

# Computational Dissociation of Dopaminergic and Cholinergic Effects on Action Selection and Inhibitory Control

Eduardo A. Aponte, Dario Schöbi, Klaas E. Stephan, and Jakob Heinzle

## ABSTRACT

**BACKGROUND:** Patients with schizophrenia make more errors than healthy subjects in the antisaccade task. In this paradigm, participants are required to inhibit a reflexive saccade to a target and to select the correct action (a saccade in the opposite direction). While the precise origin of this deficit is not clear, it has been connected to aberrant dopaminergic and cholinergic neuromodulation.

**METHODS:** To study the impact of dopamine and acetylcholine on inhibitory control and action selection, we administered two selective drugs (levodopa 200 mg/galantamine 8 mg) to healthy volunteers ( $N = 100$ ) performing the antisaccade task. The computational model SERIA (stochastic early reaction, inhibition, and late action) was employed to separate the contribution of inhibitory control and action selection to empirical reaction times and error rates.

**RESULTS:** Modeling suggested that levodopa improved action selection (at the cost of increased reaction times) but did not have a significant effect on inhibitory control. By contrast, according to our model, galantamine affected inhibitory control in a dose-dependent fashion, reducing inhibition failures at low doses and increasing them at higher levels. These effects were sufficiently specific that the computational analysis allowed for identifying the drug administered to an individual with 70% accuracy.

**CONCLUSIONS:** Our results do not support the hypothesis that elevated tonic dopamine strongly impairs inhibitory control. Rather, levodopa improved the ability to select correct actions. However, inhibitory control was modulated by cholinergic drugs. This approach may provide a starting point for future computational assays that differentiate neuromodulatory abnormalities in heterogeneous diseases like schizophrenia.

**Keywords:** Action selection, Antisaccades, Computational psychiatry, Galantamine, Inhibitory control, Levodopa, Schizophrenia

<https://doi.org/10.1016/j.bpsc.2019.10.011>

Schizophrenia is a heterogeneous clinical entity: patients with comparable symptoms show highly variable treatment responses and clinical trajectories over time (1,2). A key challenge is to devise procedures for differential diagnostics that disambiguate potential disease mechanisms and inform individualized treatment (3). One proposal derives from the “dysconnection hypothesis,” which posits that the schizophrenia spectrum consists of different abnormalities in dopaminergic and cholinergic modulation of NMDA receptor-dependent plasticity (4–6). This suggests the development of assays of neuromodulation that can operate on individualized clinical data.

Eye movements are attractive targets in this regard (7). They 1) can be easily measured in clinical settings, 2) are sensitive to changes in neuromodulation, and 3) display abnormalities in schizophrenia. Saliiently, it has been consistently reported that patients with schizophrenia make more errors than control participants in the antisaccade task (8–11). In this paradigm, subjects are required to saccade in the opposite direction of a visual cue. This is assumed to probe participants’ ability to inhibit a reflexive (pro)saccade toward the cue and to select and

initiate the correct action, i.e., an (anti)saccade in the opposite direction (8). However, it remains unclear whether the elevated error rate (ER) in schizophrenia is caused by deficits in inhibitory control of reflexive prosaccades or in selecting correct actions (antisaccades), or by a combination of these factors.

All of these options are thought to be related to abnormal neuromodulation. Specifically, aberrant tonic dopamine (DA) levels in the basal ganglia (BG) could lead to abnormalities in the no-go pathway responsible for the inhibition of reflexive saccades (9–12). However, other DA-dependent mechanisms are conceivable. For example, the findings that 1) lesions in the BG do not affect antisaccade performance (13) but 2) prefrontal lesions critically impair it (14,15) challenge the view that higher ER in schizophrenia is caused exclusively by impaired inhibitory control (16,17). Instead, higher ER may be caused by DA-dependent processes related to selecting the correct action, e.g., aberrant prefrontal task set maintenance (17).

In contrast to the conjectured effect of elevated basal tonic DA, procholinergic drugs targeting nicotinic receptors have been postulated as possible treatments for negative symptoms and

cognitive impairments in schizophrenia (18–20). While results from clinical studies have been mixed (21–24), several studies have specifically investigated whether nicotine impacts anti-saccade performance (25–34). These reports indicate that nicotine reduces ER [(26,29,30,33,35), although see Rycroft *et al.* (34)].

Muscarinic receptors might also be important for the anti-saccade task. Indeed, the BG are rich in muscarinic receptors and receive strong cholinergic projections (36). Moreover, acetylcholine (ACh) has been suggested to play a role in the inhibition of reflexive actions toward salient stimuli (37). According to this theory, cholinergic interneurons in the striatum transiently enhance the response of the no-go pathway when a stimulus is suddenly presented. Thus, it is plausible that ACh regulates the inhibition of reflexive saccades during the antisaccade task.

In summary, the effects of procholinergic and prodopaminergic drugs on the antisaccade task are not fully understood. The goal of the present study was twofold. First, we investigated the effects of prodopaminergic and procholinergic drugs (levodopa/galantamine) on inhibitory control and action selection in the antisaccade task. Second, we asked whether these effects were specific enough to infer, based on computational modeling of antisaccade performance, which drug had been administered to a given subject. This would establish the plausibility of an assay of dopaminergic and cholinergic neuromodulation based on the antisaccade task.

To address these questions, we performed two twin experiments following a double-blind, placebo-controlled, between-group design. To uncover the effects of levodopa and galantamine on antisaccades, we used the SERIA (stochastic early reaction, inhibition, and late action) model (38–40), a recent computational model of antisaccade mechanisms that quantifies the contribution of inhibitory control and action selection to ER and reaction time (RT). In addition, we investigated whether the parameters inferred by the model were predictive of the drug administered to individual participants. For this, we combined SERIA with a machine learning algorithm to predict the drug applied on a subject-by-subject basis. A successful prediction would speak of the translational potential of SERIA as a computational assay of dopaminergic and cholinergic neuromodulation (41).

## METHODS AND MATERIALS

### Experiment and Apparatus

All procedures described here were approved by the Cantonal Ethics Committee Zurich (KEK-ZH-Nr.2014-0246). The placebo data from experiment 1 were used in a previous study (38).

**Participants.** Participants were approached through the recruitment system of the University of Zurich. During the first visit, subjects provided written informed consent, and medical and demographic information. Only male participants were recruited owing to interactions between the menstrual cycle and dopaminergic medication (42). Subjects who fulfilled all inclusion criteria (see Supplement) were invited to 2 experimental sessions separated by 1 to 8 weeks.

**Pharmacology.** Two drugs were used: levodopa and galantamine. Levodopa is a precursor of DA that crosses the

blood-brain barrier and increases the presynaptic availability of DA (43). Galantamine is an acetylcholinesterase inhibitor that increases the availability of ACh at the synaptic cleft and an allosteric potentiating ligand of the  $\alpha 7$  (44) and  $\alpha 4\beta 2$  ACh nicotinic receptors (45–47).

**Experimental Procedure.** At the beginning of each session, participants were orally administered color- and shape-matched capsules containing either Madopar DR 250 g (200-mg levodopa, 50-mg benserazide) or lactose (experiment 1) or Reminyl (8-mg galantamine) or lactose (experiment 2). Both experimenters and participants were blinded to the drug administered. Subsequently, participants received written instructions regarding the experiment and participated in a training that lasted 20 to 30 minutes.

Testing started 70 minutes after drug administration. This delay was chosen to allow both compounds to reach peak plasma levels [Madopar: 0.7 hours (48), Reminyl: 0.8–2 hours (49)]. Furthermore, the half-life of levodopa is close to 1.5 hours (48), whereas galantamine's half-life is 5.2 hours (49), and is thus much longer than the mean duration of the experiment (30 minutes).

**Task Design.** Figure 1A depicts the task procedure. A complete description can be found in Aponte *et al.* (38) and in the Supplement.

The main experiment consisted of 3 blocks of 192 trials. Every block contained randomly interleaved pro- and anti-saccade trials, of which 20%, 50%, or 80% were prosaccade trials (conditions PP20, PP50, and PP80, respectively). The order of the blocks was identical in both sessions, but pseudorandomized across subjects.

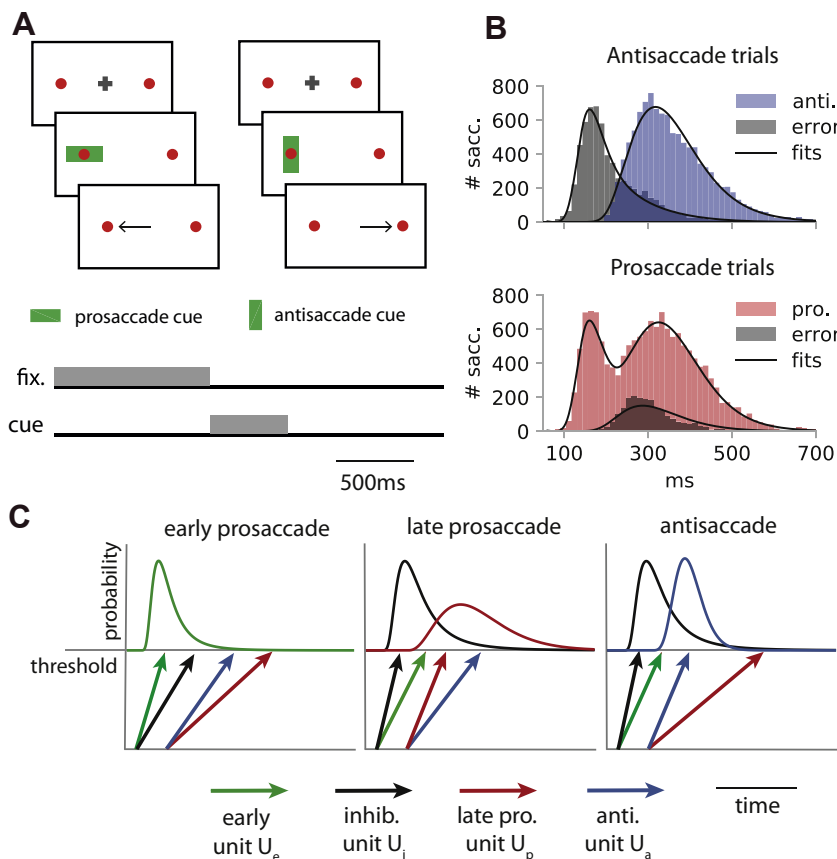
### Modeling

The first main goal of this study was to quantify the effects of levodopa and galantamine on inhibitory control and action selection. The key observation here is that to complete an anti-saccade, two things need to happen. First, a reflexive saccade to the peripheral cue must be stopped. Second, participants need to apply the rule associated with the cue (vertical bar = anti-saccade) to select the corresponding action (a saccade in the direction opposite to the cue). These steps allow for different types of error: either a reflexive prosaccade is not stopped (an inhibition failure) or the wrong action is selected (a choice error).

In the case of correct prosaccades, a similar process takes place with an important twist: inhibition failures are correct responses on prosaccade trials. However, when reflexive saccades are stopped, subjects still need to select the correct action associated with the cue (horizontal bar = prosaccade). When the wrong action is selected, an (error) antisaccade is generated.

To quantify the effects of levodopa and galantamine on inhibitory control and action selection, it is therefore necessary to disentangle when subjects fail to inhibit reflexive prosaccades (inhibition failures) and when they fail to select the correct action (choice errors). Because none of these can be directly measured, we fitted the SERIA model to individual RT distributions (Figure 1B, C and Supplement).

In brief, SERIA asserts that saccades are the result of the competition among four race-to-threshold processes or units [see Figure 1C and Aponte *et al.* (38,39)]: an early response unit



**Figure 1.** (A) Task design. Two red circles were presented at 12° to the right and to the left of the center of the screen. Each trial started with a fixation cross for 500–1000 ms. After the fixation period, a green bar was displayed for 500 ms centered on one of two peripheral red circles. Participants were required to saccade to a cued red circle (prosaccade trials) or to saccade in the uncued direction (antisaccade trials) as fast as possible. (B) Reaction time histogram and model fits of all subjects in a subset of the data (prosaccade trial probability 50% condition). The top panel shows antisaccade trials, with correct antisaccades displayed in blue and errors displayed in gray. The bottom panel shows prosaccade trials, with correct prosaccades displayed in red and errors displayed in gray. Note that prosaccades were bimodally distributed. The first peak corresponds to reflexive (early) prosaccades, whereas the second peak corresponds to voluntary (late) prosaccades. The reaction time distributions predicted by the model are displayed in black. (C) Schematic presentation of the model. Stochastic early reaction, inhibition, and late action (SERIA) uses 4 race-to-threshold units. The early unit (green) triggers fast prosaccades. If the inhibitory unit (black) hits threshold before the early unit, voluntary prosaccades (red) or antisaccades (blue) can be generated. Modified with permission from Aponte *et al.* (39). anti., antisaccade; fix., fixation; pro., prosaccade; sacc., saccade; U, unit.

$U_e$  associated with fast prosaccades; an inhibitory unit  $U_i$ , whose function is to stop fast prosaccades; and two late response units that represent voluntary (late) prosaccades ( $U_p$ ) and antisaccades ( $U_a$ ). Conceptually, SERIA postulates that early or reflexive prosaccades are generated when the early unit is not stopped by the inhibitory unit. In addition, when a fast prosaccade is stopped, a voluntary eye movement is generated. The action selected (antisaccade or late prosaccade) is determined by the late unit that hits threshold first.

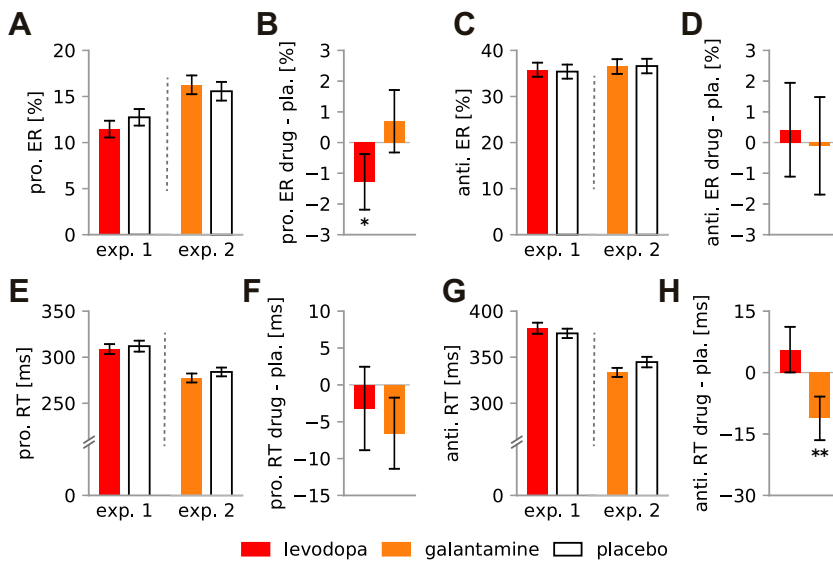
The model can be used to infer on several quantities that are not directly measurable. First, SERIA’s parameters capture the probability of an inhibition failure, i.e., the probability that the early unit hits threshold before all other units. Second, it is possible to quantify the mean hit time of the late units. For antisaccades, this quantity is similar to the mean RT. For prosaccades, this quantity represents the RT of voluntary (late) prosaccades. Finally, the parameters of the model determine the probability of choice errors. On an antisaccade trial, a choice error is a voluntary prosaccade. By contrast, on a prosaccade trial, an error antisaccade is generated when the antisaccade unit hits threshold before the late prosaccade unit.

Details about the modeling approach and fitting procedure can be found in the [Supplement](#).

**Statistical Analysis.** Statistical analyses were conducted using generalized linear mixed-effects models implemented in

R version 3.4.3 (R Foundation for Statistical Computing, Vienna, Austria). Subjects were entered as a random effect, whereas the factors switch trial (switch), prosaccade trial probability (PP), session, drug (drug vs. placebo), experiment (levodopa vs. galantamine), and dose (defined as drug [mg]/weight [kg]) were treated as fixed effects. In addition, we considered the following interactions: PP × session, PP × switch, PP × drug, and dose × drug. When both experiments were analyzed together, we also included the interactions drug × experiment, drug × dose × experiment, and drug × PP × experiment. ERs were analyzed with binomial regression models, whereas probabilities were analyzed with beta regression models. Statistical inferences about RTs were based on *F* tests. For ERs and probabilities, Wald tests were employed. Significance was asserted at  $\alpha = .05$ .

**Classification.** The second main goal of this study was to test whether it is possible to determine if a given participant received levodopa or galantamine based on computational parameters derived from our model. To this end, a supervised classification algorithm was trained on individual model-based features computed from parameter estimates, with the aim to predict the drug administered on a subject-by-subject basis. More concretely, the features used to train the classifier were subject-specific differences in parameter estimates between the drug and placebo conditions. This “generative embedding”



**Figure 2.** (A) Mean prosaccade ER in experiments 1 and 2. (B) Difference in prosaccade ER between the drug and placebo conditions. Levodopa significantly reduced prosaccade ER ( $p = .010$ ). (C) Mean antisaccade ER. (D) Difference in antisaccade ER between the drug and placebo conditions. (E) Mean prosaccade RT in experiments 1 and 2. (F) Difference in prosaccade RT between the drug and placebo conditions. (G) Mean antisaccade RT. (H) Difference in antisaccade RT between the drug and placebo conditions. Galantamine decreased antisaccade RT ( $p < 1.0 \times 10^{-3}$ ). Error bars depict the SEM. \* $p < .05$ ; \*\* $p < .01$ . anti., antisaccade; ER, error rate; exp., experiment; pla., placebo; pro., prosaccade; RT, reaction time.

(50) strategy is a way to enhance (un)supervised learning by using posterior estimates from a generative model, instead of raw data, as a denoised and low-dimensional feature space. Classification was performed using gradient boosting (51) implemented in xgboost (52). The details of the classification strategy are explained in the Supplement.

## RESULTS

### Participants

In experiment 1, 46 subjects (mean age  $23.6 \pm 2.9$  years) were included in the final analysis. In experiment 2, 44 subjects were included in the final analysis (mean age  $22.4 \pm 2.3$  years). For further details, see the Supplement.

### Error Rate and Reaction Time

Before analyzing the behavioral data with SERIA, we report the empirical ER and RT. The former is assumed to measure participants' ability to stop reflexive prosaccades; therefore, elevated ER is thought to reflect poor inhibitory control (8). There is less consensus on what changes in RT may indicate (53,54). For example, RT is thought to represent attentional shifting velocity (55) and saccadic processing velocity (56). An extended overview of behavioral effects is presented in the Supplement.

**Error Rate.** The mean ER on pro- and antisaccade trials is displayed in the top row of Figure 2. High congruent trial type probability was associated with fewer errors on prosaccade ( $p < 1.0 \times 10^{-5}$ ) and antisaccade ( $p < 1.0 \times 10^{-5}$ ) trials. For example, participants made fewer prosaccade errors (antisaccades) when prosaccade trials were most common (PP80 block), compared with other blocks (PP20 and PP50).

**Error Rate–Drug Effects.** Levodopa reduced the ER on prosaccade trials ( $p = .01$ ). This effect was dose dependent ( $p = .004$ ). On antisaccade trials, we found no significant main effect of drug in experiments 1 and 2, but there was a

significant interaction between drug and dose in experiment 2 ( $p < 1.0 \times 10^{-5}$ ). Galantamine increased antisaccade ER at high doses and reduced it at more moderate levels.

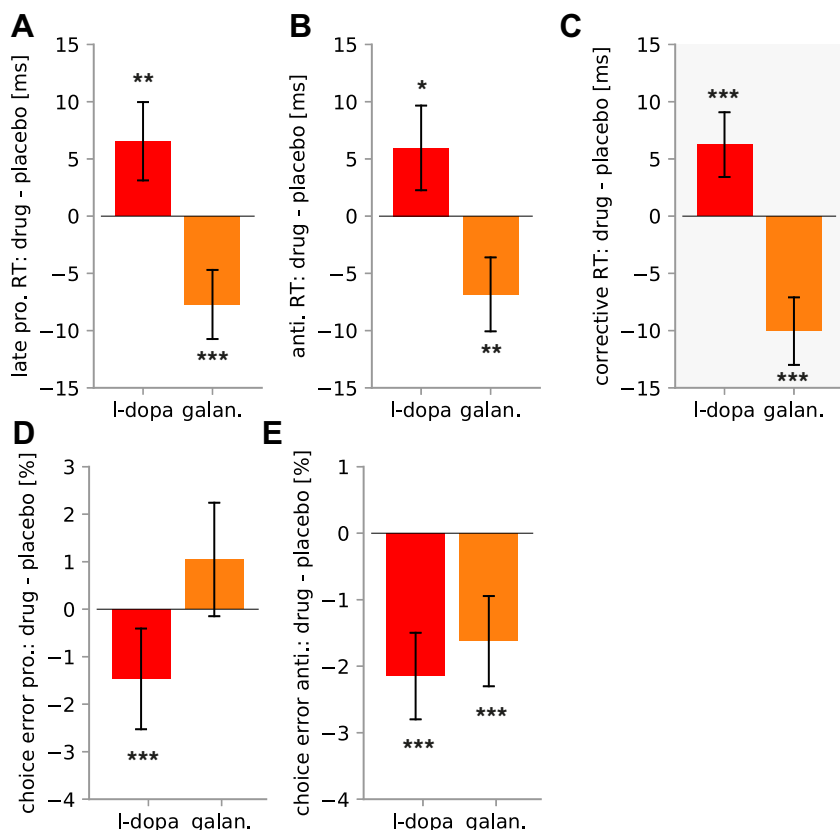
**Reaction Time.** RTs on correct trials were analyzed similarly to ER (bottom row of Figure 2). Higher congruent trial-type probability led to lower RTs in both prosaccade ( $p < 1.0 \times 10^{-5}$ ) and antisaccade ( $p < 1.0 \times 10^{-5}$ ) trials.

**Reaction Time–Drug Effects.** Levodopa increased the latency of antisaccades compared with galantamine (Figure 2H) ( $p < 1.0 \times 10^{-3}$ ). When the two experiments were analyzed independently, we found that galantamine decreased antisaccade RTs ( $p < 1.0 \times 10^{-3}$ ). No other effect was significant.

### Modeling

The classical behavioral analysis revealed 3 drug-related effects: 1) levodopa reduced the ER on prosaccade trials, 2) galantamine reduced antisaccade latency, and 3) increased the antisaccade ER in a dose-dependent fashion. To relate the behavioral findings to inhibitory control or action selection, we applied computational modeling to our behavioral data. Our main goal was to determine whether levodopa and galantamine affected 1) the hit time of the inhibitory and late units, 2) the probability of inhibition failures (inhibitory control), and 3) the probability of choice errors (action selection). Drug effects on the hit times of the inhibitory or late units would demonstrate effects specific to either inhibitory control or action selection.

**Threshold Hit Time.** The hit times of the inhibitory and late pro- and antisaccade units were analyzed as in the previous section. Contrary to raw RTs, hit times can be imputed directly to the inhibition of reflexive prosaccades or to voluntary actions. For the late units, we report the expected hit times on correct trials. In the case of the inhibitory unit, pro- and antisaccade trials were analyzed together.



**Figure 3.** (A) Difference in RT of late prosaccades between drug and placebo conditions ( $p < 1.0 \times 10^{-3}$ ). (B) Difference in RT of antisaccades ( $p < 1.0 \times 10^{-3}$ ). (C) Difference in RT of corrective antisaccades ( $p < 1.0 \times 10^{-3}$ ). The data in panel (C) (in gray) are completely independent of the modeling that led to the results in panels (A) and (B). Corrective antisaccades display the same drug effects as voluntary saccades. (D) Difference in error rate in late prosaccades between the drug and placebo conditions ( $p < 1.0 \times 10^{-5}$ ). (E) Difference in late error rate on antisaccade trials ( $p < 1.0 \times 10^{-3}$ ). Error bars represent the SEM. \* $p < .05$ ; \*\* $p < .01$ ; \*\*\* $p < .001$ . anti., antisaccade; galan., galantamine; l-dopa, levodopa; pro., prosaccade; RT, reaction time.

In agreement with Aponte *et al.* (38,39), we found that the hit time of the inhibitory unit increased with the frequency of prosaccade trials ( $p < 1.0 \times 10^{-3}$ ), indicating reduced inhibition when prosaccade trials were more common.

**Threshold Hit Time–Drug Effects.** Levodopa increased the latency of voluntary actions (Figure 3A, B) (late prosaccades:  $p = .004$ ; antisaccades:  $p = .01$ ). On average, voluntary saccades were 5-ms slower under levodopa than under placebo, which correspond to small effect sizes (prosaccades: Cohen's  $f^2 = 1.29$ ; antisaccades: Cohen's  $f^2 = 1.11$ ). However, although similar in magnitude, the effect of levodopa on the inhibitory unit failed to reach significance (drug – placebo = 5 ms [Cohen's  $f^2 = 0.07$ ,  $p = .079$ ]).

Galantamine had the opposite effect of levodopa on voluntary actions. Specifically, it reduced the hit time of late prosaccades ( $p < 1.0 \times 10^{-3}$ ) and antisaccades ( $p = .001$ ). On average, the hit times were 6-ms lower under galantamine compared with placebo, which constitutes medium effect sizes (prosaccades: Cohen's  $f^2 = 1.82$ ; antisaccades: Cohen's  $f^2 = 1.52$ ). Again, there was no main effect of drug on the inhibitory unit ( $p = .382$ ), but there was a dose-dependent effect, as explained subsequently.

**Corrective Antisaccades.** In Aponte *et al.* (38,39), we showed that corrective antisaccades that follow errors on antisaccade trials are distributed like late responses up to a fixed delay. Consequently, SERIA predicts that corrective

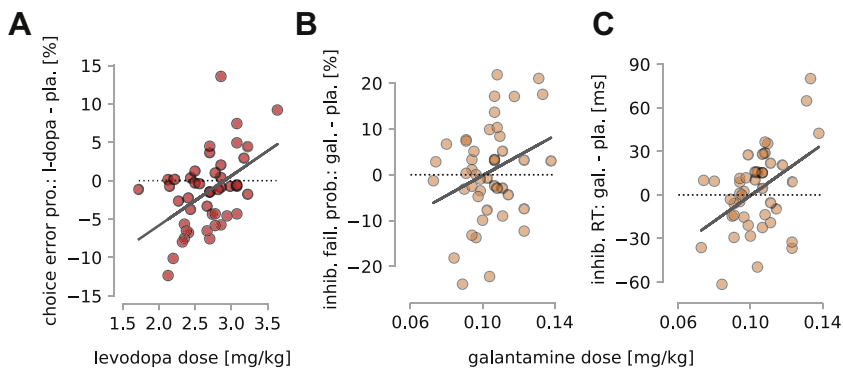
antisaccades should display the same drug effects as antisaccades, i.e., slower corrective antisaccades in the levodopa condition and faster antisaccades in the galantamine condition.

We analyzed 5696 corrective saccades in experiment 1 and 4996 in experiment 2. Because the frequency of corrective antisaccades varied widely over subjects and conditions, we accounted for the inhomogeneous number of trials by analyzing trial-by-trial RT as opposed to mean RT, using a strategy similar to Tatler *et al.* (57).

Supporting our hypothesis (Figure 3C), levodopa increased the RT of corrective antisaccades ( $\Delta RT = 8$  ms [Cohen's  $f^2 = 1.11$ ,  $p < 1.0 \times 10^{-3}$ ]), whereas galantamine had the opposite effect ( $\Delta RT = -10$  ms [Cohen's  $f^2 = 1.52$ ,  $p < 1.0 \times 10^{-3}$ ]).

**Inhibition Failure and Choice Error.** We proceeded to investigate the probability of choice errors and inhibition failures. Choice errors occur when the incongruent voluntary action hits threshold before the congruent action. In other words, choice errors happen when the wrong voluntary action is selected. An inhibition failure occurs when the early unit hits threshold before all other units.

Choice ER was anticorrelated with congruent trial type probability (late prosaccade:  $p < 1.0 \times 10^{-5}$ ; antisaccade:  $p < 1.0 \times 10^{-5}$ ). Thus, the correct voluntary action was selected most often when the probability of the corresponding trial type was the highest. The probability of an



**Figure 4.** Dose-dependent effects. **(A)** Difference (levodopa – placebo) in choice error rate on prosaccade trials as a function of dose in experiment 1 ( $p < 1.0 \times 10^{-5}$ ). At a high dose, levodopa increased the number of errors, whereas at more moderate levels it had the opposite effect. **(B)** Difference (galantamine – placebo) in the percentage of inhibition failures averaged across conditions. Galantamine increased the number of inhibition failures as a function of dose ( $p < 1.0 \times 10^{-3}$ ). **(C)** Difference (galantamine – placebo) in the RT of the inhibitory unit averaged across conditions. Galantamine increased the latency of the inhibitory unit as a function of dose ( $p < 1.0 \times 10^{-3}$ ). gal., galantamine; inhib. fail., inhibition failure; I-dopa, levodopa; pla., placebo; pro., prosaccade; prob., probability; RT, reaction time.

inhibition failure was positively correlated with prosaccade trial probability ( $p < 1.0 \times 10^{-5}$ ). This indicates that inhibitory control was released as prosaccade trials became more common.

**Inhibition Failure and Choice Error-Drug Effects.** Levodopa significantly reduced the probability of choice errors on pro- and antisaccade trials (Figure 3D, E) (prosaccade:  $\Delta = 1.5\%$ ,  $p < 1.0 \times 10^{-3}$ ; antisaccade:  $\Delta = 2.1\%$ ,  $p < 1.0 \times 10^{-5}$ ). By contrast, levodopa increased the probability of inhibition failures, although this effect was not significant ( $\Delta = 1.6\%$ ,  $p = .082$ ). Therefore, levodopa mainly improved the ability to select correct voluntary actions, at the cost of higher RT.

Galantamine decreased the probability of choice errors on antisaccade trials ( $p < 1.0 \times 10^{-5}$ ). On prosaccade trials, galantamine did not have a significant effect ( $p = .095$ ). There was no significant main effect of galantamine on the number of inhibition failures ( $p = .59$ ).

**Dose-Dependent Effects.** In addition to the main effects of galantamine and levodopa, we investigated any dose-dependent effect. At low doses, levodopa reduced the probability of choice errors on prosaccade trials. This effect was reversed at higher doses (Figure 4A) ( $p < 1.0 \times 10^{-5}$ ). While the main effect of drug was not significant in experiment 2, galantamine had a highly significant dose-dependent effect on the latency of the inhibitory unit (Figure 4B) ( $p < 1.0 \times 10^{-3}$ ). This was reflected by a linear effect on inhibition failure probability ( $p < 1.0 \times 10^{-3}$ ). At low doses, galantamine reduced the hit time of the inhibitory unit and the inhibition failure probability, and this effect was reversed at higher doses.

**Classification of Drug Effects.** Finally, we tested whether the effects of levodopa and galantamine on computational parameters can be used to predict which of the two drugs was administered (Figure 5). Leave-one-out cross-validation resulted in 70% predictive accuracy (95% confidence interval, 61%–79%). A permutation test, in which the levodopa and galantamine labels were randomly swapped, showed that the predictive accuracy was highly significant ( $p < .001$ ). A second permutation test, in which the drug and placebo labels were randomly swapped, yielded a similar result ( $p = .001$ ) (Figure 5). Because drug/placebo labels (but not experiment labels) were permuted, this second test rules out that the accuracy of the

classifier depended on a difference between experiments not related to the drug administered.

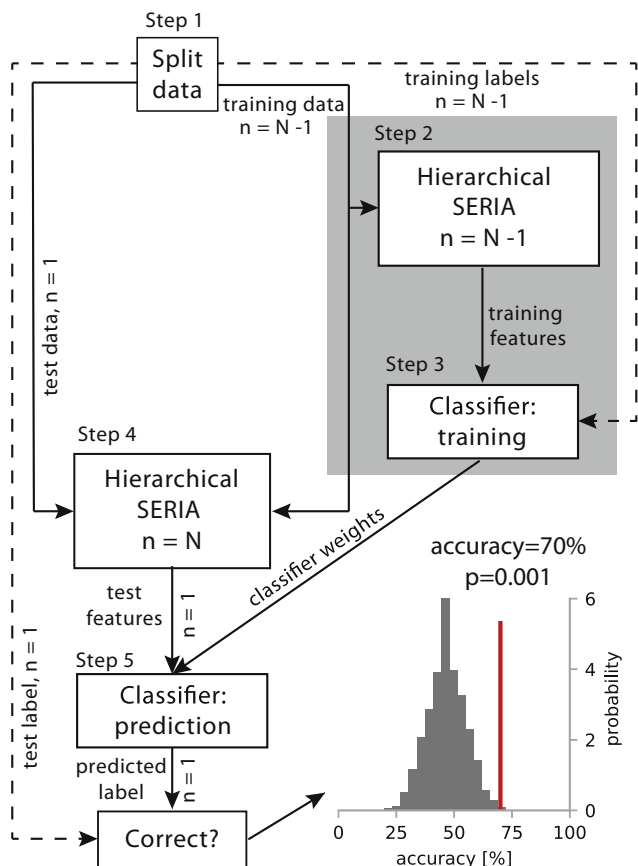
## DISCUSSION

This study was motivated by the longstanding observation that aberrant neuromodulatory signaling might underlie the pathophysiology in schizophrenia (53,54,56,58). Hence, noninvasive readouts of neuromodulatory processes in patients might be of clinical relevance (4). A first test of the feasibility of such readouts can be obtained from pharmacological studies in healthy volunteers using a paradigm with consistently altered behavior in schizophrenia and with hypothesized links to potential changes in neuromodulatory transmission. The antisaccade paradigm fulfills these criteria. We have thus studied changes of its key cognitive subcomponents—inhibitory control and action selection—under pharmacological manipulations of DA and ACh.

With this goal in mind, we investigated the effect of a dopaminergic (levodopa) and a cholinergic (galantamine) drug on inhibition and action selection during the antisaccade task. Traditional behavioral metrics revealed several significant effects of these drugs. A more fine-grained analysis was possible through computational modeling, which indicated that levodopa altered action selection. Levodopa also increased the number of inhibition failures, although this effect was not significant. In other words, levodopa mainly enhanced the decision process between competing voluntary actions, without reliably affecting the inhibition of reflexive saccades. Higher action selection accuracy came at the cost of higher RT.

Galantamine affected both action selection and inhibitory control. Specifically, voluntary actions were facilitated by galantamine: RTs were lower compared with placebo. Galantamine also improved the inhibition of reflexive actions at lower doses but had the opposite effect at higher levels. Thus, contrary to commonly held hypotheses (9,10), dopaminergic neuromodulation affected action selection rather than inhibitory control. However, cholinergic neuromodulation strongly affected inhibitory control. Notably, these effects were specific enough to allow for identifying the administered drug on a subject-by-subject basis with reasonable accuracy. This suggests the potential for a future translation of our method into clinical applications.

In the following sections, we discuss our findings in relation to levodopa, galantamine, and possible clinical applications.



**Figure 5.** Prediction of drug labels with stochastic early reaction, inhibition, and late action (SERIA). This figure summarizes the classification procedure. In step 1, data from  $N = 90$  subjects were split into test and training sets, leaving 1 subject out at each iteration. In step 2, to generate training features, the SERIA model was fitted to data from  $n - 1$  subjects. In step 3, a gradient-boosting classifier was trained on the SERIA parameter estimates using the drug labels from the previous step. In step 4, test features were generated by fitting SERIA to data from all  $N$  subjects. In step 5, weights from classifiers trained on  $n - 1$  subjects were used to predict the drug label of the left-out subjects. This resulted in a predictive accuracy of 70% (95% confidence interval, 61%–79%;  $p = .001$ ). The histogram in the bottom right shows the accuracies using randomly permuted drug labels. The red line illustrates the true accuracy. This splitting of the data assured that the test data could not influence the training of the classifier in any way.

### Effects of Levodopa

Although levodopa has been used widely in translational research (59), it has not been studied systematically in the antisaccade task [but see Duka and Lupp (60) and Hood *et al.* (61)]. Nevertheless, it has been hypothesized that increased tonic DA levels in the BG impair inhibitory control, which should explain the deficits observed in schizophrenia (9,10).

According to SERIA, levodopa did not significantly alter the inhibition of reflexive saccades. However, there was a trend toward more inhibition failures in the levodopa condition. Previous studies have also failed to find changes in stop-signal RT under levodopa compared with placebo (62,63), suggesting that increased tonic DA might have a limited effect on response inhibition.

Intriguingly, modeling demonstrated that levodopa influenced action selection in two ways: it reduced the probability of errors in selecting voluntary actions (choice errors) and increased the latency of this type of actions. These effects were not restricted to antisaccades, but rather extended to voluntary prosaccades and to corrective antisaccades. Thus, the effects of levodopa were most prominent in action selection and not in inhibitory control.

Prefrontal areas represent voluntary cue-action mappings in the antisaccade task (64,65) and possibly implement the decision processes responsible for them (17,66). In these regions, low-dose  $D_1$  receptor-mediated inhibition might induce stronger network stability (67), reducing (choice) ER and RT, while not affecting inhibitory control. This possibility is also supported by our finding that levodopa reduced choice errors on prosaccade trials at lower doses and increased the ER at higher doses (Figure 4A), suggesting that excessive DA impairs voluntary action selection.

In summary, the main effect of levodopa was to slow down voluntary saccades, which led to fewer choice errors. From a modeling perspective, this suggests that levodopa promoted a speed-accuracy tradeoff, by increasing the latency of voluntary responses and thus allowing more evidence to accumulate. By contrast, there was no significant effect on the inhibition of reflexive saccades. Nevertheless, our analysis cannot rule out that DA affects inhibitory control in the antisaccade task.

### Effects of Galantamine

While the effects of nicotine on antisaccades have been investigated previously (25–34,68,69), to our knowledge, this is the first antisaccade study applying a more general procholinergic drug (as an acetylcholinesterase inhibitor, galantamine raises ACh levels in general). Our findings replicate previous studies in which nicotine was found to reduce antisaccade RT (25,27,28,30,33).

In addition to the effect on voluntary responses, galantamine also affected inhibition failure probability in a dose-dependent fashion. At a high dose, galantamine had a deleterious effect, whereas at more moderate levels, it improved performance. A comparable effect was reported previously (70) and is in agreement with dose-dependent effects observed *in vitro* (48) and *in vivo* in rodents (71). In patients with schizophrenia, galantamine at high doses (32 mg/day) impairs inhibitory control (72).

Although deficits on the antisaccade task have been related to DA dysregulation in the BG, the BG are also strongly modulated by cholinergic processes, owing to local cholinergic interneurons and afferent projections from cholinergic nuclei (36). Our results suggest that cholinergic neuromodulation is also relevant to explain deficits in inhibitory control.

### Opposite Effects of Levodopa and Galantamine: Predictive Classification

One promising application of mathematical models in translational psychiatry concerns the development of computational assays that can generate single-subject predictions (41). Our results indicate that the effects of galantamine and levodopa could be discriminated based on SERIA parameter estimates

obtained from eye movements during the antisaccade task. To our knowledge, this constitutes the first demonstration that antisaccade behavior can be used to make statements about neuromodulation in individual subjects. Because antisaccade performance can be easily measured in clinical settings and is robustly impaired in schizophrenia, the combination of SERIA with machine learning might find utility for translational applications in schizophrenia research. Specifically, if the accuracy of our approach were further increased, it could help identify clinically relevant subgroups with different abnormalities in neuromodulation, as postulated by the dysconnection hypothesis of schizophrenia (4–6). If successful, a computational assay of this sort might eventually contribute to procedures for differential diagnostics and aid individual treatment recommendations. The limitations and prospects of this approach need to be evaluated in future studies.

### ACKNOWLEDGMENTS AND DISCLOSURES

This work was supported by the René and Susanne Braginsky Foundation (to KES) and the University of Zurich (to KES).

The authors report no biomedical financial interests or potential conflicts of interest.

### ARTICLE INFORMATION

From the Translational Neuromodeling Unit (EAA, DS, KES, JH), Institute for Biomedical Engineering, University of Zurich and ETH Zurich, Zurich, Switzerland; Wellcome Centre for Human Neuroimaging (KES), University College London, London, United Kingdom; and the Max Planck Institute for Metabolism Research (KES), Cologne, Germany.

Address correspondence to Eduardo A. Aponte, Ph.D., Eidgenössische Technische Hochschule Zurich, Translational Neuromodeling Unit, Wilfriedstrasse 6, 8032, Zurich, Switzerland; E-mail: [aponte@biomed.ethz.ch](mailto:aponte@biomed.ethz.ch); or Jakob Heinzle, Ph.D., Eidgenössische Technische Hochschule Zurich, Translational Neuromodeling Unit, Wilfriedstrasse 6, 8032, Zurich, Switzerland; E-mail: [heinzle@biomed.ethz.ch](mailto:heinzle@biomed.ethz.ch).

Received Jun 28, 2019; revised Oct 6, 2019; accepted Oct 28, 2019.

Supplementary material cited in this article is available online at <https://doi.org/10.1016/j.bpsc.2019.10.011>.

### REFERENCES

- Buckley PF, Miller BJ (2017): Personalized medicine for schizophrenia. *NPJ Schizophr* 3:2.
- Case M, Stauffer VL, Ascher-Svanum H, Conley R, Kapur S, Kane JM, et al. (2011): The heterogeneity of antipsychotic response in the treatment of schizophrenia. *Psychol Med* 41:1291–1300.
- Stephan KE, Bach DR, Fletcher PC, Flint J, Frank MJ, Friston KJ, et al. (2016): Charting the landscape of priority problems in psychiatry, part 1: Classification and diagnosis. *Lancet Psychiatry* 3:77–83.
- Stephan KE, Baldeweg T, Friston KJ (2006): Synaptic plasticity and dysconnection in schizophrenia. *Biol Psychiatry* 59:929–939.
- Stephan KE, Friston KJ, Frith CD (2009): Dysconnection in schizophrenia: From abnormal synaptic plasticity to failures of self-monitoring. *Schizophr Bull* 35:509–527.
- Friston K, Brown HR, Siemerikus J, Stephan KE (2016): The dysconnection hypothesis (2016). *Schizophr Res* 176:83–94.
- Heinzle J, Aponte EA, Stephan KE (2016): Computational models of eye movements and their application to schizophrenia. *Curr Opin Behav Sci* 11:21–29.
- Munoz DP, Everling S (2004): Look away: The anti-saccade task and the voluntary control of eye movement. *Nat Rev Neurosci* 5:218–228.
- Matsuda T, Matsuura M, Ohkubo T, Ohkubo H, Matsushima E, Inoue K, et al. (2004): Functional MRI mapping of brain activation during visually guided saccades and antisaccades: Cortical and subcortical networks. *Psychiatry Res* 131:147–155.
- Wiecki TV, Frank MJ (2013): A computational model of inhibitory control in frontal cortex and basal ganglia. *Psychol Rev* 120:329–355.
- Isoda M, Hikosaka O (2011): Cortico-basal ganglia mechanisms for overcoming innate, habitual and motivational behaviors. *Eur J Neurosci* 33:2058–2069.
- Hikosaka O, Takikawa Y, Kawagoe R (2000): Role of the basal ganglia in the control of purposive saccadic eye movements. *Physiol Rev* 80:953–978.
- Condy C, Rivaud-Pechoux S, Ostendorf F, Ploner CJ, Gaymard B (2004): Neural substrate of antisaccades: Role of subcortical structures. *Neurology* 63:1571–1578.
- Guitton D, Buchtel HA, Douglas RM (1985): Frontal lobe lesions in man cause difficulties in suppressing reflexive glances and in generating goal-directed saccades. *Exp Brain Res* 58:455–472.
- Pierrot-Deseilligny C, Rivaud S, Gaymard B, Agid Y (1991): Cortical control of reflexive visually-guided saccades. *Brain* 114:1473–1485.
- Reuter B, Rakusan L, Kathmann N (2005): Poor antisaccade performance in schizophrenia: An inhibition deficit? *Psychiatry Res* 135:1–10.
- Everling S, Johnston K (2013): Control of the superior colliculus by the lateral prefrontal cortex. *Philos Trans R Soc Lond B Biol Sci* 368:20130068.
- Arango C, Garibaldi G, Marder SR (2013): Pharmacological approaches to treating negative symptoms: A review of clinical trials. *Schizophr Res* 150:346–352.
- Buchanan RW, Conley RR, Dickinson D, Ball MP, Feldman S, Gold JM, McMahon RP (2008): Galantamine for the treatment of cognitive impairments in people with schizophrenia. *Am J Psychiatry* 165:82–89.
- Lieberman JA, Dunbar G, Segreti AC, Girgis RR, Seoane F, Beaver JS, et al. (2013): A randomized exploratory trial of an  $\alpha 7$  nicotinic receptor agonist (TC-5619) for cognitive enhancement in schizophrenia. *Neuropsychopharmacology* 38:968–975.
- Conley RR, Boggs DL, Kelly DL, McMahon RP, Dickinson D, Feldman S, et al. (2009): The effects of galantamine on psychopathology in chronic stable schizophrenia. *Clin Neuropharmacol* 32:69–74.
- Lindenmayer JP, Khan A (2011): Galantamine augmentation of long-acting injectable risperidone for cognitive impairments in chronic schizophrenia. *Schizophr Res* 125:267–277.
- Umbricht D, Keefe RS, Murray S, Lowe DA, Porter R, Garibaldi G, Santarelli L (2014): A randomized, placebo-controlled study investigating the nicotinic  $\alpha 7$  agonist, RG3487, for cognitive deficits in schizophrenia. *Neuropsychopharmacology* 39:1568–1577.
- Walling D, Marder SR, Kane J, Fleischhacker WW, Keefe RS, Hosford DA, et al. (2016): Phase 2 trial of an  $\alpha 7$  nicotinic receptor agonist (TC-5619) in negative and cognitive symptoms of schizophrenia. *Schizophr Bull* 42:335–343.
- Bowling AC, Donnelly JF (2010): Effect of nicotine on saccadic eye movement latencies in non-smokers. *Hum Psychopharmacol* 25:410–418.
- Depatie L, O'Driscoll GA, Holahan AL, Atkinson V, Thavundayil JX, Kin NN, Lal S (2002): Nicotine and behavioral markers of risk for schizophrenia: A double-blind, placebo-controlled, cross-over study. *Neuropsychopharmacology* 27:1056–1070.
- Ettinger U, Williams SC, Patel D, Michel TM, Nwaigwe A, Caceres A, et al. (2009): Effects of acute nicotine on brain function in healthy smokers and non-smokers: Estimation of inter-individual response heterogeneity. *Neuroimage* 45:549–561.
- Larrison AL, Briand KA, Sereno AB (2004): Nicotine improves antisaccade task performance without affecting prosaccades. *Hum Psychopharmacol* 19:409–419.
- Petrovsky N, Ettinger U, Quednow BB, Walter H, Schnell K, Kessler H, et al. (2012): Nicotine differentially modulates antisaccade performance in healthy male non-smoking volunteers stratified for low and high accuracy. *Psychopharmacology (Berl)* 221:27–38.
- Petrovsky N, Ettinger U, Quednow BB, Landsberg MW, Drees J, Lennertz L, et al. (2013): Nicotine enhances antisaccade performance in schizophrenia patients and healthy controls. *Int J Neuro-psychopharmacol* 16:1473–1481.



31. Powell J, Dawkins L, Davis RE (2002): Smoking, reward responsiveness, and response inhibition: Tests of an incentive motivational model. *Biol Psychiatry* 51:151–163.
32. Roos YBWEM, de Jongh FE, Crevits L (1995): The effects of smoking on anti-saccades: A study in regular smokers. *Neuroophthalmology* 15:3–8.
33. Rycroft N, Hutton SB, Rusted JM (2006): The antisaccade task as an index of sustained goal activation in working memory: Modulation by nicotine. *Psychopharmacology (Berl)* 188:521–529.
34. Rycroft N, Hutton SB, Clowry O, Groomsbridge C, Sierakowski A, Rusted JM (2007): Non-cholinergic modulation of antisaccade performance: A modafinil-nicotine comparison. *Psychopharmacology (Berl)* 195:245–253.
35. Schmechtig A, Lees J, Grayson L, Craig KJ, Dadhiwala R, Dawson GR, *et al.* (2013): Effects of risperidone, amisulpride and nicotine on eye movement control and their modulation by schizotypy. *Psychopharmacology (Berl)* 227:331–345.
36. Mesulam MM, Mash D, Hersh L, Bothwell M, Geula C (1992): Cholinergic innervation of the human striatum, globus pallidus, subthalamic nucleus, substantia nigra, and red nucleus. *J Comp Neurol* 323:252–268.
37. Ding JB, Guzman JN, Peterson JD, Goldberg JA, Surmeier DJ (2010): Thalamic gating of corticostriatal signaling by cholinergic interneurons. *Neuron* 67:294–307.
38. Aponte EA, Schobi D, Stephan KE, Heinzle J (2017): The Stochastic Early Reaction, Inhibition, and late Action (SERIA) model for anti-saccades. *PLoS Comput Biol* 13:e1005692.
39. Aponte EA, Tschan DG, Heinzle J, Stephan KE (2018): Inhibition failures and late errors in the antisaccade task: Influence of cue delay. *J Neurophysiol* 120:3001–3016.
40. Aponte EA, Stephan KE, Heinzle J (2019): Switch costs in inhibitory control and voluntary behaviour: A computational study of the antisaccade task. *Eur J Neurosci* 50:3205–3220.
41. Stephan KE, Schlagenhaut F, Huys QJ, Raman S, Aponte EA, Brodersen KH, *et al.* (2017): Computational neuroimaging strategies for single patient predictions. *Neuroimage* 145:180–199.
42. Caldu X, Dreher JC (2007): Hormonal and genetic influences on processing reward and social information. *Ann N Y Acad Sci* 1118:43–73.
43. Koller WC, Rueda MG (1998): Mechanism of action of dopaminergic agents in Parkinson's disease. *Neurology* 50:S11–S14, discussion S44–S48.
44. Texido L, Ros E, Martin-Satue M, Lopez S, Aleu J, Marsal J, Solsona C (2005): Effect of galantamine on the human alpha7 neuronal nicotinic acetylcholine receptor, the Torpedo nicotinic acetylcholine receptor and spontaneous cholinergic synaptic activity. *Br J Pharmacol* 145:672–678.
45. Maelicke A, Samochocki M, Jostock R, Fehrenbacher A, Ludwig J, Albuquerque EX, Zerlin M (2001): Allosteric sensitization of nicotinic receptors by galantamine, a new treatment strategy for Alzheimer's disease. *Biol Psychiatry* 49:279–288.
46. Santos MD, Alkondon M, Pereira EF, Aracava Y, Eisenberg HM, Maelicke A, Albuquerque EX (2002): The nicotinic allosteric potentiating ligand galantamine facilitates synaptic transmission in the mammalian central nervous system. *Mol Pharmacol* 61:1222–1234.
47. Samochocki M, HOFFLE A, Fehrenbacher A, Jostock R, Ludwig J, Christner C, *et al.* (2003): Galantamine is an allosterically potentiating ligand of neuronal nicotinic but not of muscarinic acetylcholine receptors. *J Pharmacol Exp Ther* 305:1024–1036.
48. Crevoisier C, Monreal A, Metzger B, Nilsen T (2003): Comparative single- and multiple-dose pharmacokinetics of levodopa and 3-O-methyldopa following a new dual-release and a conventional slow-release formulation of levodopa and benserazide in healthy volunteers. *Eur Neurol* 49:39–44.
49. Scott LJ, Goa KL (2000): Galantamine: A review of its use in Alzheimer's disease. *Drugs* 60:1095–1122.
50. Brodersen KH, Schofield TM, Leff AP, Ong CS, Lomakina EI, Buhmann JM, Stephan KE (2011): Generative embedding for model-based classification of fMRI data. *PLoS Comput Biol* 7:e1002079.
51. Friedman JH (2001): Greedy function approximation: A gradient boosting machine. *Ann Stat* 29:1189–1232.
52. Chen T, Guestrin C (2016): Xgboost: A scalable tree boosting system. In: *Proceedings of the 22nd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining*. New York, NY: ACM, 785–794.
53. Cohen JD, Servan-Schreiber D (1992): Context, cortex, and dopamine: A connectionist approach to behavior and biology in schizophrenia. *Psychol Rev* 99:45–77.
54. Howes OD, Kapur S (2009): The dopamine hypothesis of schizophrenia: Version III—the final common pathway. *Schizophr Bull* 35:549–562.
55. Reilly JL, Frankovich K, Hill S, Gershon ES, Keefe RS, Keshavan MS, *et al.* (2014): Elevated antisaccade error rate as an intermediate phenotype for psychosis across diagnostic categories. *Schizophr Bull* 40:1011–1021.
56. Higley MJ, Picciotto MR (2014): Neuromodulation by acetylcholine: Examples from schizophrenia and depression. *Curr Opin Neurobiol* 29:88–95.
57. Tatler BW, Hutton SB (2007): Trial by trial effects in the antisaccade task. *Exp Brain Res* 179:387–396.
58. Tandon R, Greden JF (1989): Cholinergic hyperactivity and negative schizophrenic symptoms. A model of cholinergic/dopaminergic interactions in schizophrenia. *Arch Gen Psychiatry* 46:745–753.
59. Cools R (2006): Dopaminergic modulation of cognitive function-implications for L-DOPA treatment in Parkinson's disease. *Neurosci Biobehav Rev* 30:1–23.
60. Duka T, Lupp A (1997): The effects of incentive on antisaccades: Is a dopaminergic mechanism involved? *Behav Pharmacol* 8:373–382.
61. Hood AJ, Amador SC, Cain AE, Briand KA, Al-Refai AH, Schiess MC, Sereno AB (2007): Levodopa slows prosaccades and improves anti-saccades: An eye movement study in Parkinson's disease. *J Neurol Neurosurg Psychiatr* 78:565–570.
62. Obeso I, Wilkinson L, Jahanshahi M (2011): Levodopa medication does not influence motor inhibition or conflict resolution in a conditional stop-signal task in Parkinson's disease. *Exp Brain Res* 213:435–445.
63. Overtom CC, Verbaten MN, Kemner C, Kenemans JL, van Engeland H, Buitelaar JK, *et al.* (2003): Effects of methylphenidate, desipramine, and L-dopa on attention and inhibition in children with attention deficit hyperactivity disorder. *Behav Brain Res* 145:7–15.
64. Everling S, DeSouza JF (2005): Rule-dependent activity for prosaccades and antisaccades in the primate prefrontal cortex. *J Cogn Neurosci* 17:1483–1496.
65. Amemori K, Sawaguchi T (2006): Rule-dependent shifting of sensorimotor representation in the primate prefrontal cortex. *Eur J Neurosci* 23:1895–1909.
66. Lo CC, Wang XJ (2016): Conflict resolution as near-threshold decision-making: A spiking neural circuit model with two-stage competition for antisaccadic task. *PLoS Comput Biol* 12:e1005081.
67. Vijayraghavan S, Wang M, Birnbaum SG, Williams GV, Arnsten AF (2007): Inverted-U dopamine D1 receptor actions on prefrontal neurons engaged in working memory. *Nat Neurosci* 10:376–384.
68. Larrison-Faucher AL, Matorin AA, Sereno AB (2004): Nicotine reduces antisaccade errors in task impaired schizophrenic subjects. *Prog Neuropsychopharmacol Biol Psychiatry* 28:505–516.
69. Ettinger U, Faiola E, Kasparbauer AM, Petrovsky N, Chan RC, Liepelt R, Kumari V (2017): Effects of nicotine on response inhibition and interference control. *Psychopharmacology (Berl)* 234:1093–1111.
70. Vossel S, Bauer M, Mathys C, Adams RA, Dolan RJ, Stephan KE, Friston KJ (2014): Cholinergic stimulation enhances Bayesian belief updating in the deployment of spatial attention. *J Neurosci* 34:15735–15742.
71. Woodruff-Pak DS, Vogel RW, Wenk GL (2001): Galantamine: effect on nicotinic receptor binding, acetylcholinesterase inhibition, and learning. *Proc Natl Acad Sci USA* 98:2089–2094.
72. Dyer MA, Freudenreich O, Culhane MA, Pachas GN, Deckersbach T, Murphy E, *et al.* (2008): High-dose galantamine augmentation inferior to placebo on attention, inhibitory control and working memory performance in nonsmokers with schizophrenia. *Schizophr Res* 102:88–95.