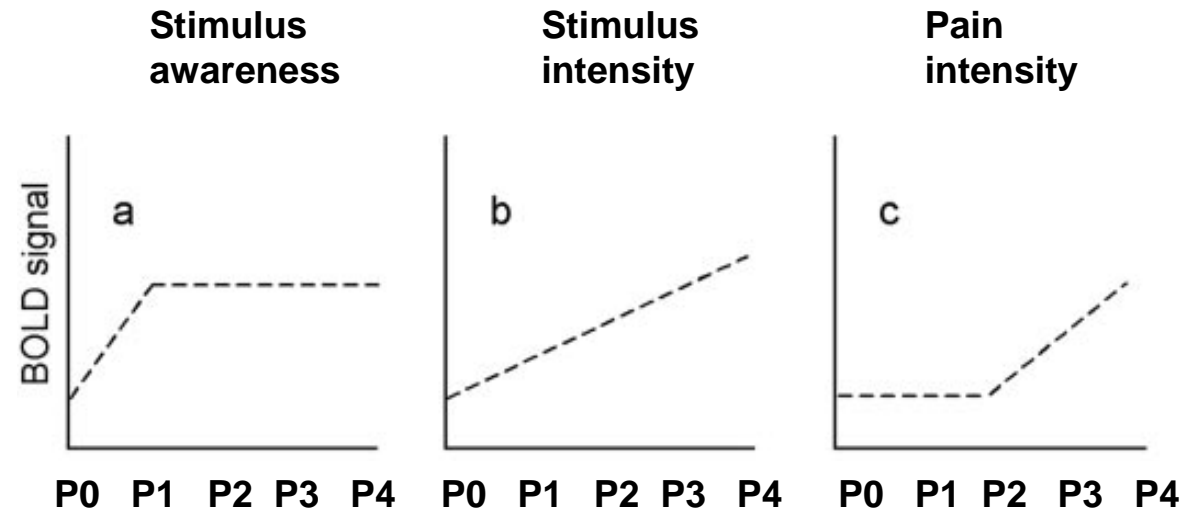


# Investigating neurometric functions

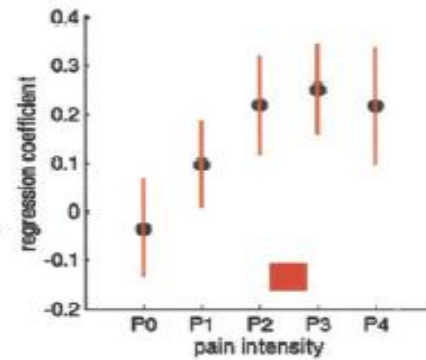
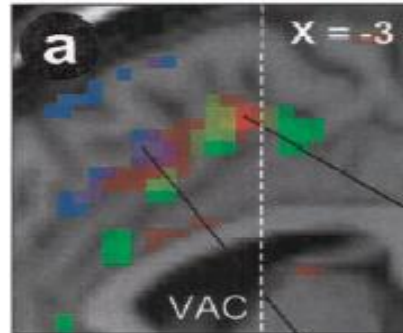
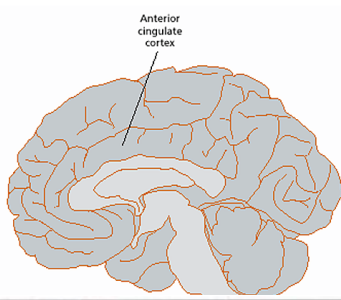
(= relation between a stimulus property and the neuronal response)



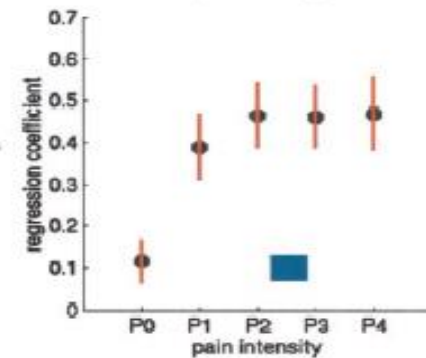
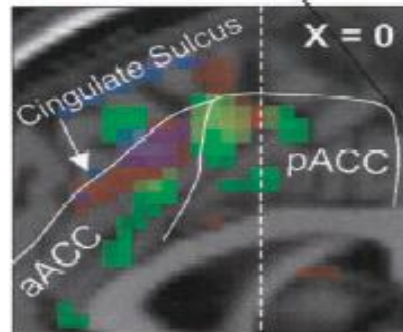
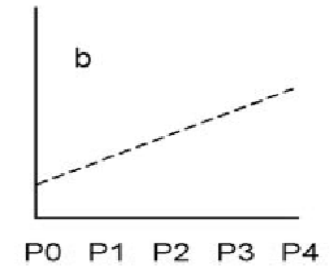
**P0-P4: Variation of intensity of a laser stimulus applied to the right hand (0, 300, 400, 500, and 600 mJ)**



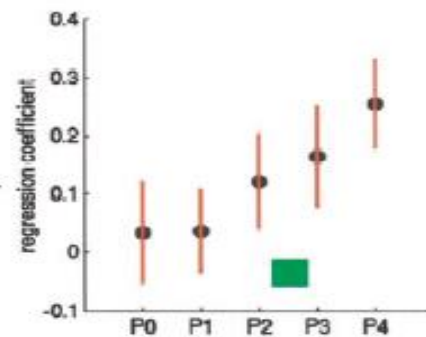
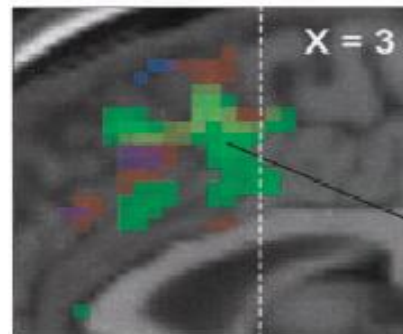
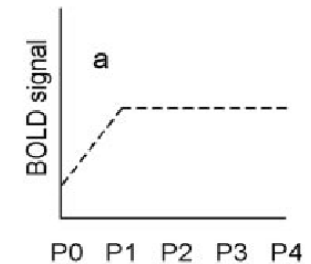
# Neurometric functions



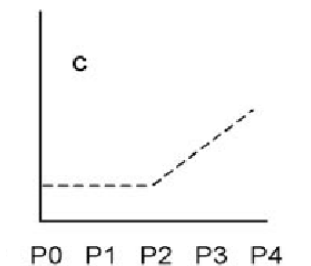
→ Stimulus intensity  
dorsal pACC



→ Stimulus awareness  
dorsal ACC



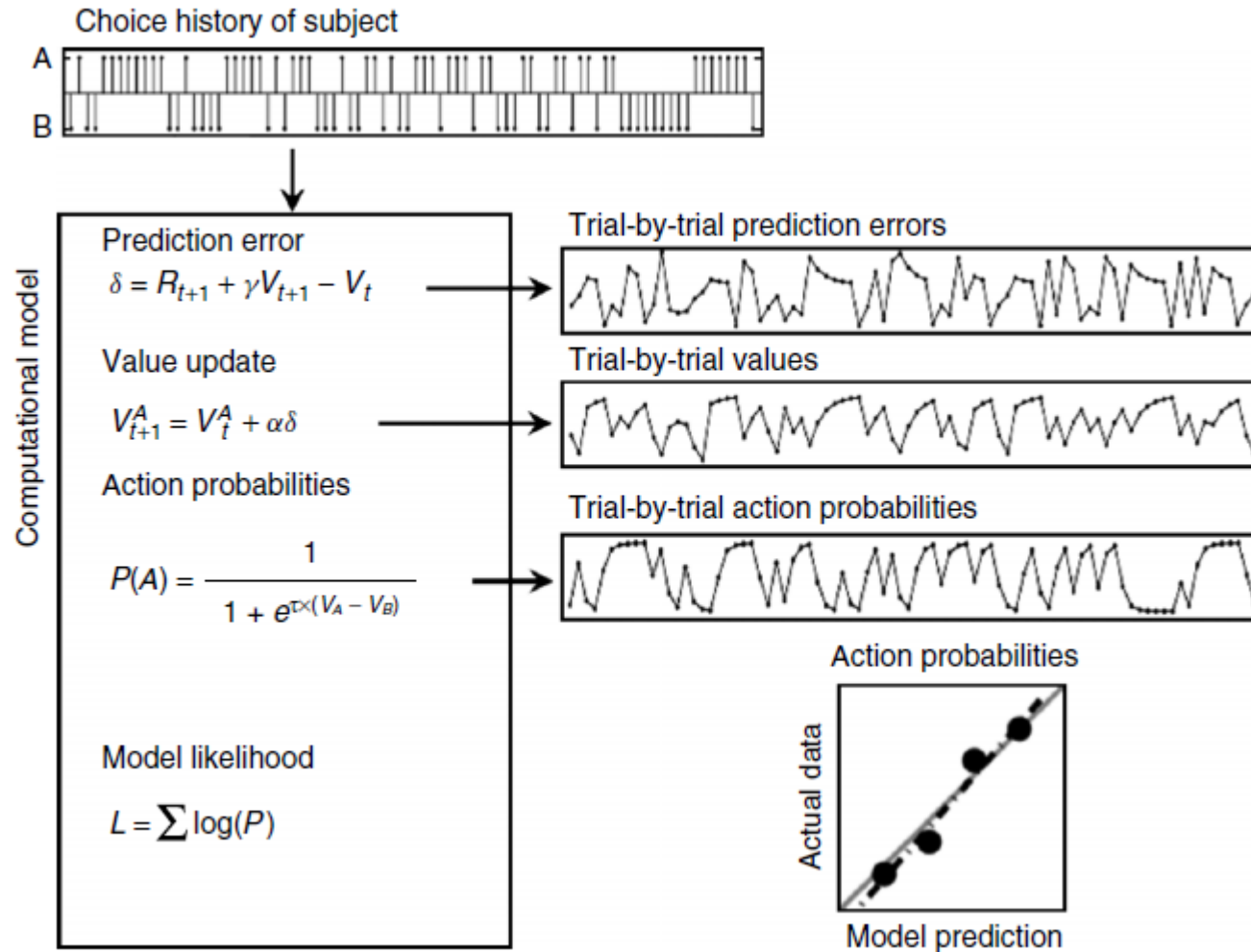
→ Pain intensity  
ventral pACC



# Model-based regressors

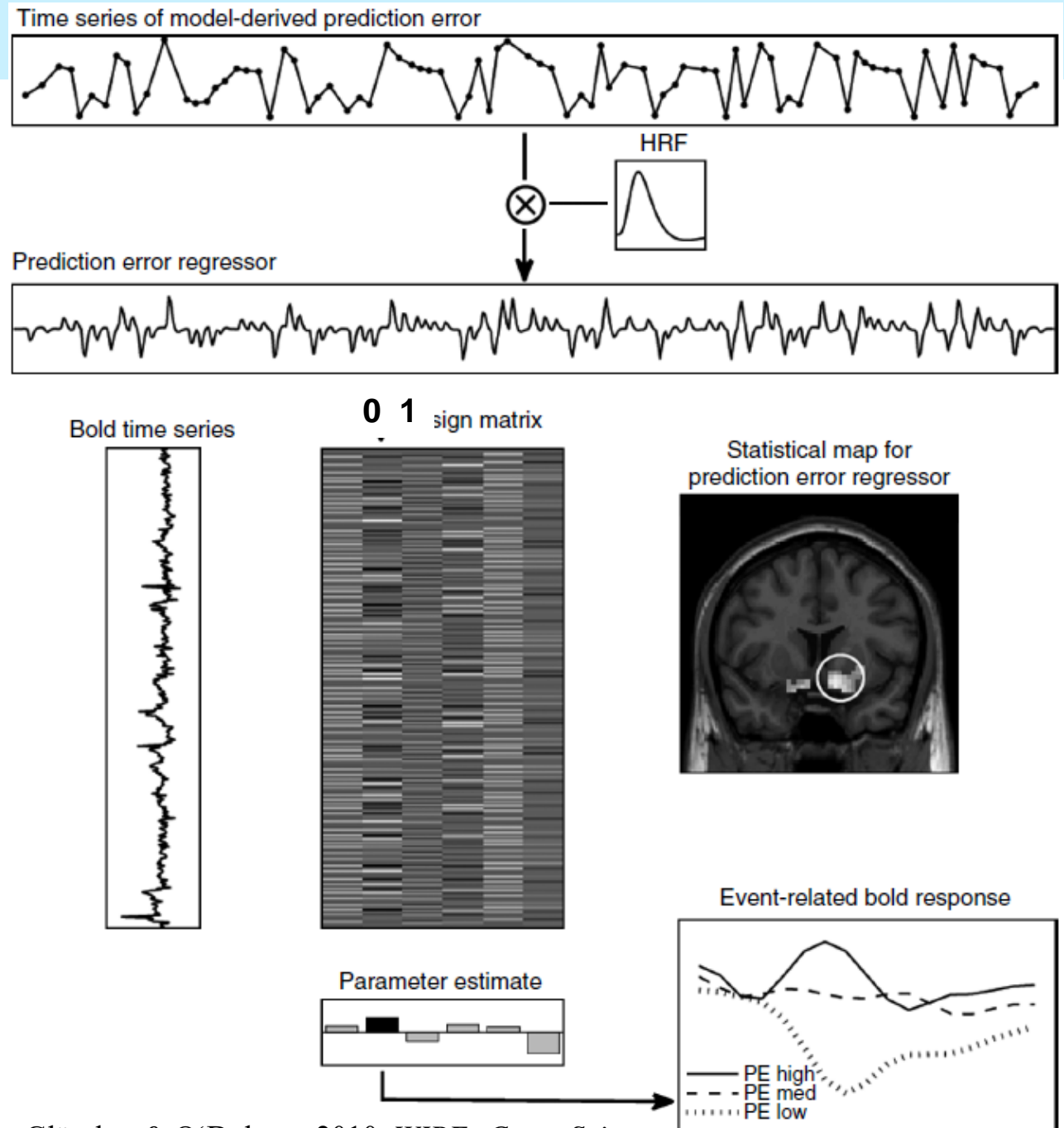
- general idea:  
generate predictions from a computational model, e.g. of learning or decision-making
- Commonly used models:
  - Rescorla-Wagner learning model
  - temporal difference (TD) learning model
  - Bayesian models
- use these predictions to define regressors
- include these regressors in a GLM and test for significant correlations with voxel-wise BOLD responses

# Model-based fMRI analysis

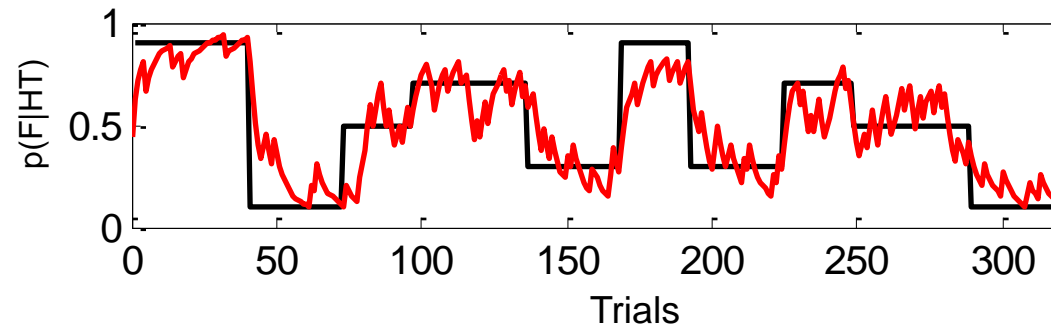
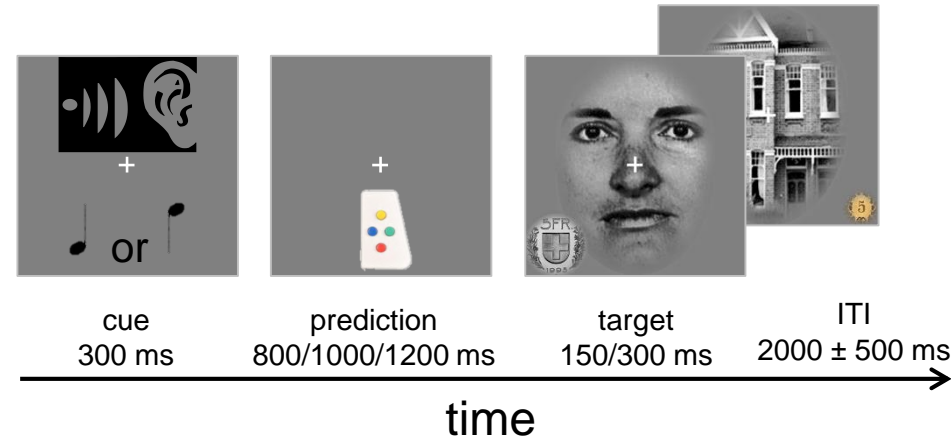


Gläscher & O'Doherty 2010, *WIREs Cogn. Sci.*

# Model-based fMRI analysis

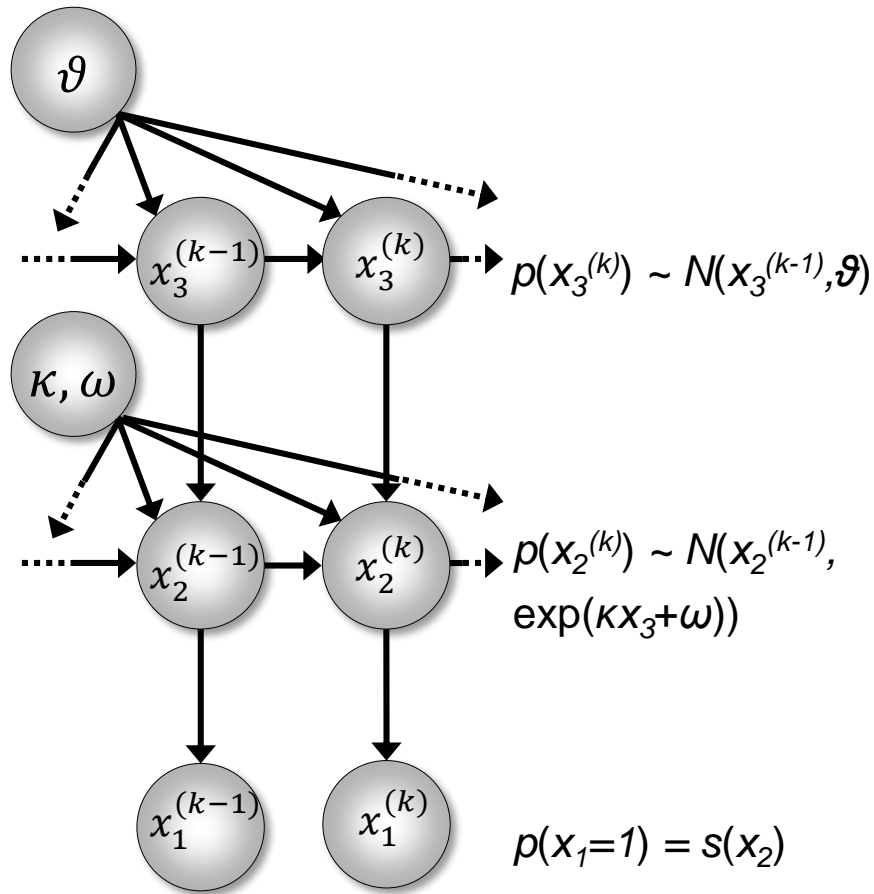


# Hierarchical prediction errors about sensory outcome and its probability



Iglesias et al. 2013, *Neuron*

# The Hierarchical Gaussian Filter (HGF)



$$\Delta\mu_i \propto \frac{\hat{\pi}_{i-1}}{\pi_i} PE_{i-1}$$

$$\varepsilon_3 \propto \sigma_3^{(k)} \delta_2^{(k)}$$

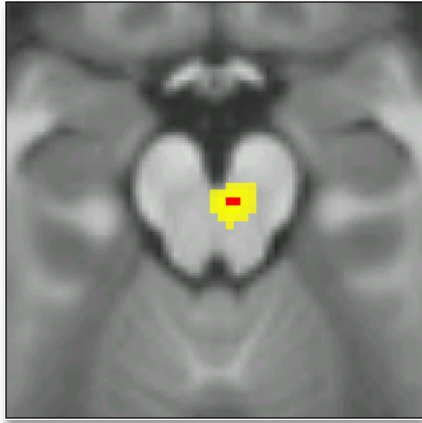
$$\varepsilon_2 = \sigma_2^{(k)} \delta_1^{(k)}$$

Mathys et al. 2011, *Front Hum Neurosci*.



# Sensory prediction errors

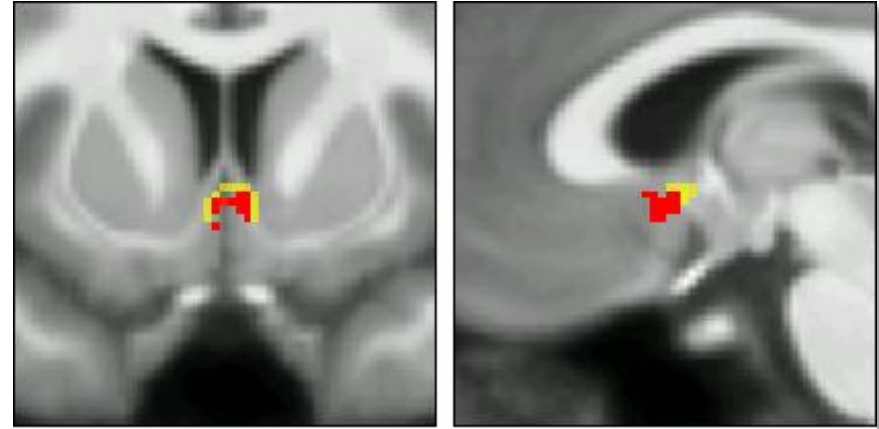
$\varepsilon_2$  in midbrain (N=45)



$$\varepsilon_2 = \sigma_2^{(k)} \delta_1^{(k)}$$

**p<0.05, whole brain FWE corrected**  
**p<0.05, SVC FWE corrected**

$\varepsilon_3$  in basal forebrain (N=45)



$$\varepsilon_3 \propto \sigma_3^{(k)} \delta_2^{(k)}$$

**p<0.05, SVC FWE corrected**  
**p<0.001, uncorrected**

Iglesias et al. 2013, *Neuron*

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# Main effects and interactions

		Task (1/2)	
		Viewing	Naming
Stimuli (A/B)	Colours	A1	A2
	Objects	B1	B2

- **Main effect of task:**  $(A1 + B1) - (A2 + B2)$

- **Main effect of stimuli:**  $(A1 + A2) - (B1 + B2)$

- **Interaction of task and stimuli:**

Can show a failure of pure insertion

$$(A1 - B1) - (A2 - B2)$$

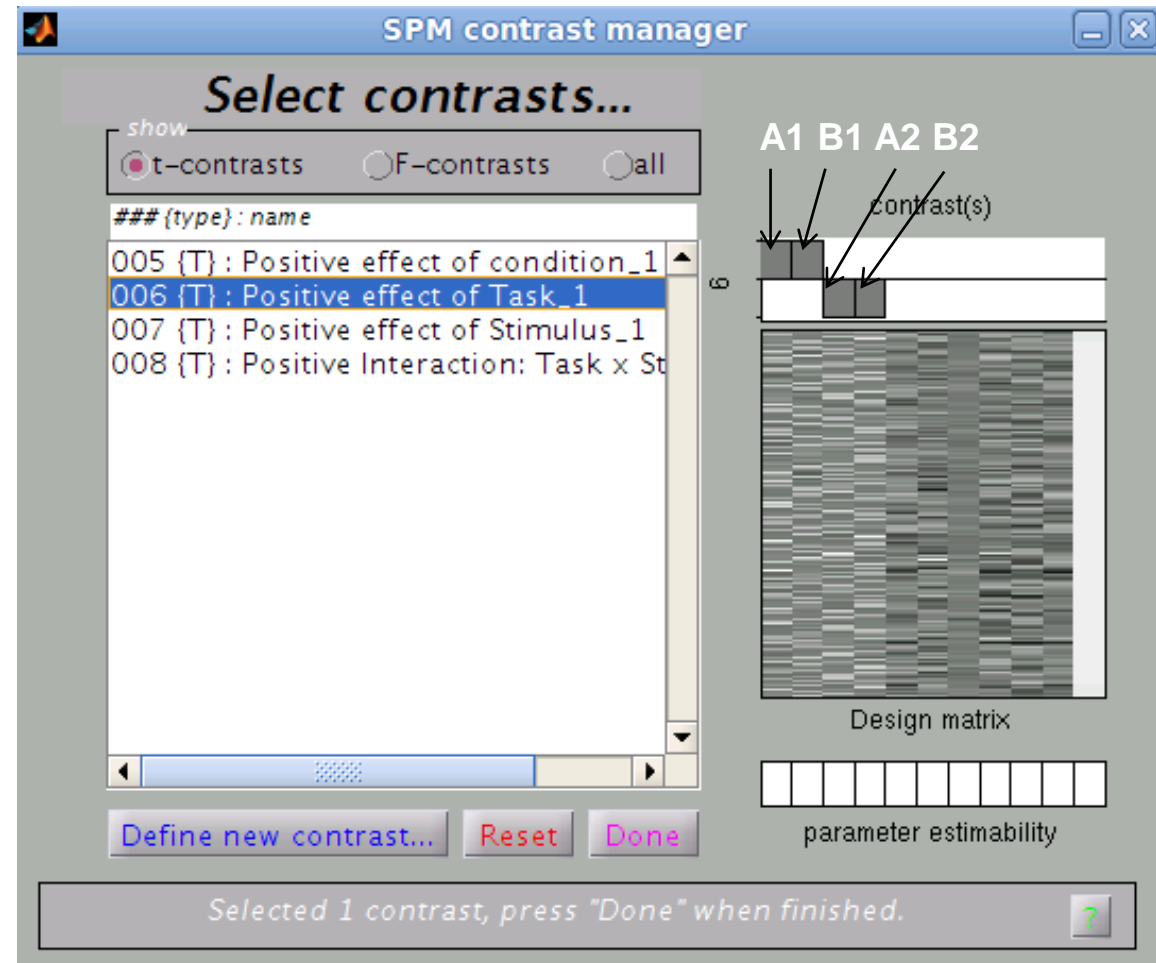
# Factorial design

Task (1/2)	
Viewing	Naming
A1	A2
B1	B2

Stimuli (A/B)

Objects Colours

**Main effect of task:**  
 $(A1 + B1) - (A2 + B2)$



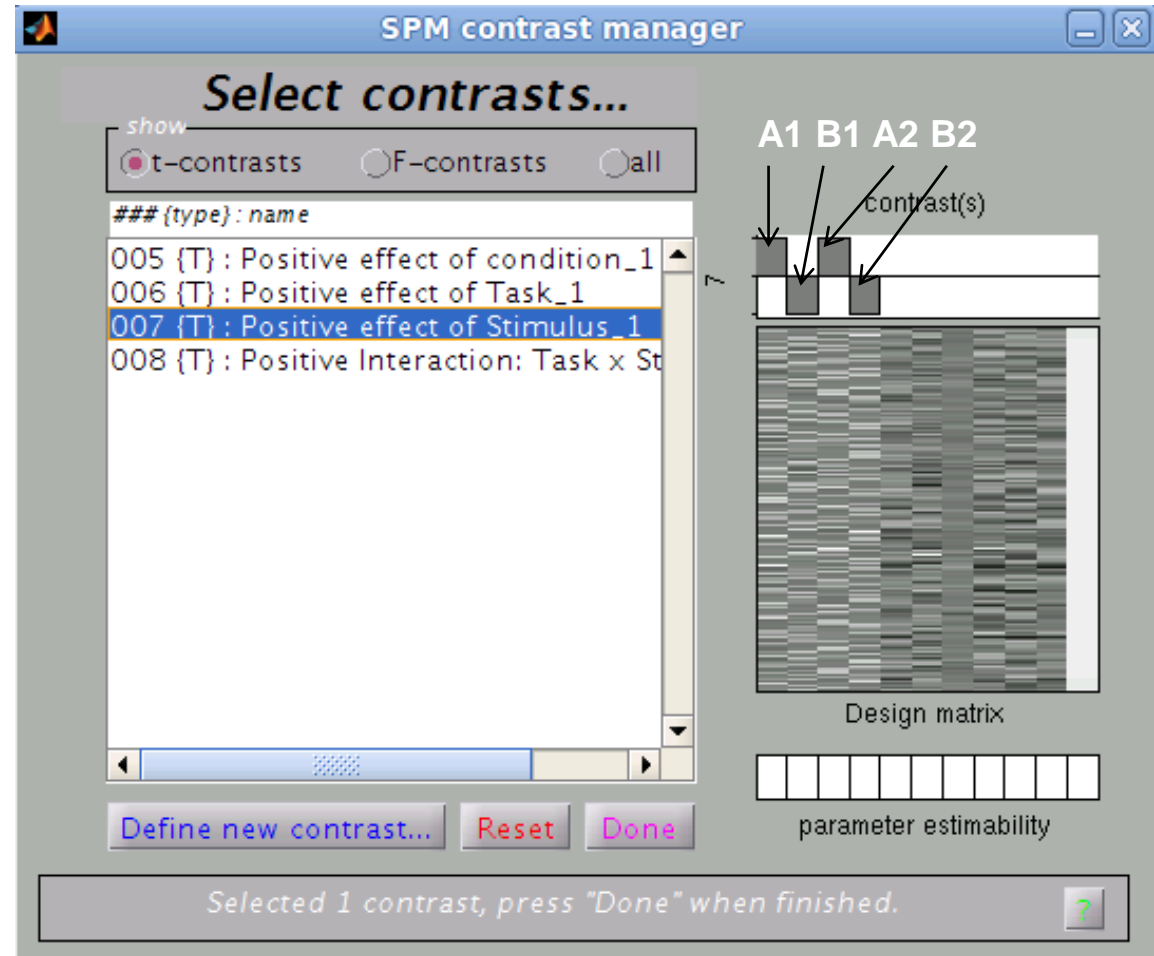
# Factorial design

Task (1/2)	
Viewing	Naming
A1	A2
B1	B2

Stimuli (A/B)

Objects Colours

**Main effect of stimuli:**  
 $(A1 + A2) - (B1 + B2)$



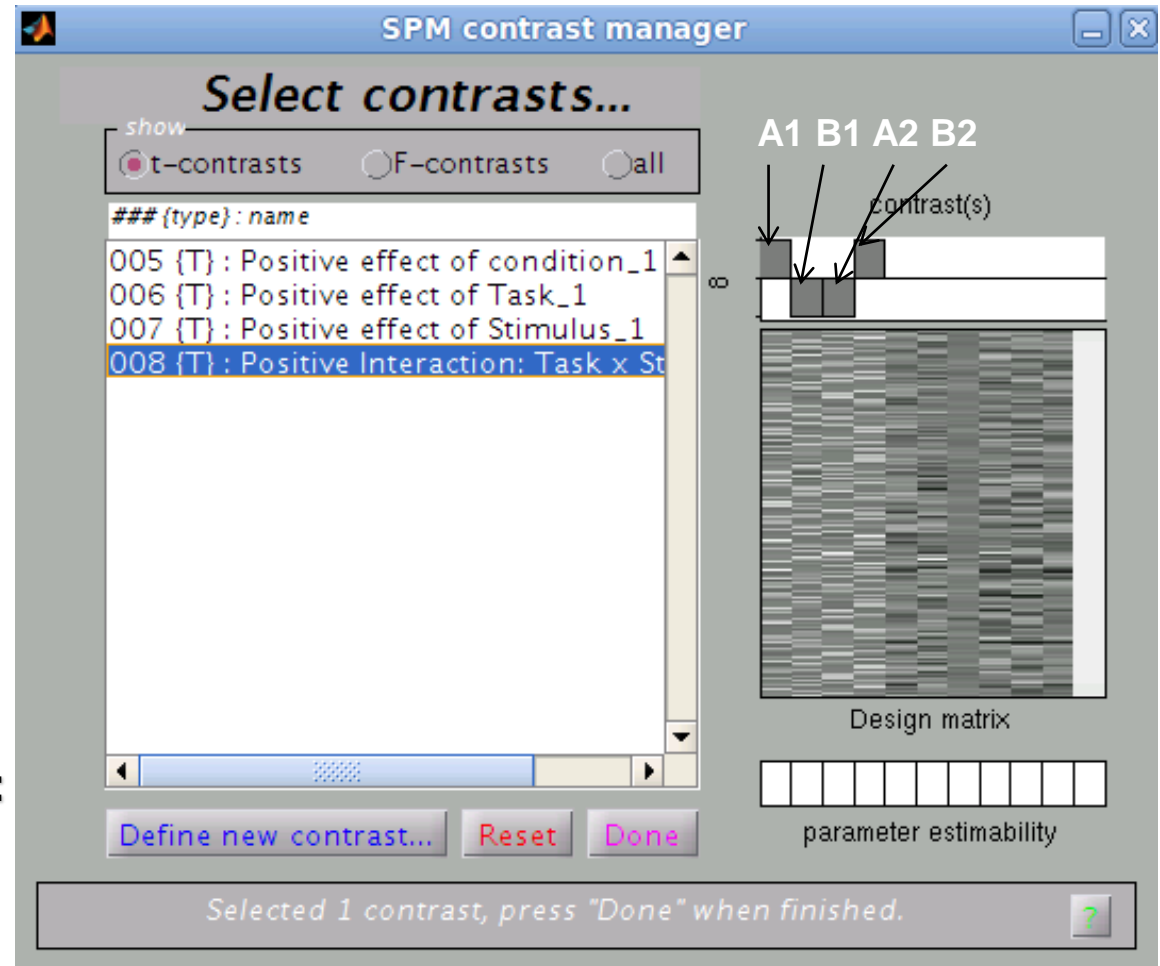
# Factorial design

Task (1/2)	
Viewing	Naming
A1	A2
B1	B2

Stimuli (A/B)

Objects Colours

**Interaction of task and stimuli:**  
 $(A1 - B1) - (A2 - B2)$



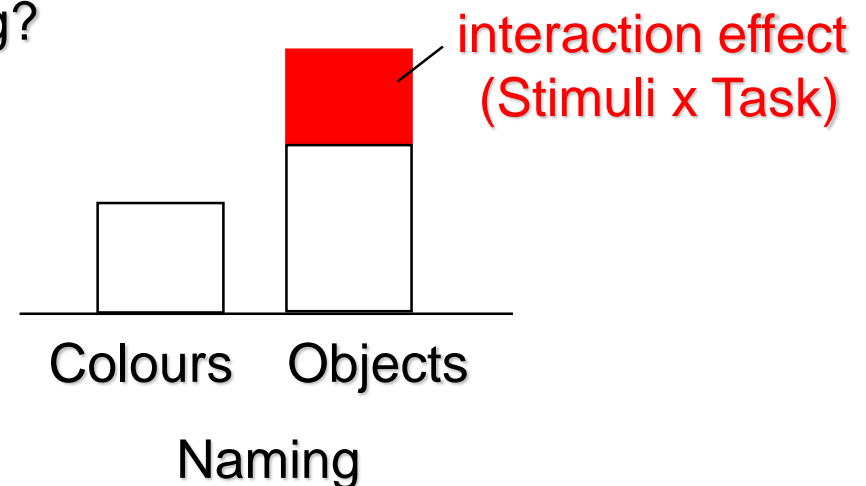
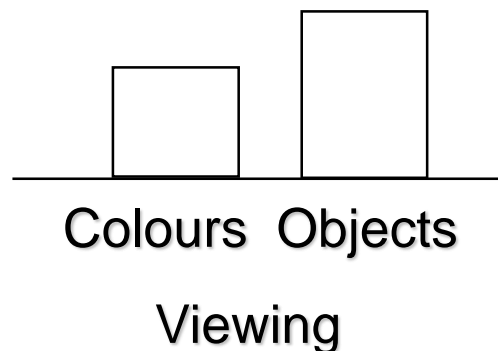
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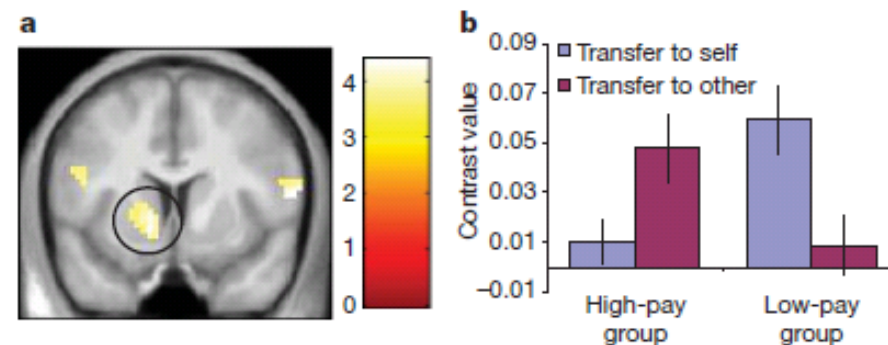
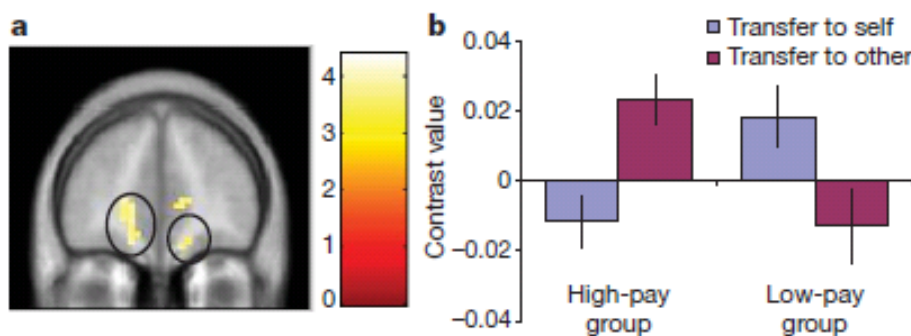
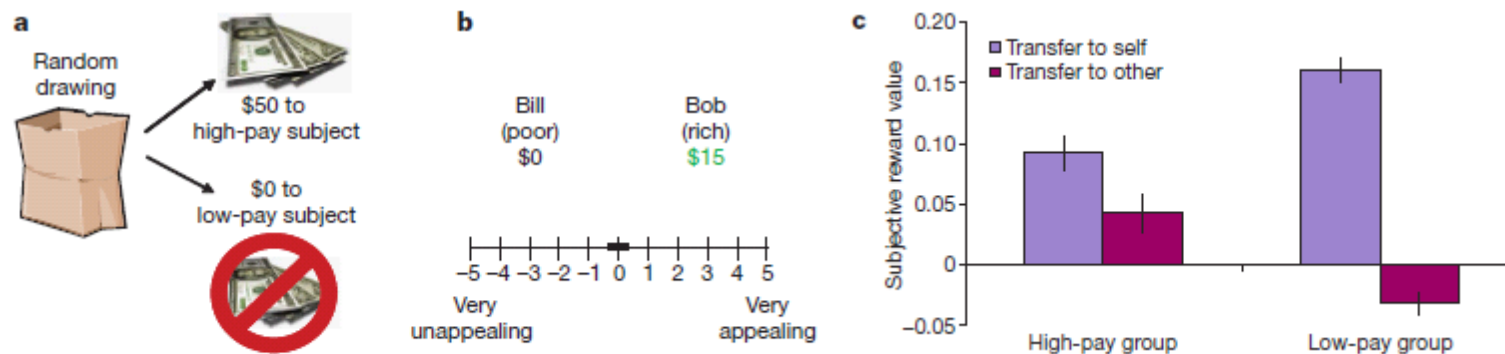
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- **Main effect of stimuli:**  $(A1 + A2) - (B1 + B2)$
- **Interaction of task and stimuli:**  
Can show a failure of pure insertion

$$(A1 - B1) - (A2 - B2)$$

Is the inferotemporal region implicated in phonological retrieval during object naming?



# Example: evidence for inequality-aversion



Tricomi et al. 2010, *Nature*



# Psycho-physiological interactions (PPI)

		Task factor	
		Task A	Task B
Stimulus factor	Stim 1	$T_A/S_1$	$T_B/S_1$
	Stim 2	$T_A/S_2$	$T_B/S_2$

GLM of a 2x2 factorial design:

$$y = (T_A - T_B) \beta_1 + (S_1 - S_2) \beta_2 + (T_A - T_B)(S_1 - S_2) \beta_3 + e$$

$\leftarrow$  main effect of task  
 $\leftarrow$  main effect of stim. type  
 $\leftarrow$  interaction

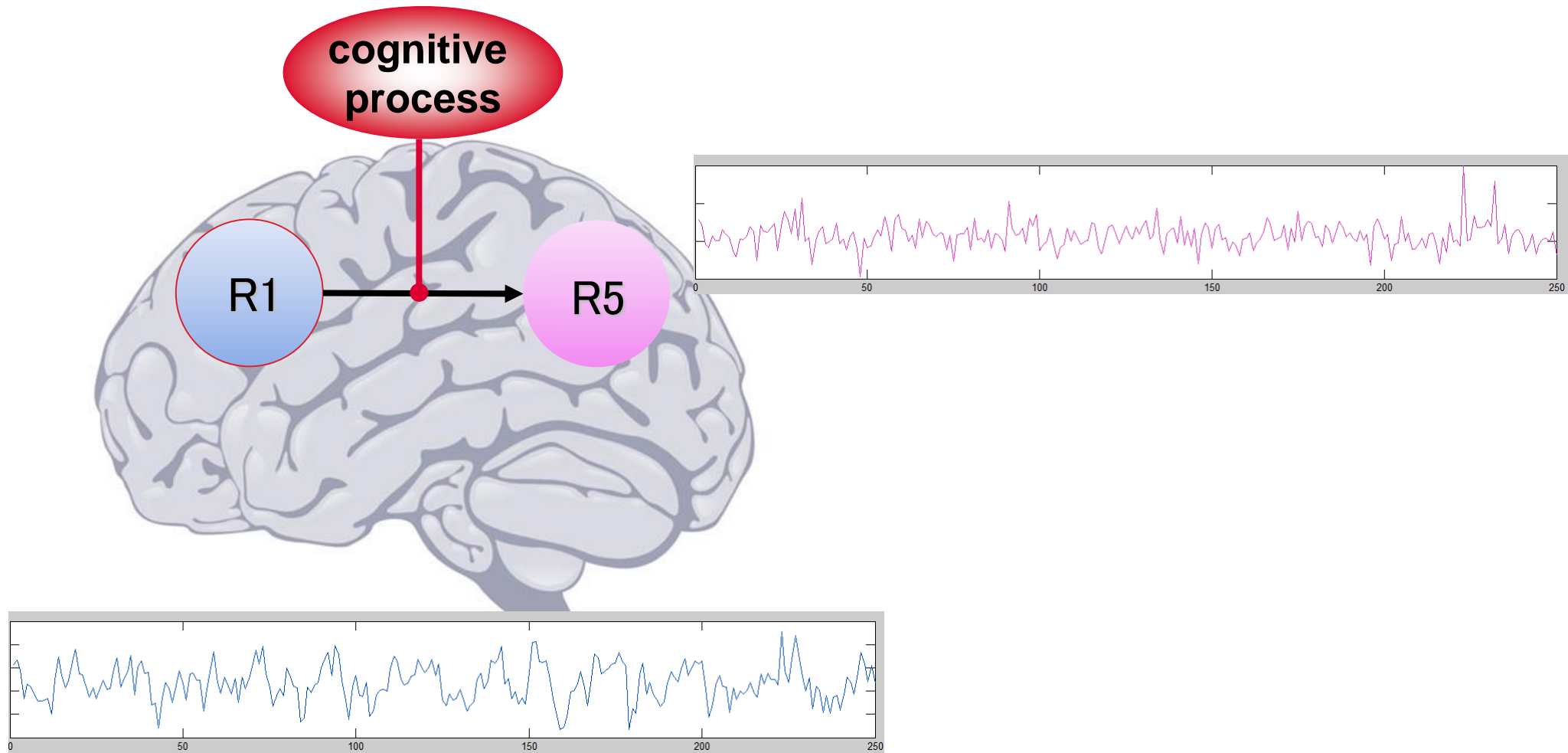
We can replace one main effect in the GLM by the time series of an area that shows this main effect.

E.g. let's replace the main effect of stimulus type by the time series of area V1:

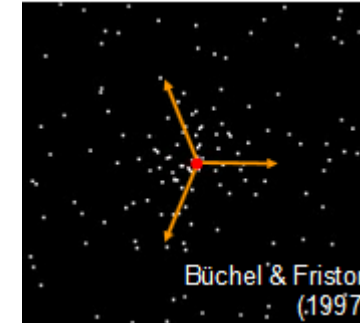
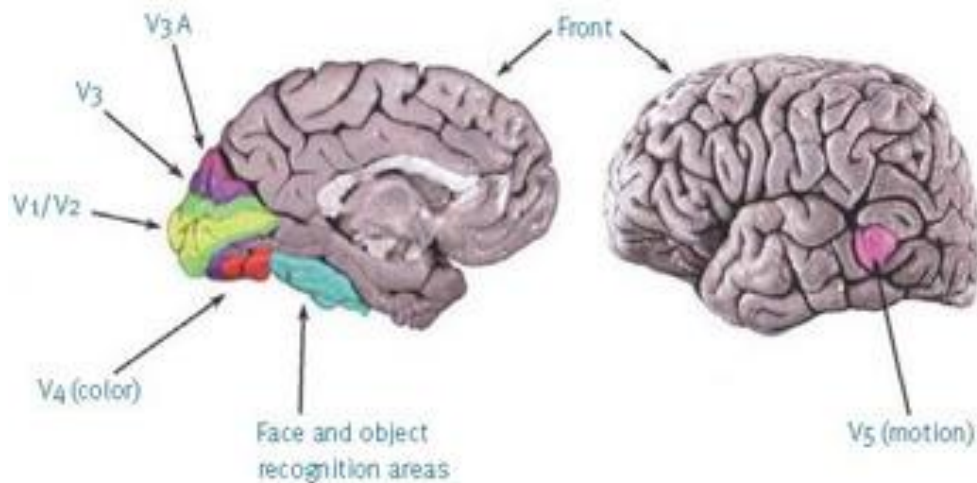
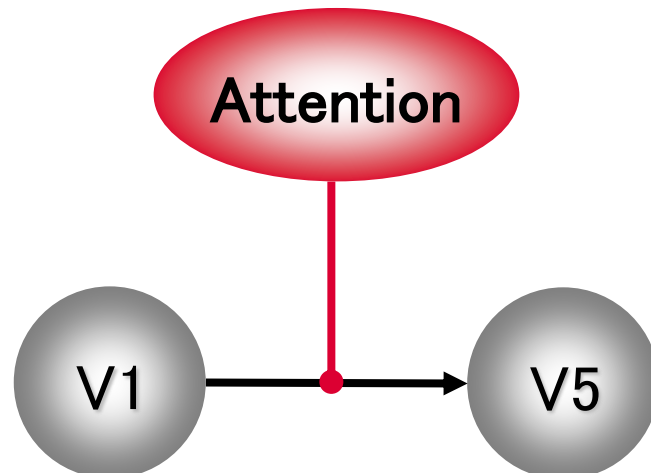
$$y = (T_A - T_B) \beta_1 + V1 \beta_2 + (T_A - T_B) V1 \beta_3 + e$$

$\leftarrow$  main effect of task  
 $\leftarrow$  V1 time series  $\approx$  main effect of stim. type  
 $\leftarrow$  psycho-physiological interaction

# Psycho-physiological interactions (PPI)



# Psycho-physiological interactions (PPI)

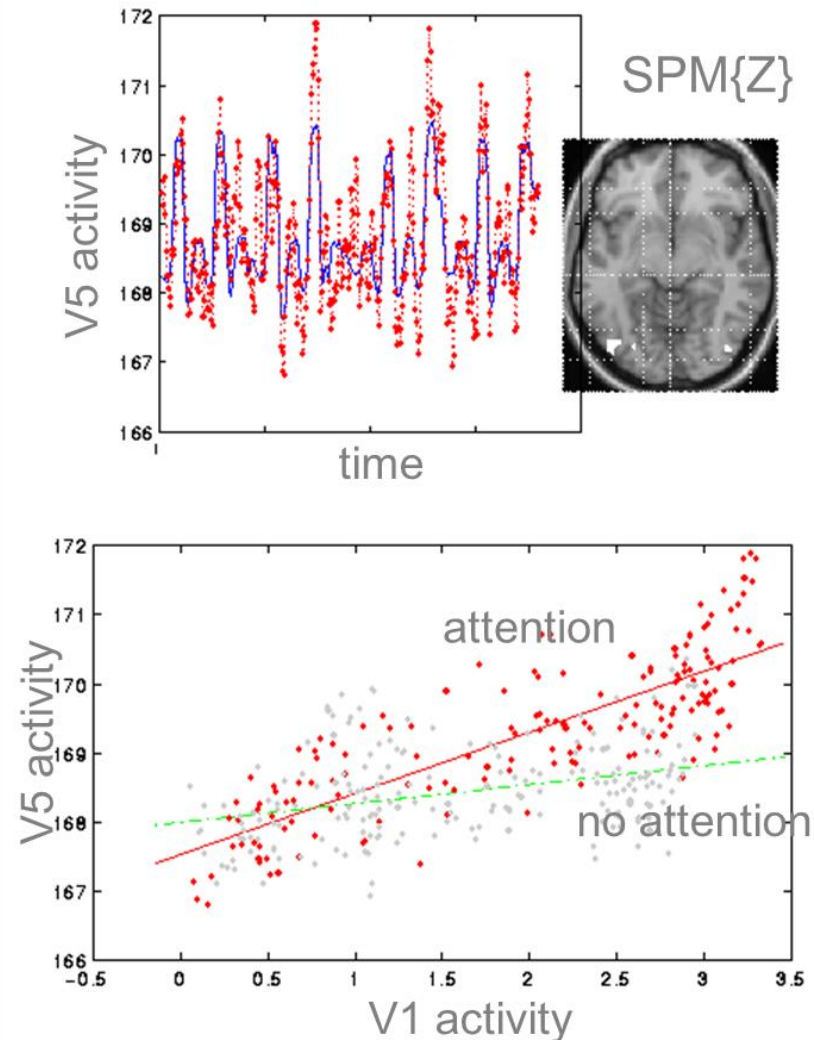
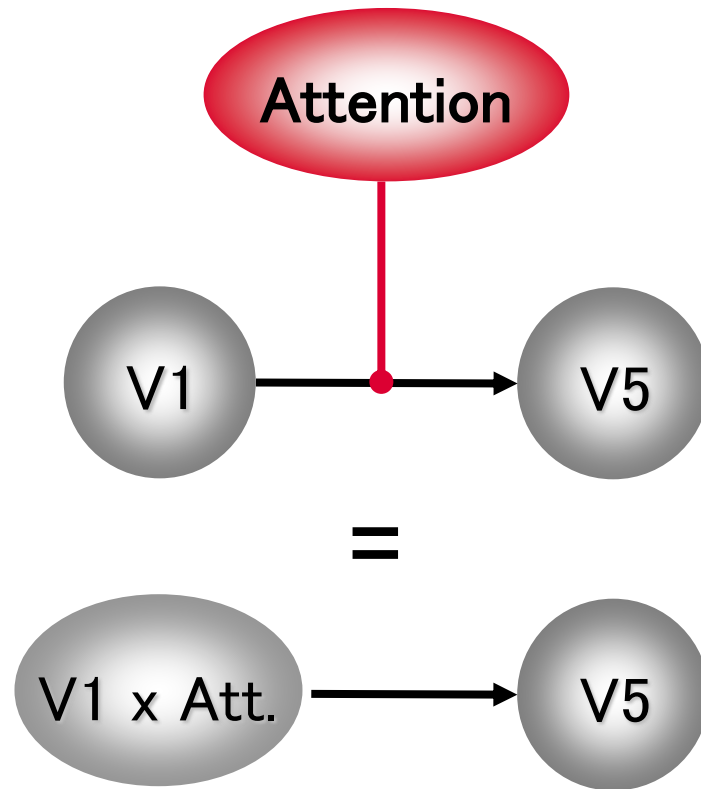


Radially moving dots

Conditions:

- Stationary
- Motion and attention ("detect changes")
- Motion without attention

# PPI example: attentional modulation of V1→V5



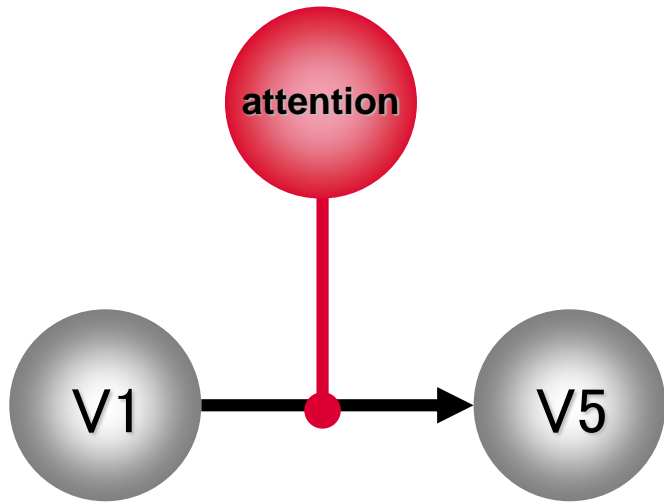
Friston et al. 1997, *NeuroImage* 6:218-229

Büchel & Friston 1997, *Cereb. Cortex* 7:768-778

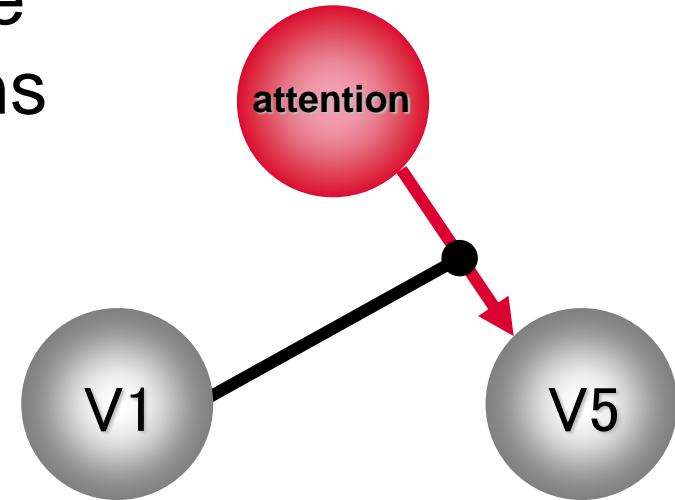
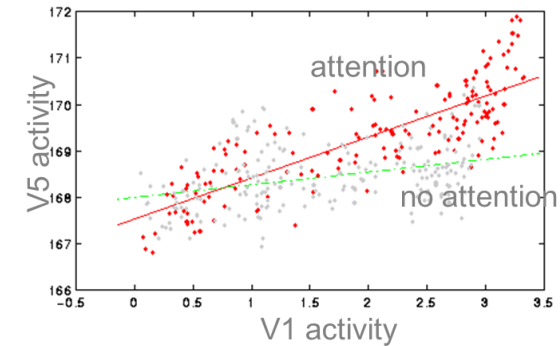
# PPI: interpretation

$$y = (T_A - T_B) \beta_1 + V1\beta_2 + (T_A - T_B)V1\beta_3 + e$$

Two possible interpretations of the PPI term:



Modulation of V1→V5 by attention



Modulation of the impact of attention on V5 by V1.

# Questions?

- Categorical designs

- Subtraction - Pure insertion, evoked / differential responses
- Conjunction - Testing multiple hypotheses

- Parametric designs

- Linear - Adaptation, cognitive dimensions
- Nonlinear - Polynomial expansions, neurometric functions

- Factorial designs

- Categorical - Interactions and pure insertion
- Parametric - Linear and nonlinear interactions
- Psychophysiological Interactions