

# Why is fMRI important for medicine?

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Universität  
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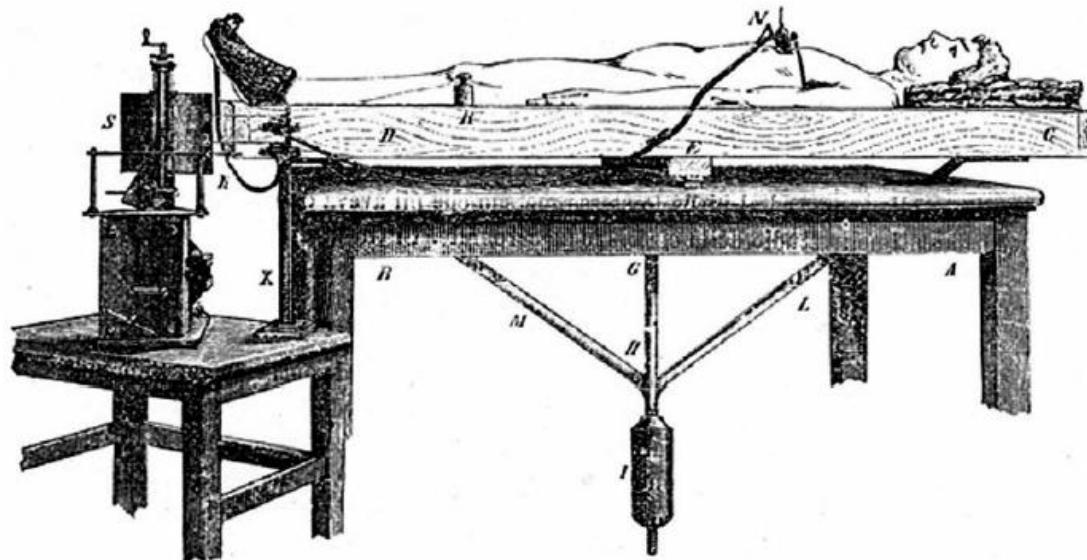


Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

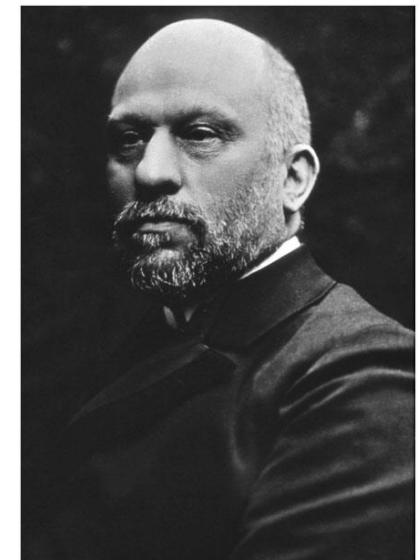
# Overview

- Measuring brain activity - an ultrashort summary
- fMRI in a nutshell
- Neurology: clinical examples
- Psychiatry: can fMRI help?

# The "human circulation balance"



**Figure 3** Mosso's 'human circulation balance', used to measure cerebral activity during resting and cognitive states. A and B = wooden table with three apertures on its top; C and D = tilting bed; E = pivot with steel knife fulcrum; G and H = 1 m long iron rod bearing the counterweight; I = cast iron counterweight with screw regulation; M and L = two iron stiffening bars; N = pneumatic pneumograph; R = equilibrating weight; S = kymograph; X = vertical stand for graphic transducers (Angelo Mosso's original drawing, modified and adapted from Mosso, 1884, *Atti della Reale Accademia dei Lincei*).

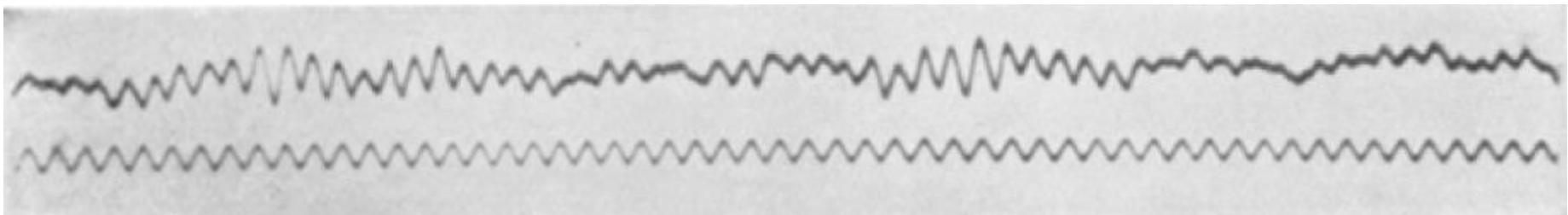


**Angelo Mosso  
(1846-1910)**

# Electroencephalography (EEG)



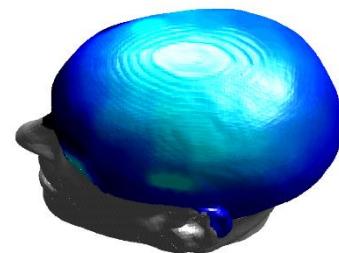
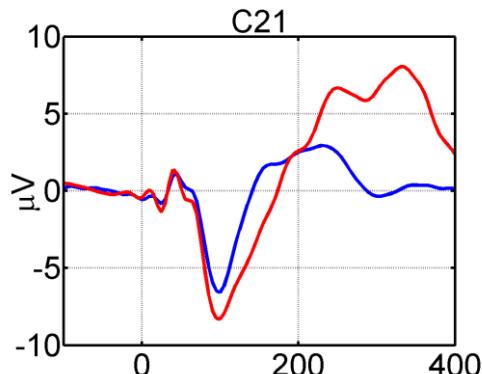
Hans Berger (1873–1941)



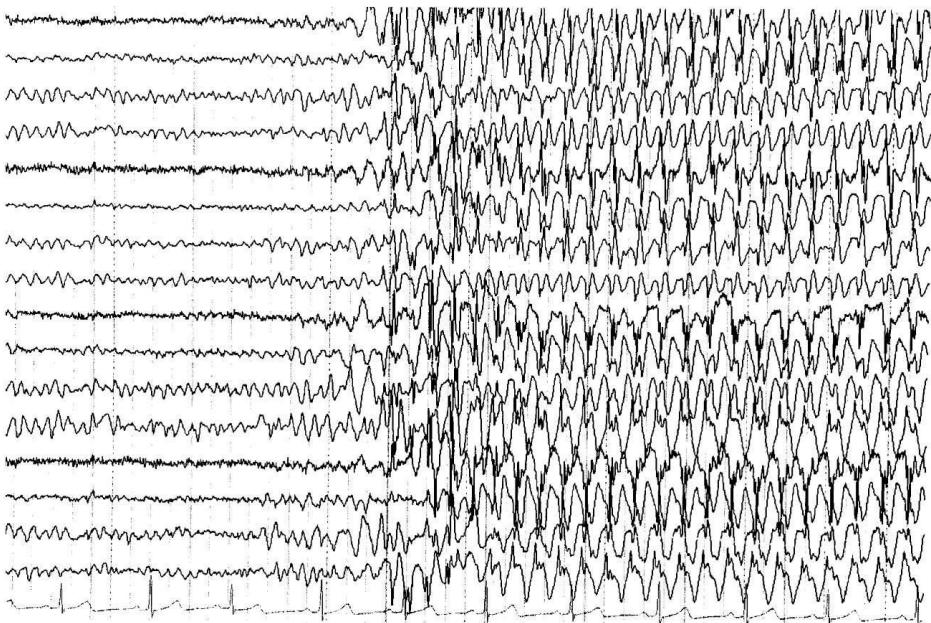
Berger H. Über das Elektrenkephalogramm des Menschen. Archive für Psychiatrie. 1929; 87:527-70., Public Domain, <https://commons.wikimedia.org/w/index.php?curid=2900591>

# EEG & MEG

- alignment of dendritic trees of pyramidal cell allow measurements of
  - electric potentials (EEG)
  - magnetic fields (MEG)
- excellent temporal resolution (< 1 millisecond)
- limited spatial resolution ( $\approx 1 \text{ cm}$ )



# Clinical use of EEG & MEG

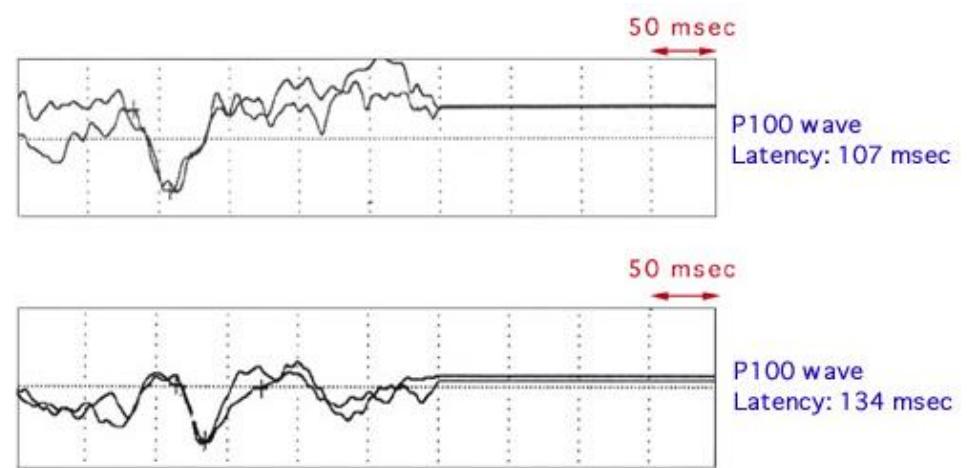


normal

Reduced latency of a visual evoked potential due to demyelination in MS

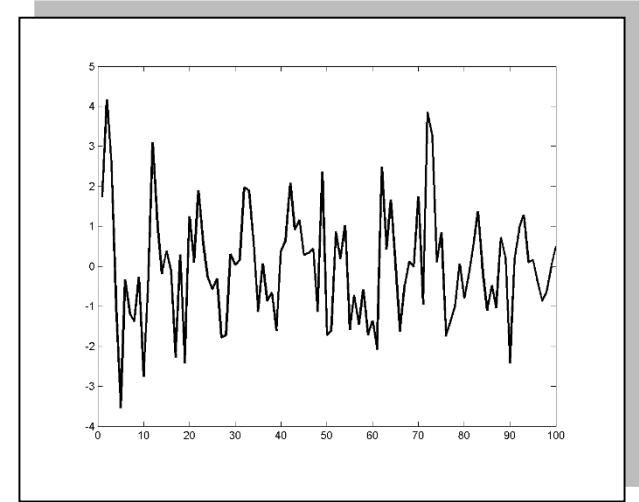
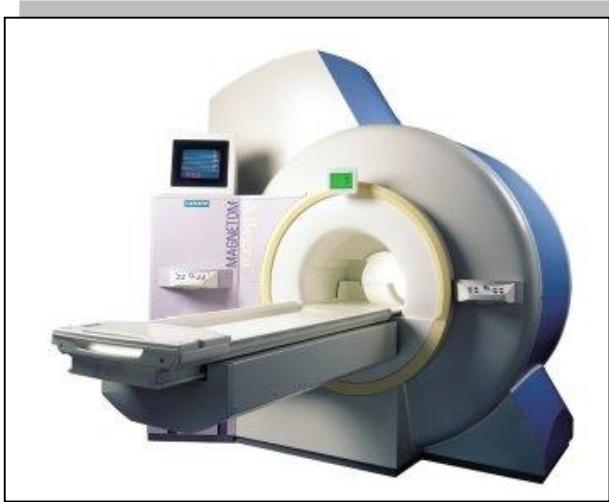
abnormal

Childhood absence epilepsy



# Functional magnetic resonance imaging (fMRI)

- non-invasive, radioactivity-free technique
- hemodynamic signal (blood oxygen level dependent: BOLD signal) as an indirect index of neuronal activity
- temporal resolution in the sub-second range,  
spatial resolution in the micrometer/millimeter range

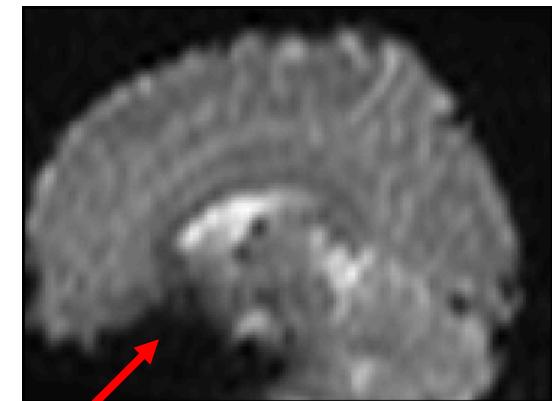


# Functional MRI (fMRI)

- Uses *echo planar imaging* (EPI) for fast acquisition of T2\*-weighted images.
- Spatial resolution:
  - 2 mm (standard 3T scanner)
  - << 1mm (high-field systems)
- Sampling speed:
  - 1 slice: approx. 50 ms
- Problems:
  - distortion and signal dropouts in certain regions
  - sensitive to head motion of subjects during scanning
- Requires spatial pre-processing and statistical analysis.

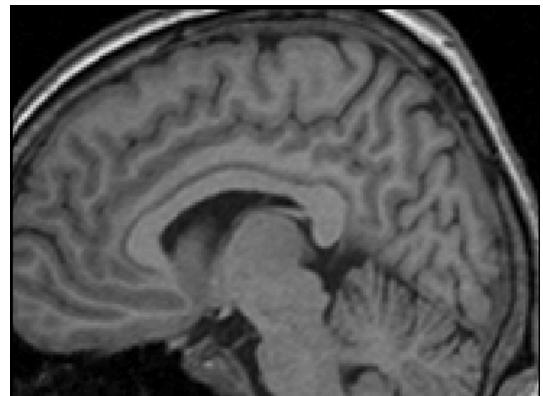
What is it that makes T2\* weighted images “functional”?

EPI  
(T2\*)



dropout

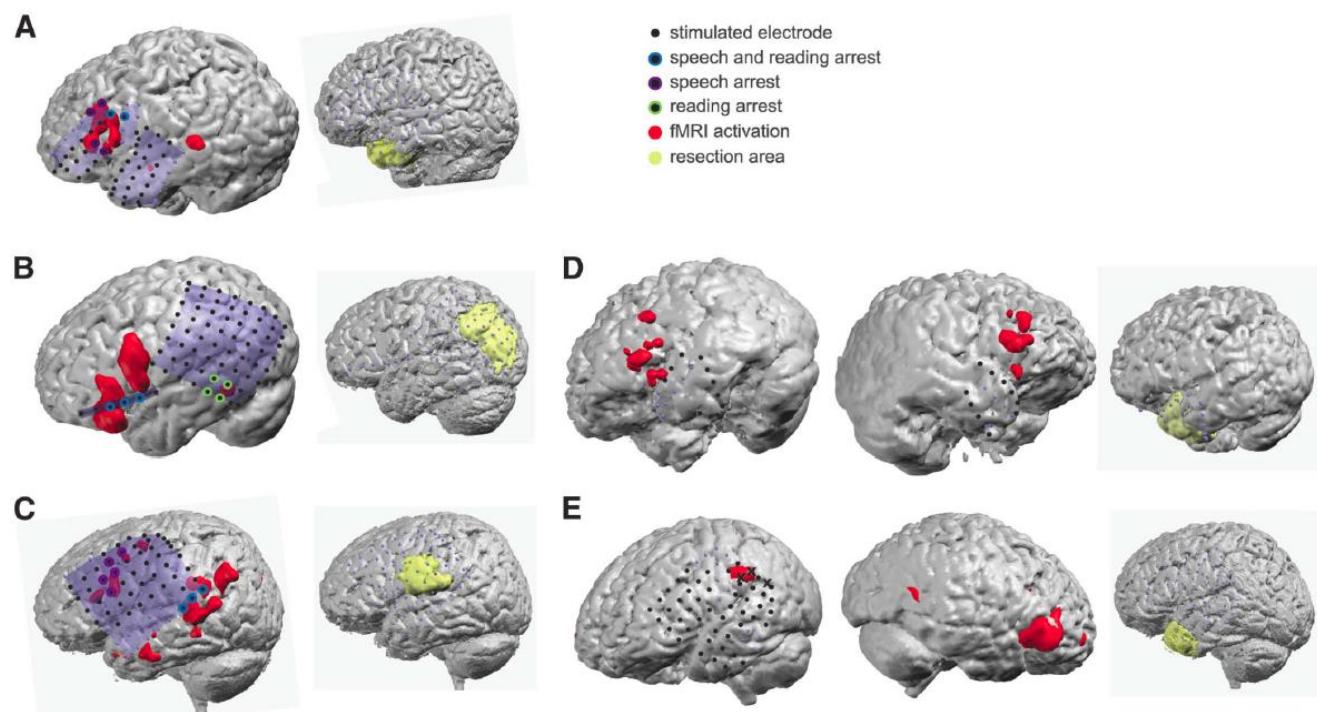
T1



**Where in neurology do you think is functional MRI used?**

# Clinical case: Preoperative language mapping in epilepsy

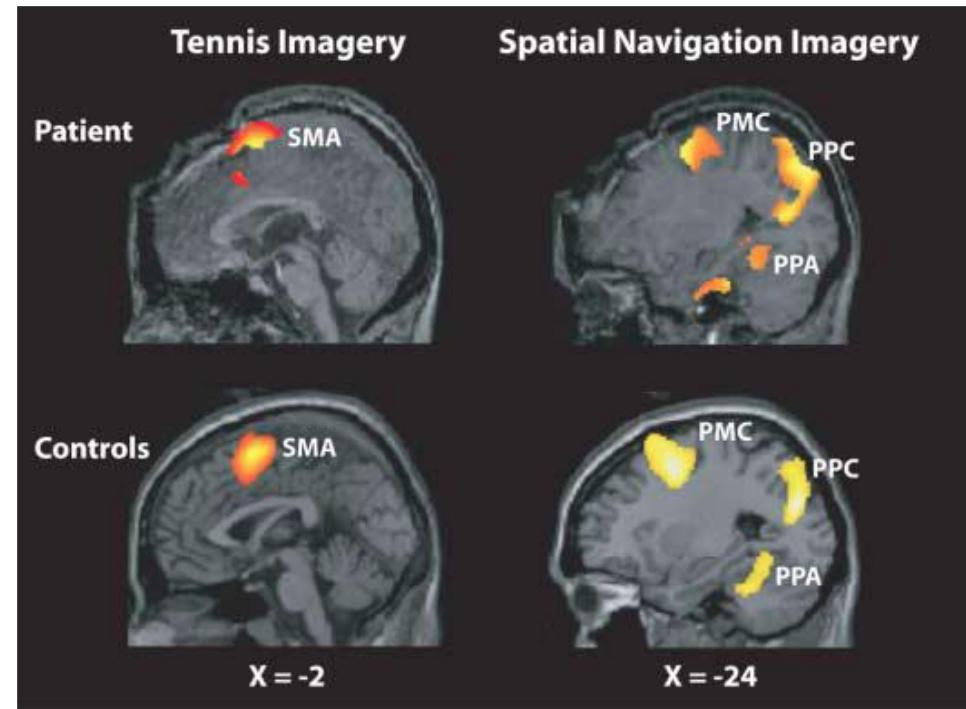
- 8-minute auditory semantic decision task
- 5 patients who had focal epilepsy and electrocortical stimulation (ECS)
- good fMRI/ECS agreement



**FIGURE 4.** Coregistration of fMRI activations and ECS in patient 1 (fMRI activations:  $P < .05$ , FWE-corrected) (A), patient 4 ( $P < .001$ , uncorrected) (B), patient 10 ( $P < .001$ , uncorrected) (C), patient 3 ( $P < .001$ , uncorrected) (D), and patient 8 ( $P < .001$ , uncorrected) (E).  $\times$  indicates the dysfunctional contacts in patient 8. fMRI, functional magnetic resonance imaging; ECS, electrocortical stimulation; FWE, family-wise error.

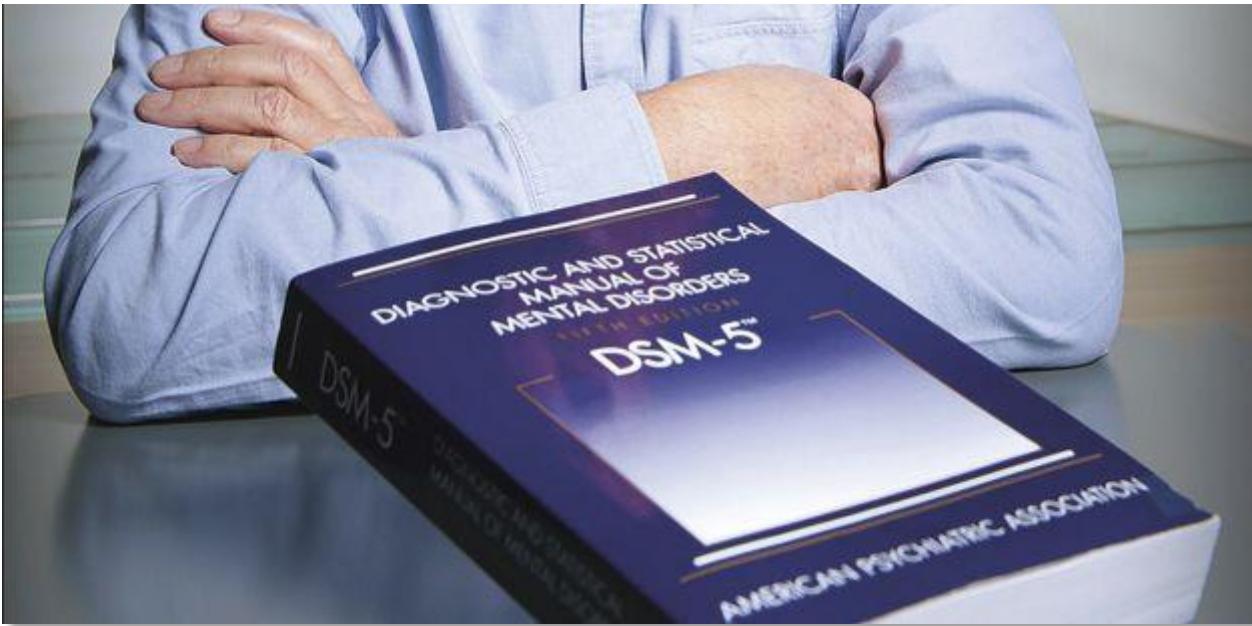
# Clinical case: Detecting awareness in disorders of consciousness

- coma, vegetative state, minimally conscious state
- vegetative state: patients who emerge from coma appear to be awake but show no signs of awareness
- single patient, severe traumatic brain injury, five months unresponsive, preserved sleep-wake cycles
- imagery fMRI paradigm:
  - "imagine playing tennis"
  - "imagine visiting all of the rooms of your house, starting from the front door"

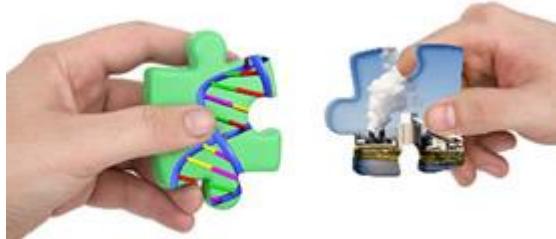


Owen et al. 2006, *Science*

# Diagnostic classification in psychiatry



# Psychiatric disorders = spectrum diseases

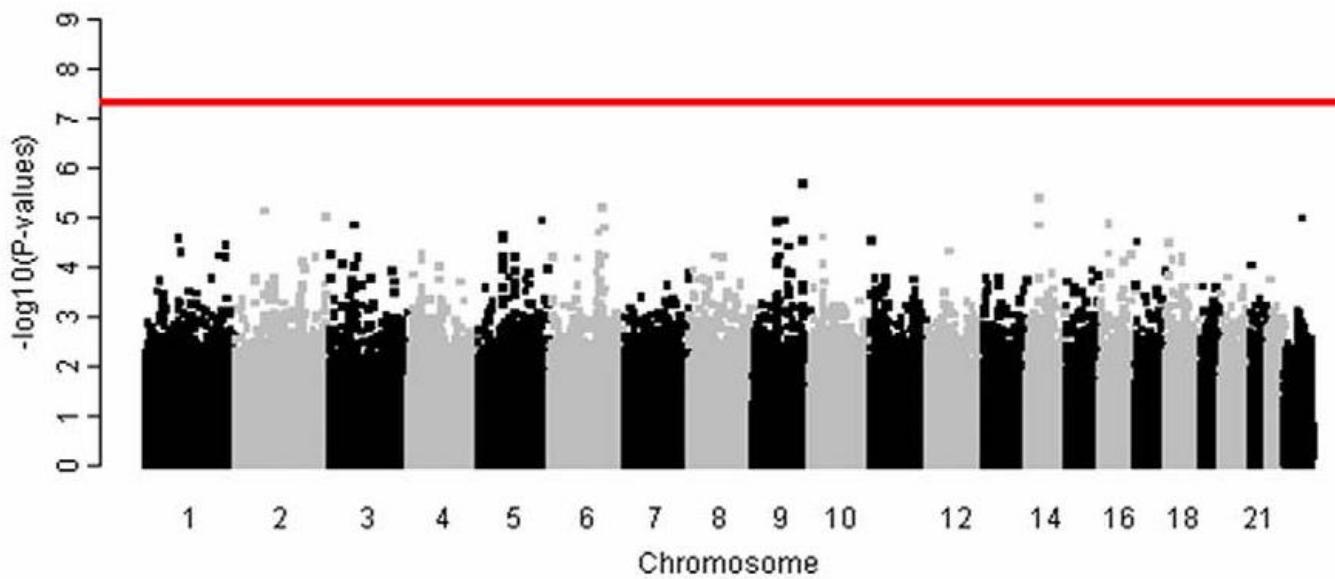


**polygenic basis  
gene-environment interactions  
environmental variation**

**variability in clinical  
trajectory and treatment  
response**

**multiple disease mechanisms**

# Genetic Predictors of Response to Serotonergic and Noradrenergic Antidepressants in Major Depressive Disorder: A Genome-Wide Analysis of Individual-Level Data and a Meta-Analysis



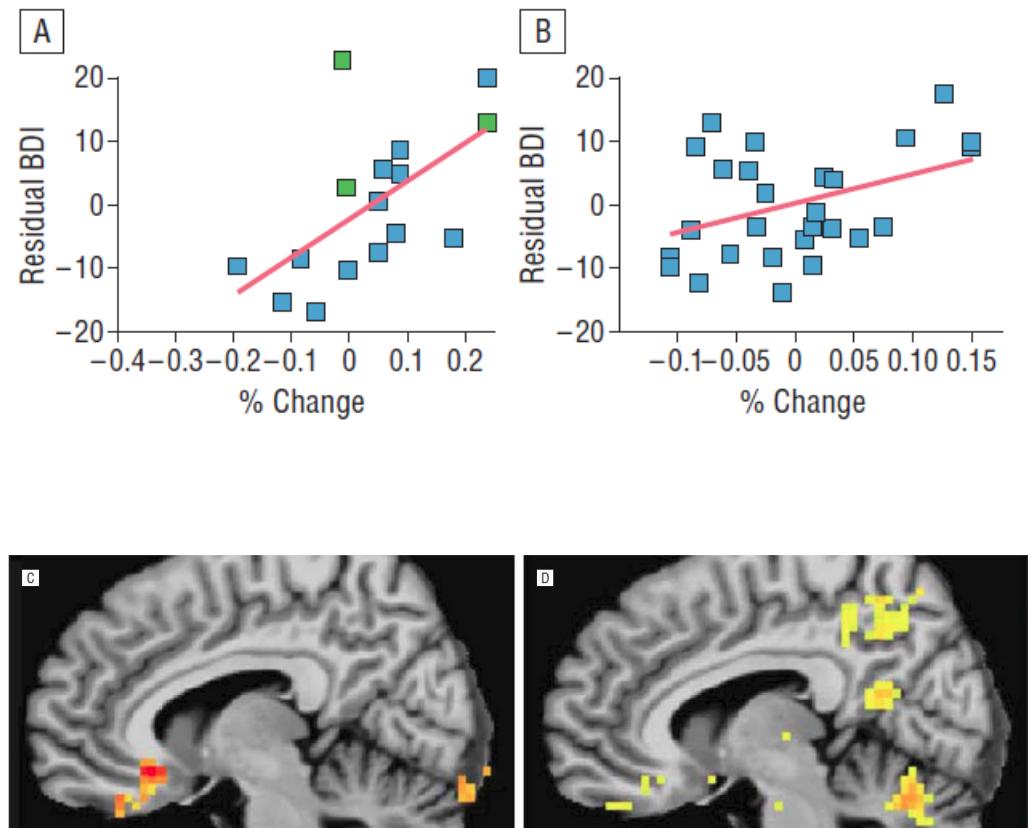
"After quality control, a dataset of 1,790 individuals with high-quality genome-wide genotyping provided adequate power to test the hypotheses that antidepressant response or a clinically significant differential response to the two classes of antidepressants could be predicted from a single common genetic polymorphism. None of the more than half million genetic markers significantly predicted response to antidepressants overall, serotonin reuptake inhibitors, or noradrenaline reuptake inhibitors, or differential response to the two types of antidepressants..."

# Using neuroimaging to predict treatment response

- local differences in activity?
- differences in patterns of activity?
- differences in functional connectivity?

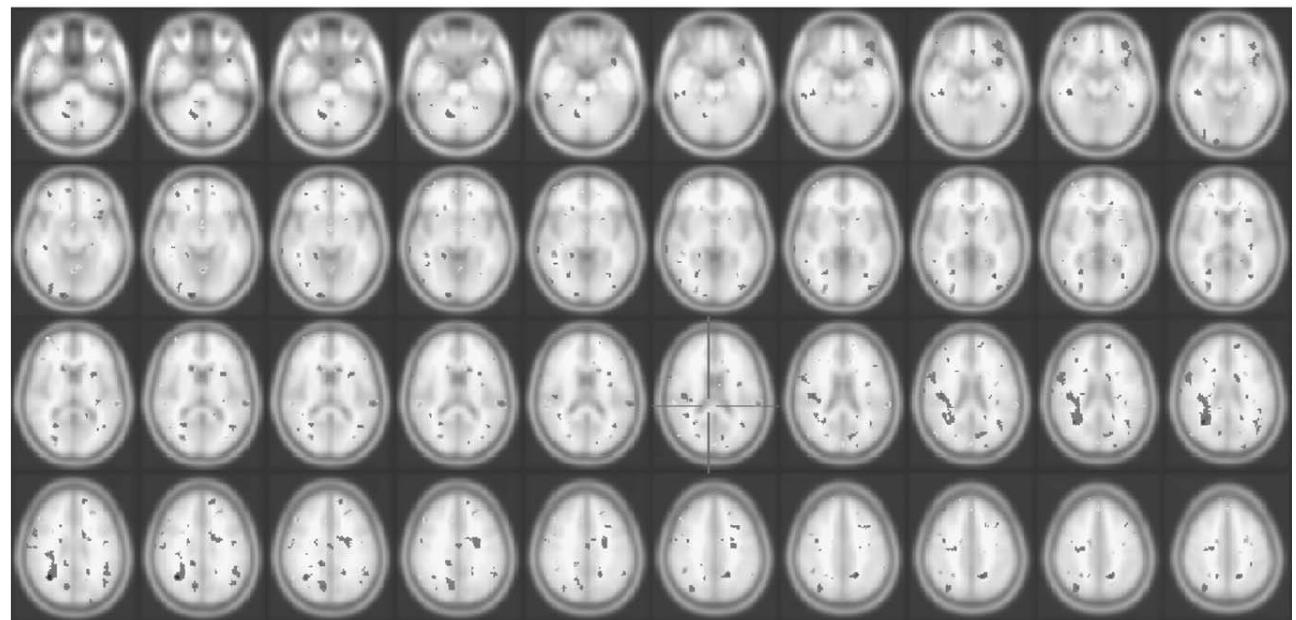
# Local differences in activity?

- 49 patients with depression in two groups
- subgenual ACC activity in response to visually presented negative words
- predicts residual severity after cognitive therapy (CT)
- predicts remission under CT:
  - Sensitivity 38%
  - Specificity 95%



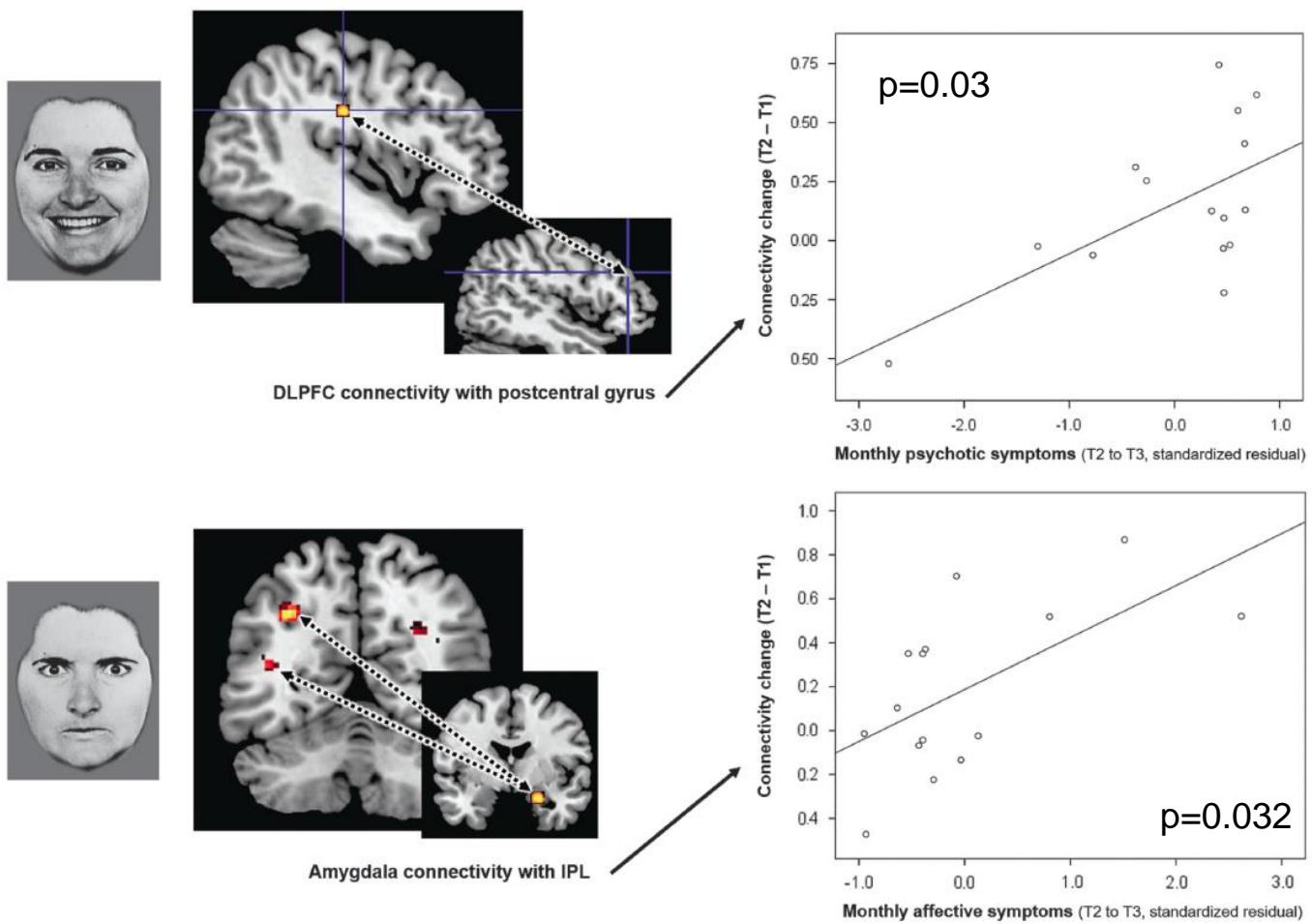
# Differences in patterns of activity?

- visual presentation of sad facial expressions
- 16 medication-free patients in an acute episode of major depression, before beginning treatment with CBT
- PCA of whole-brain activity predicts clinical response to CBT (SVM, sensitivity 71%, specificity 86%)



# Differences in functional connectivity?

- 22 patients with paranoid schizophrenia
- treatment with CBT
- clinical follow-up over 8 years
- prefrontal and amygdala connections predict long-term positive and affective symptoms, respectively



# But...

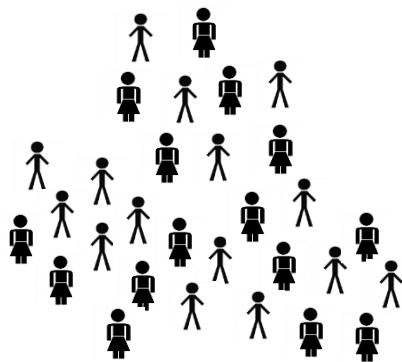
- predictions far from perfect
- no mechanistic interpretability
- no view of an emerging nosology that maps onto (patho)physiology

## ① Computational assays: Models of disease mechanisms



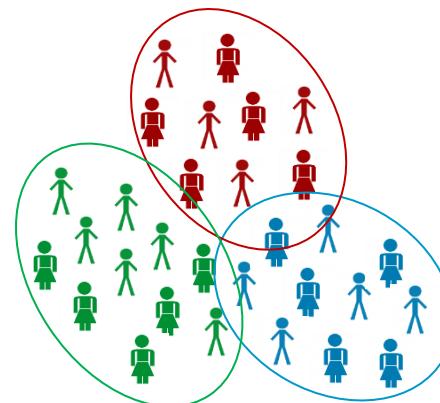
$$\frac{dx}{dt} = f(x, u, \theta) + \omega$$

## ② Application to brain activity and behaviour of individual patients

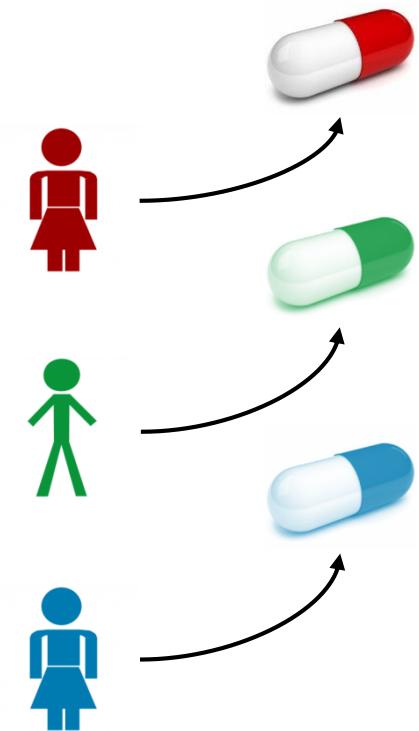


# Translational Neuromodeling

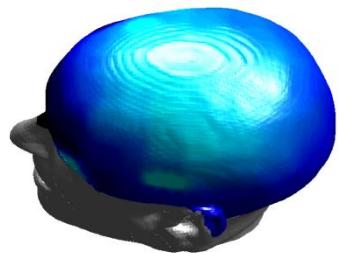
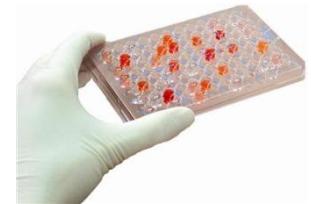
## ③ Detecting physiological subgroups (based on inferred mechanisms)



## ④ Individual treatment prediction



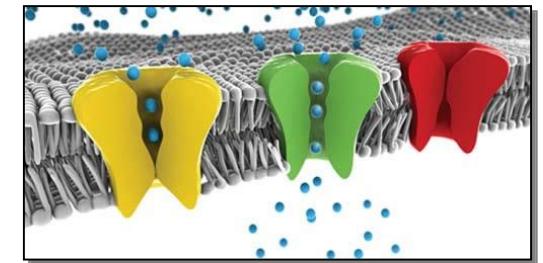
# Generative models as "computational assays"



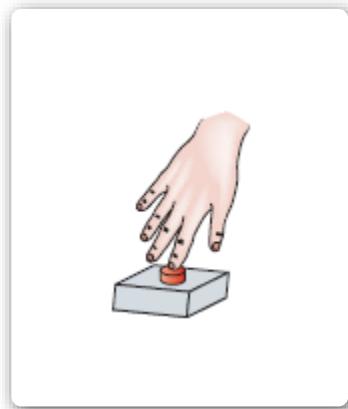
$$p(y | \theta, m) \cdot p(\theta | m)$$

$\longleftrightarrow$

$$p(\theta | y, m)$$



$y$  = data,  $\theta$  = parameters,  $m$  = model



$$p(y | \theta, m) \cdot p(\theta | m)$$

$\longleftrightarrow$

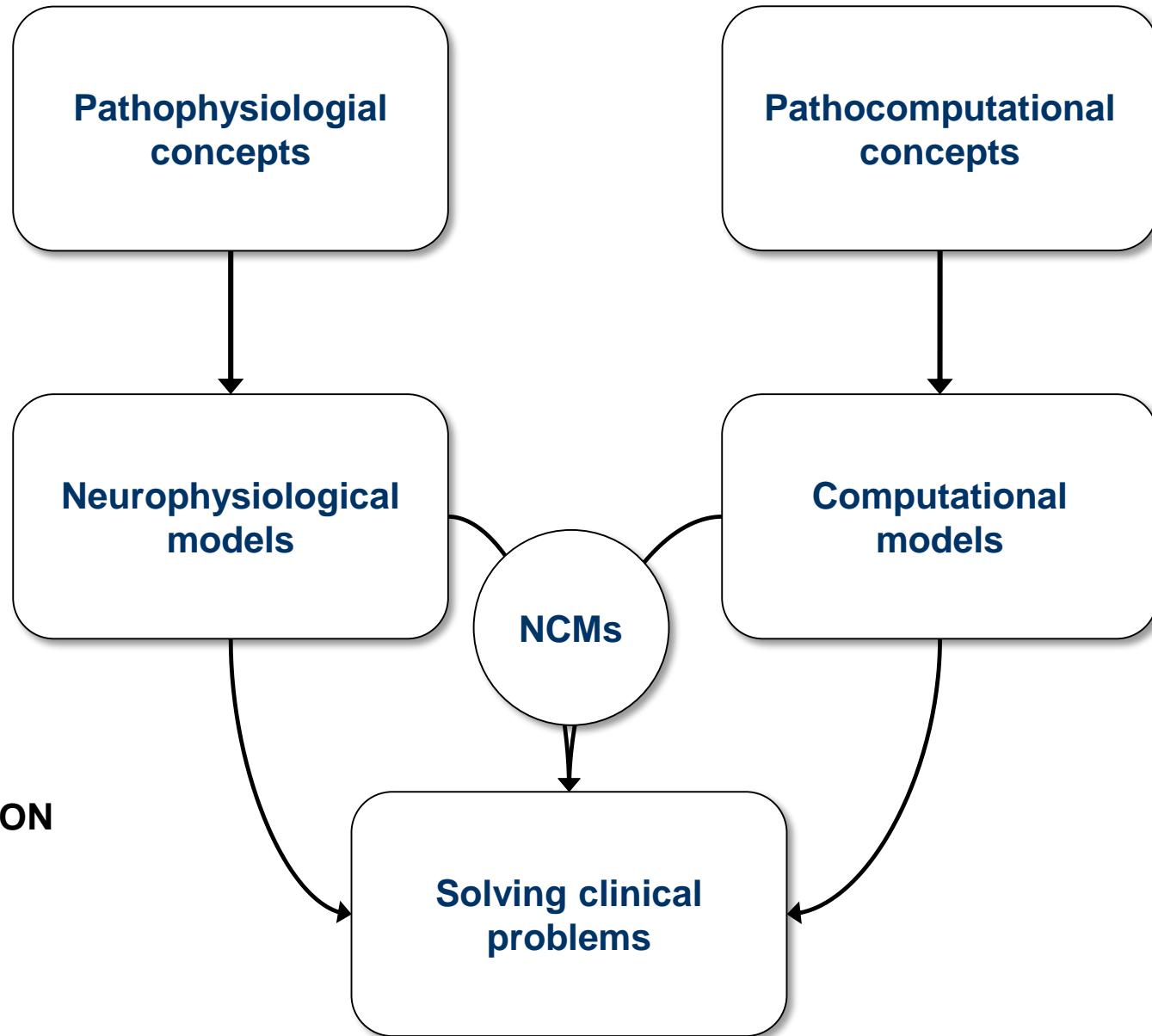
$$p(\theta | y, m)$$



## DISEASE THEORIES

## GENERATIVE MODELING

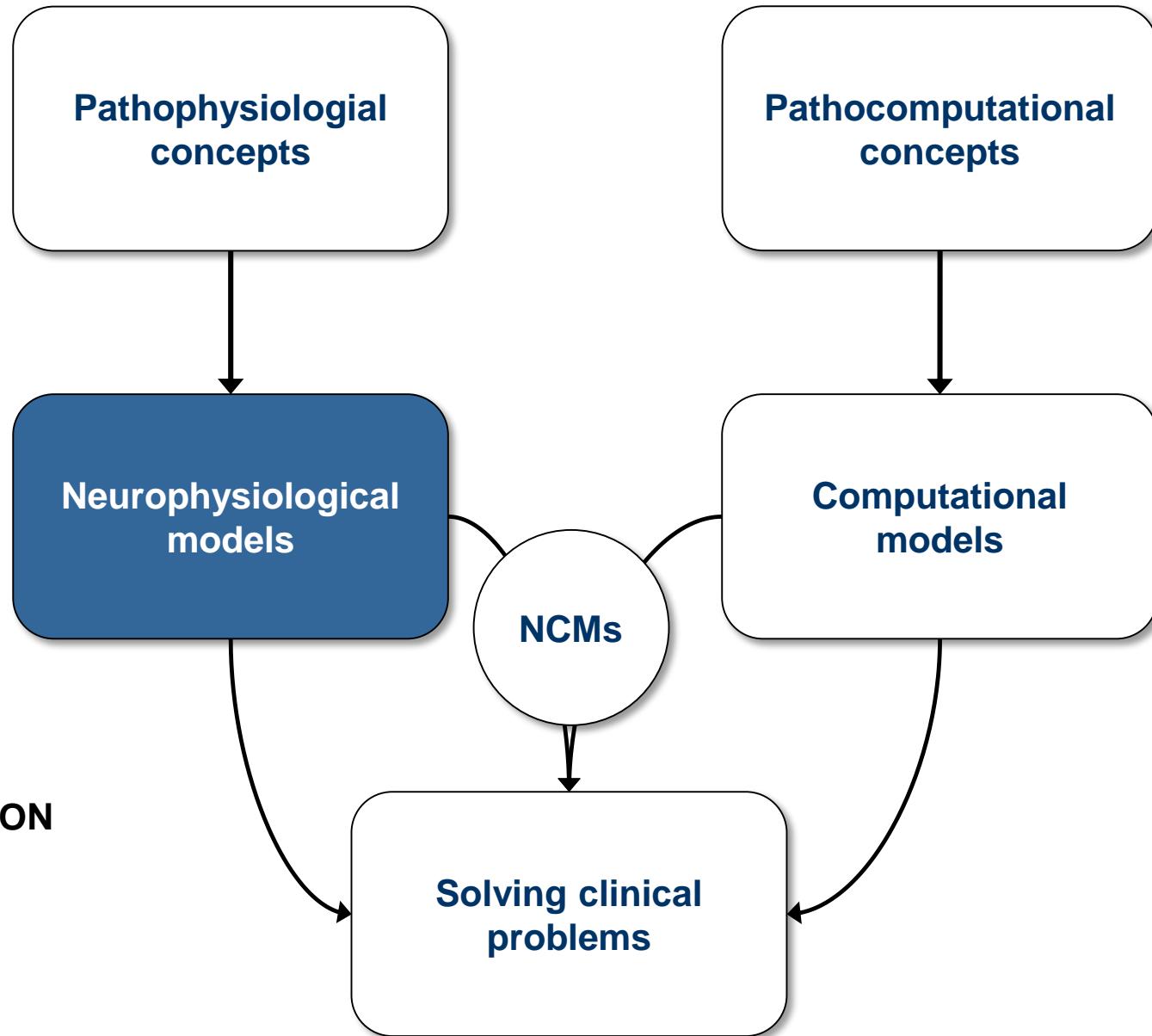
## INFERENCE & PREDICTION



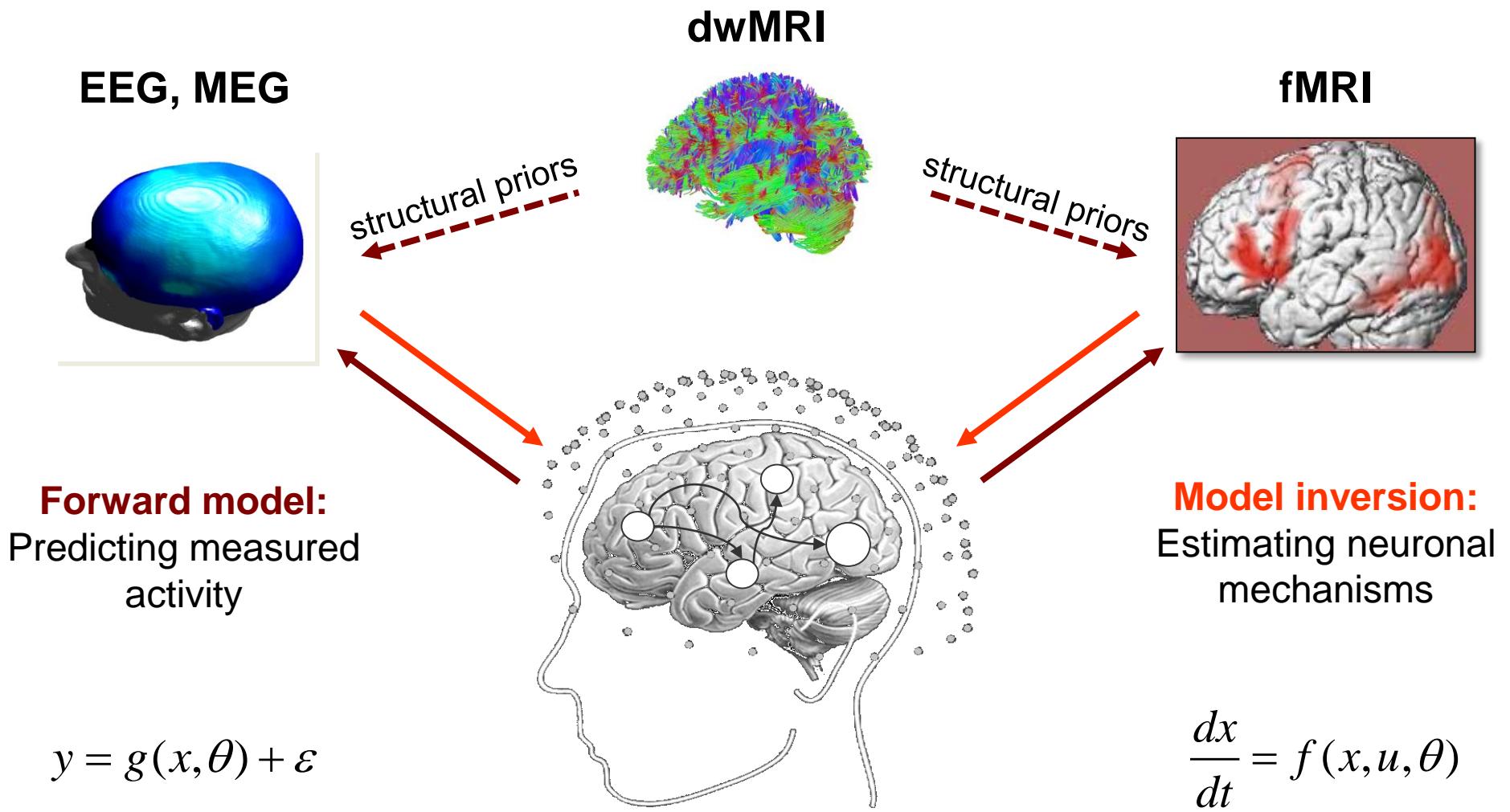
## DISEASE THEORIES

## GENERATIVE MODELING

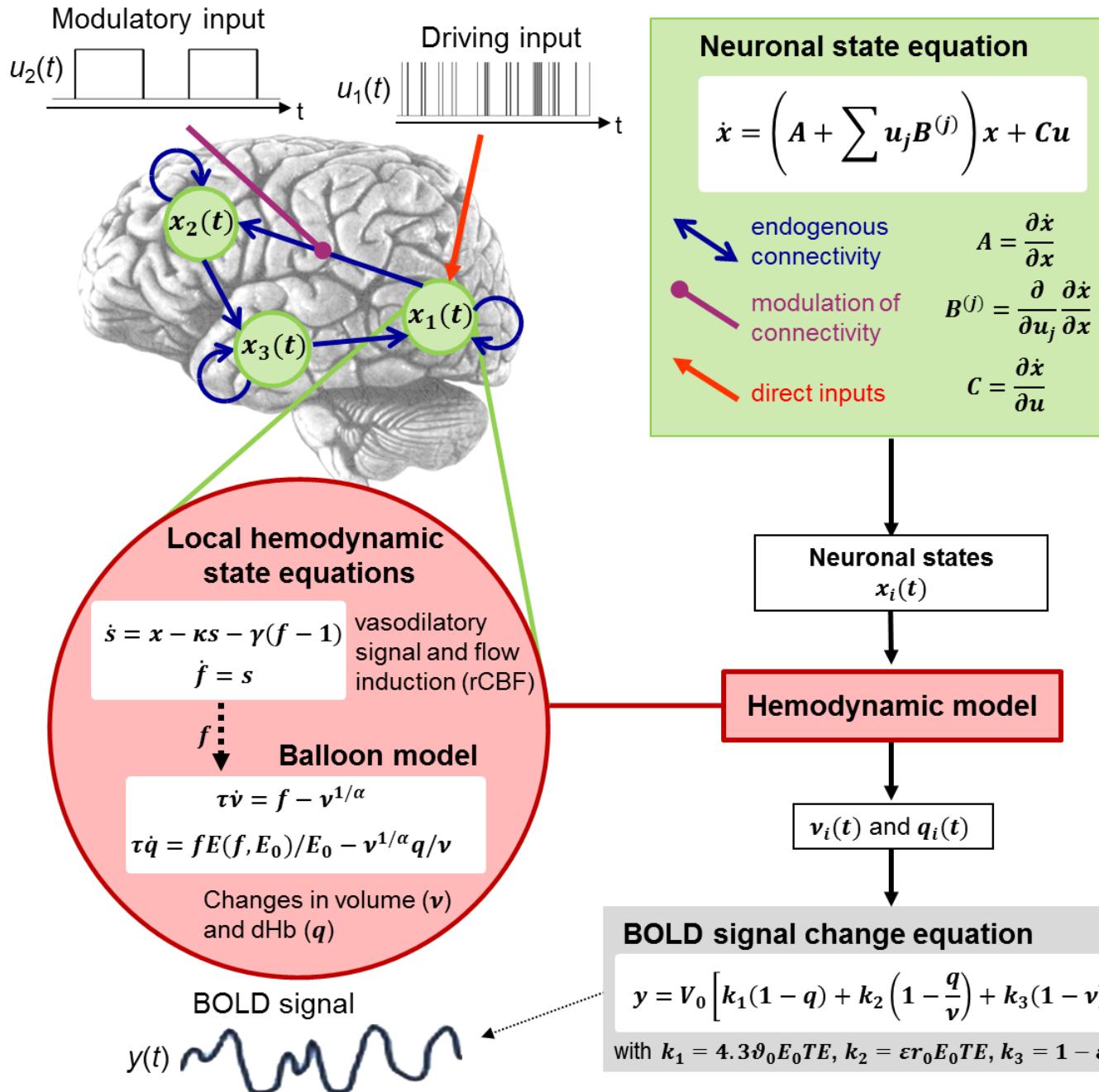
## INFERENCE & PREDICTION

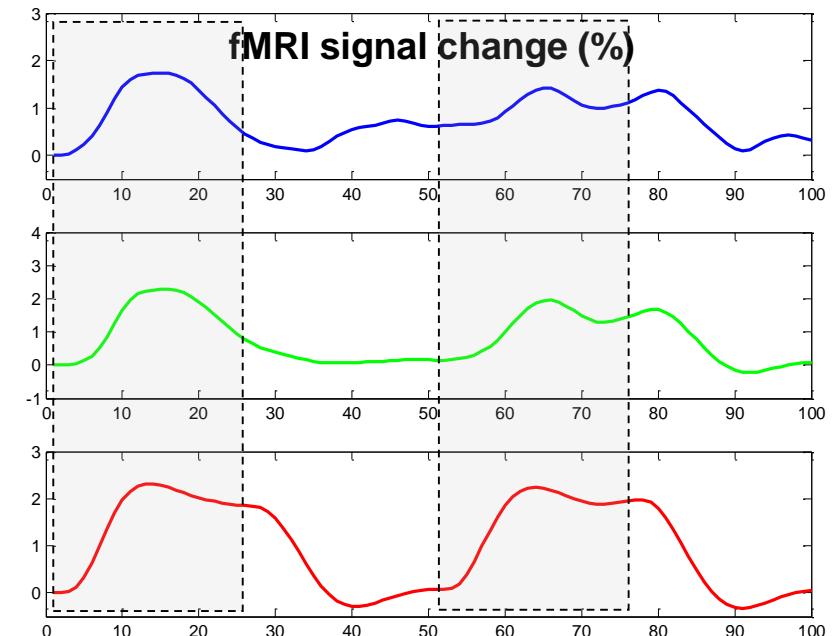
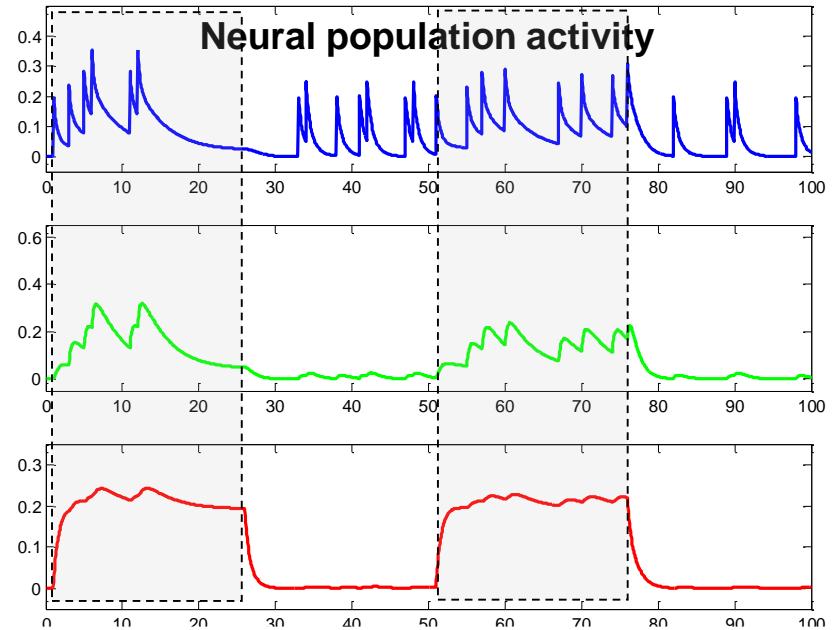
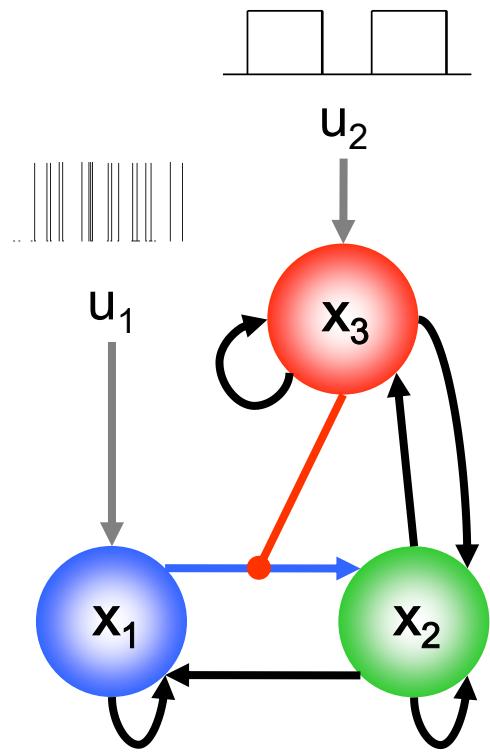
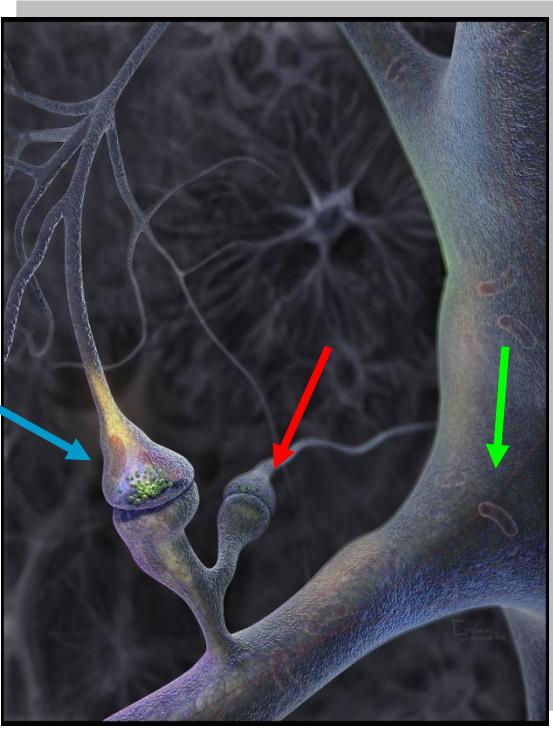


# Dynamic causal modeling (DCM)



# DCM for fMRI





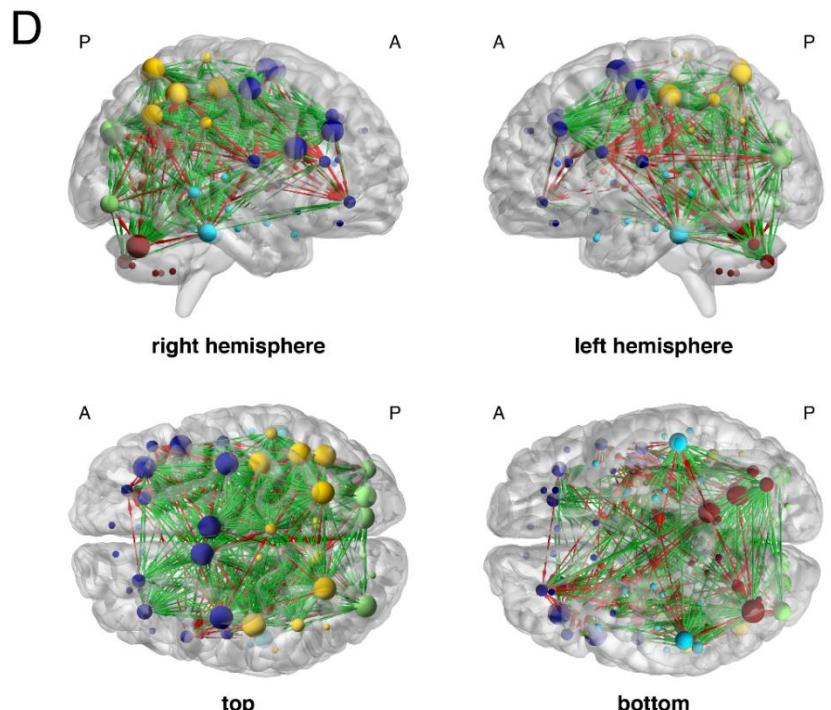
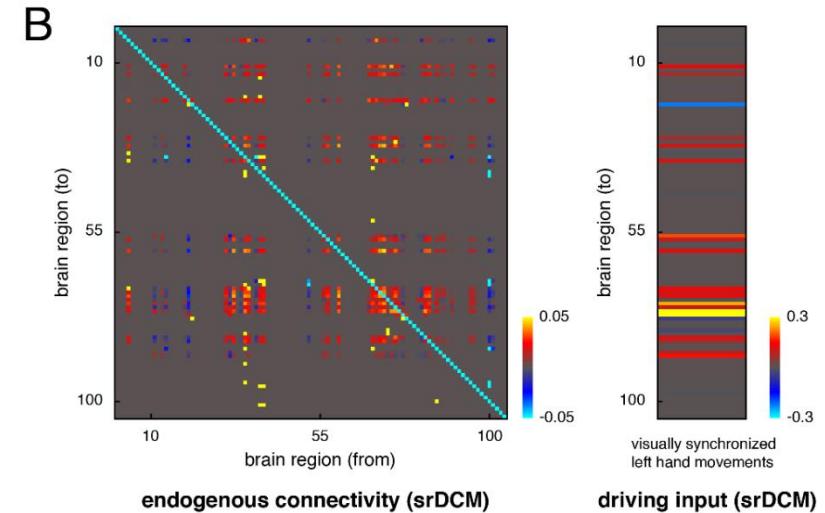
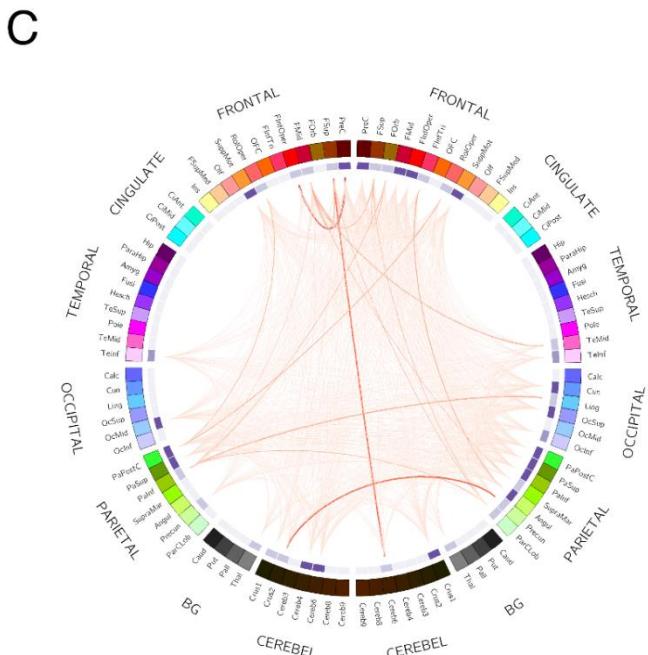
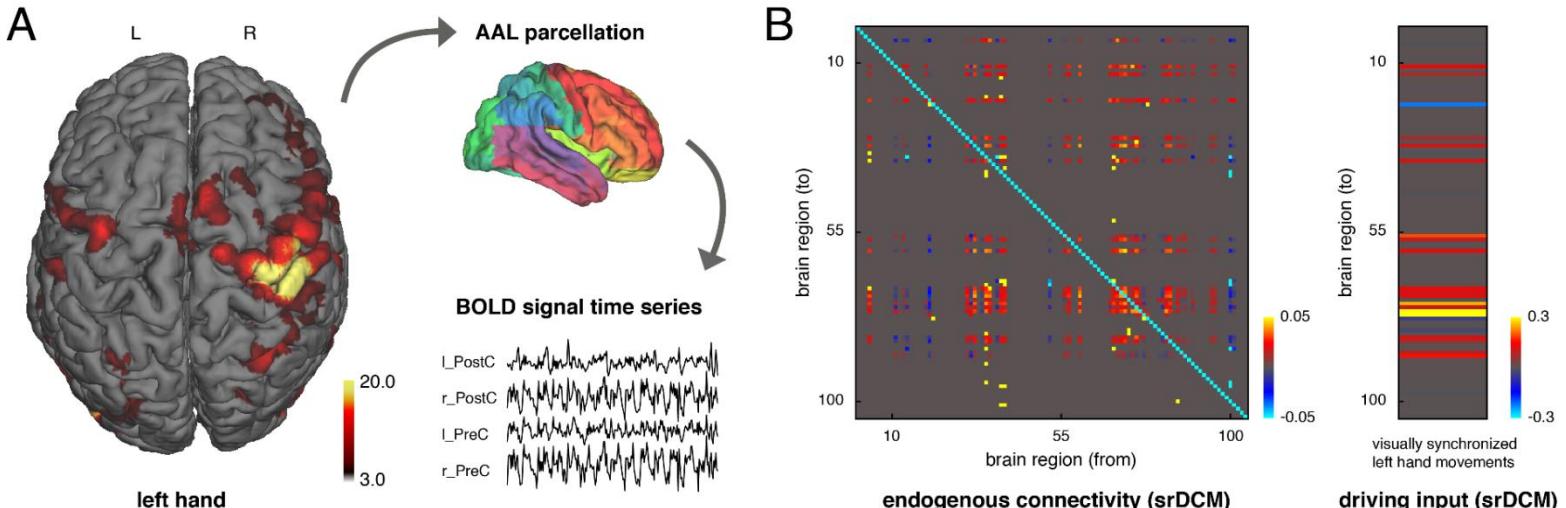
## Nonlinear Dynamic Causal Model for fMRI

$$\frac{dx}{dt} = \left( A + \sum_{i=1}^m u_i B^{(i)} + \sum_{j=1}^n x_j D^{(j)} \right) x + Cu$$

# Sparse rDCM

automatically  
prune network as  
part of model  
inversion

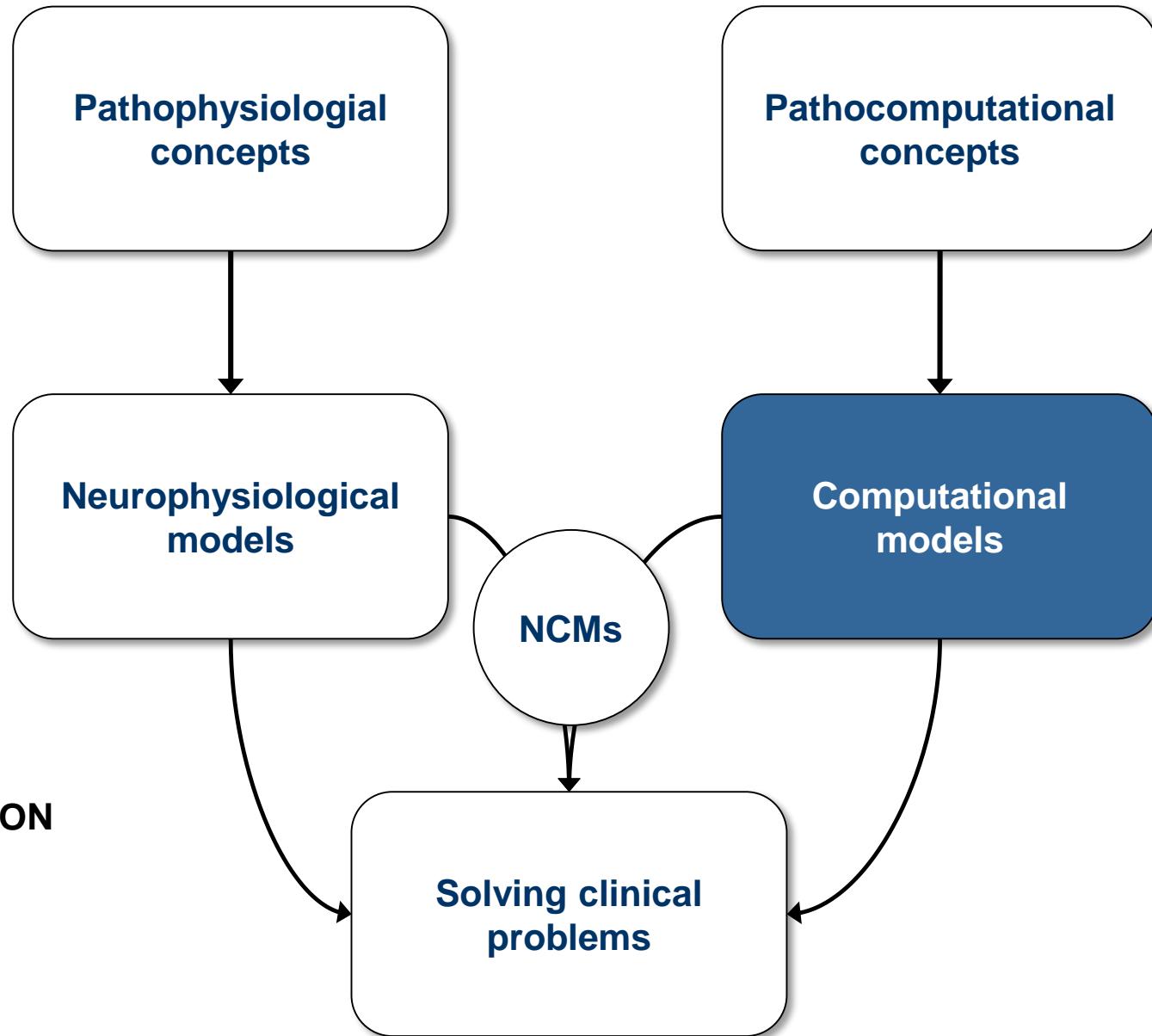
fast whole-brain  
connectograms:  
 $>10'000$   
connections in  
 $<1$  min



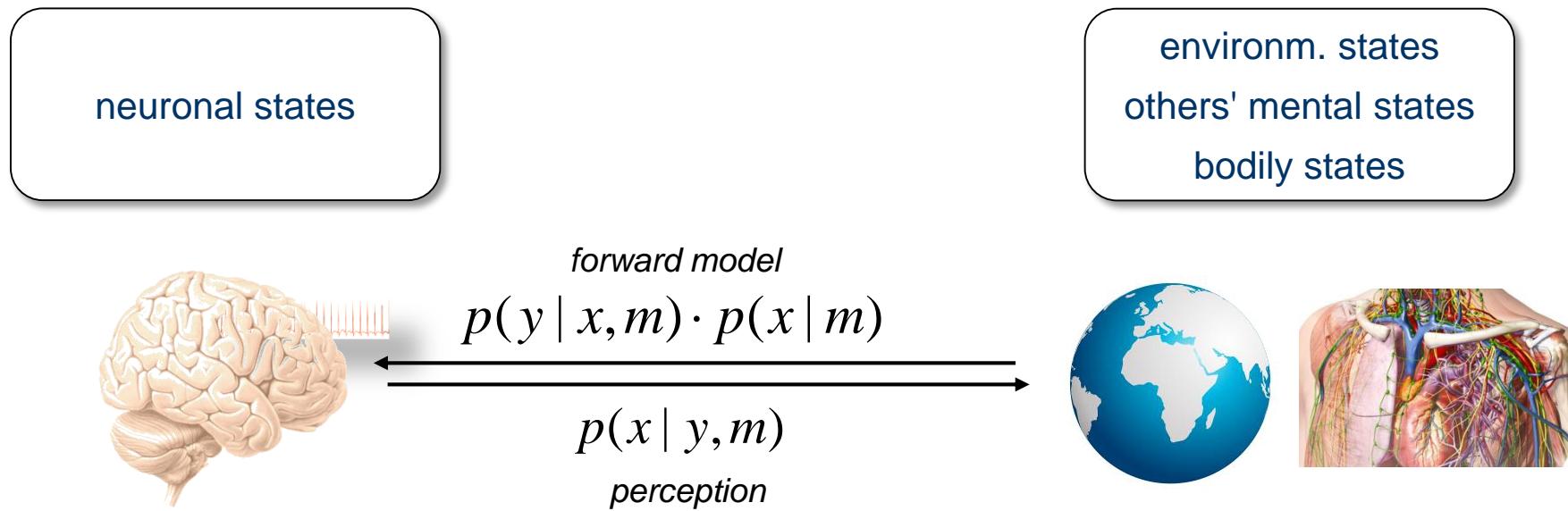
## DISEASE THEORIES

## GENERATIVE MODELING

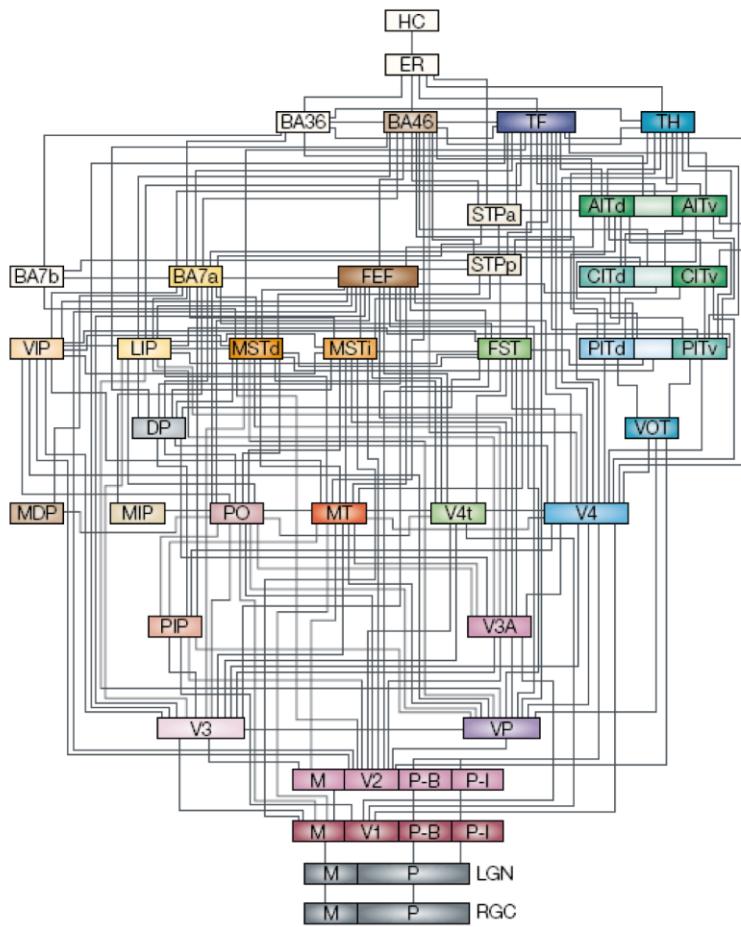
## INFERENCE & PREDICTION



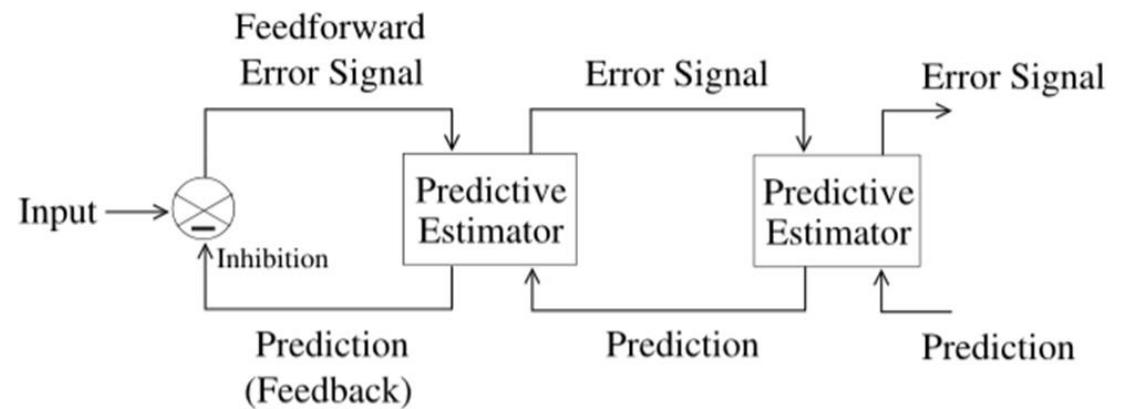
# Perception = hierarchical Bayesian inference



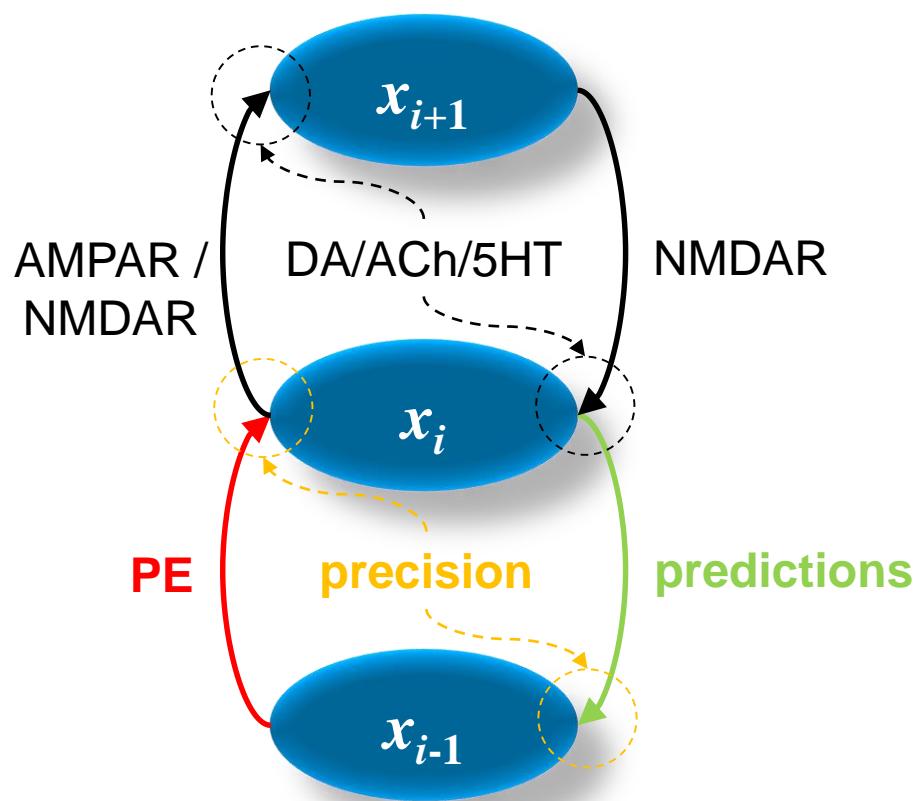
# Anatomical hierarchies & hierarchical Bayesian inference



## predictive coding



# Computational and physiological components of hierarchical Bayesian inference



$$\Delta \text{belief} \propto \text{precision} \times PE$$

PEs

→ AMPAR & NMDAR

predictions

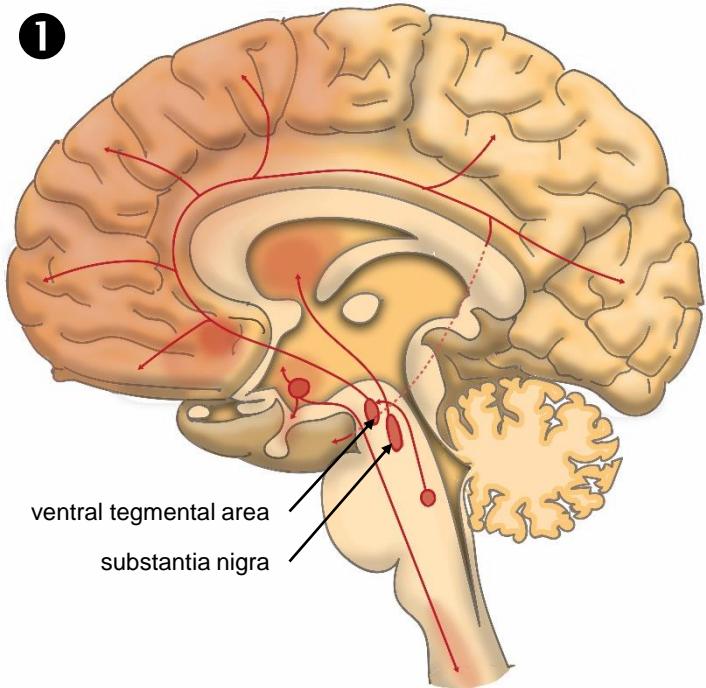
→ NMDAR

precisions

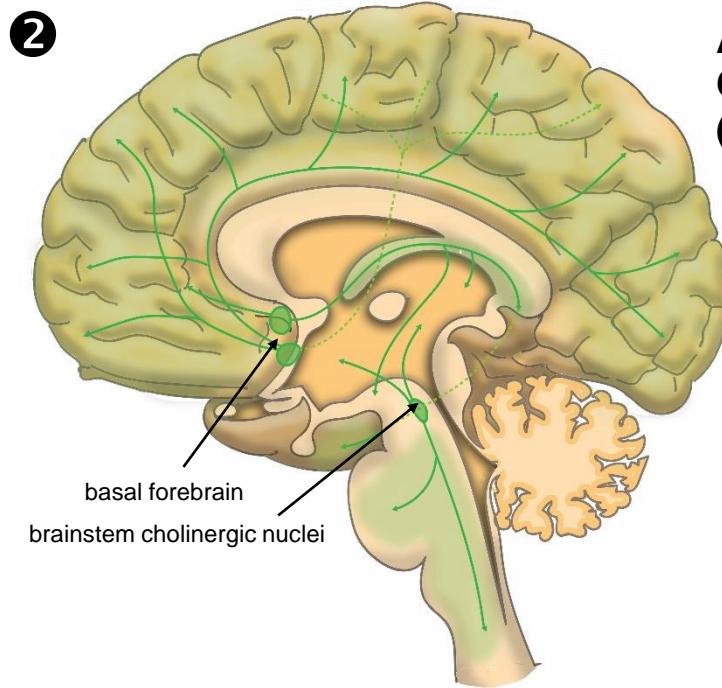
→ neuromodulation / GABA

physiological & computational  
inference targets for computational  
assays

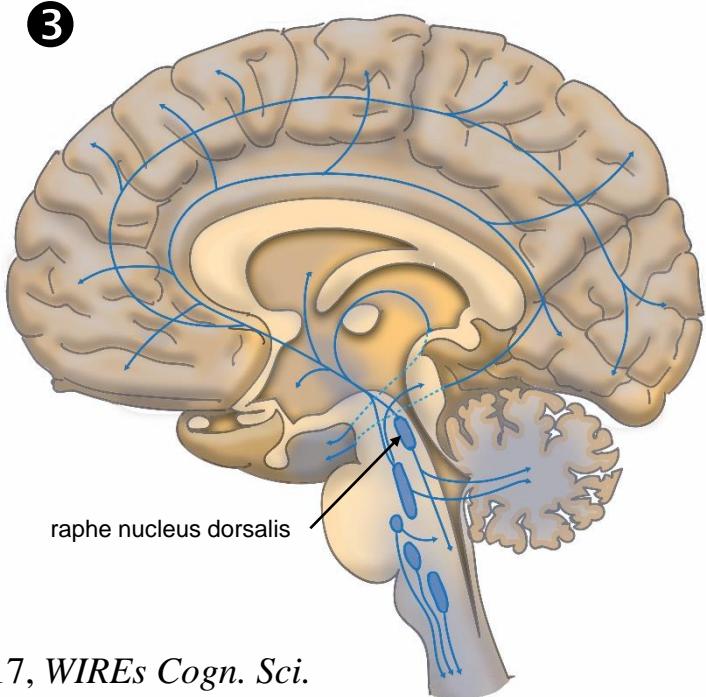
DOPAMINE  
(DA)



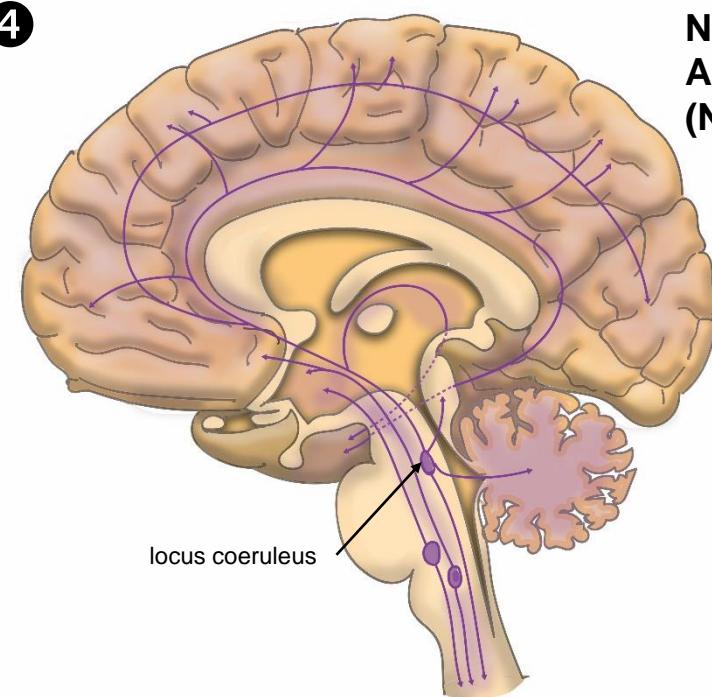
ACETYL-  
CHOLINE  
(ACh)



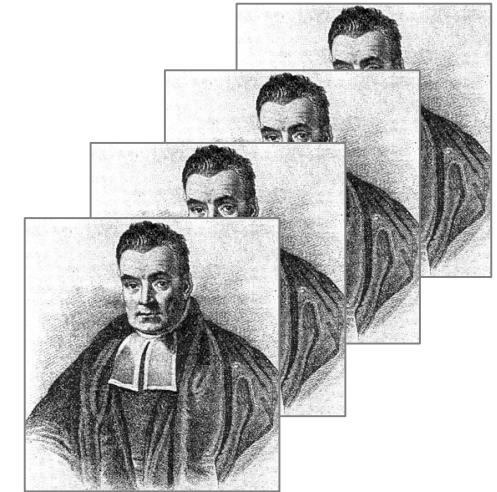
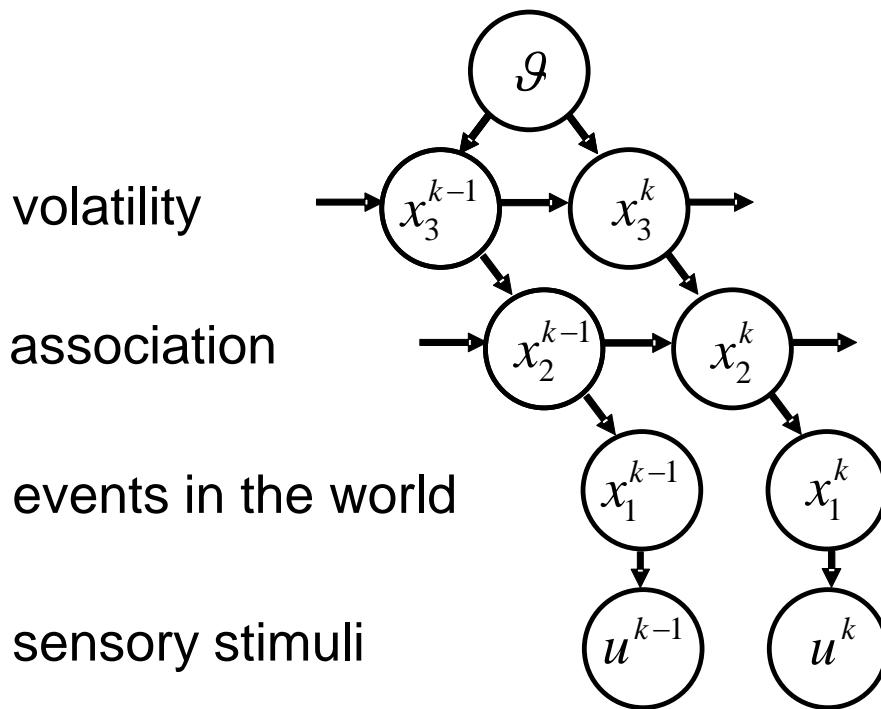
SEROTONIN  
(5HT)



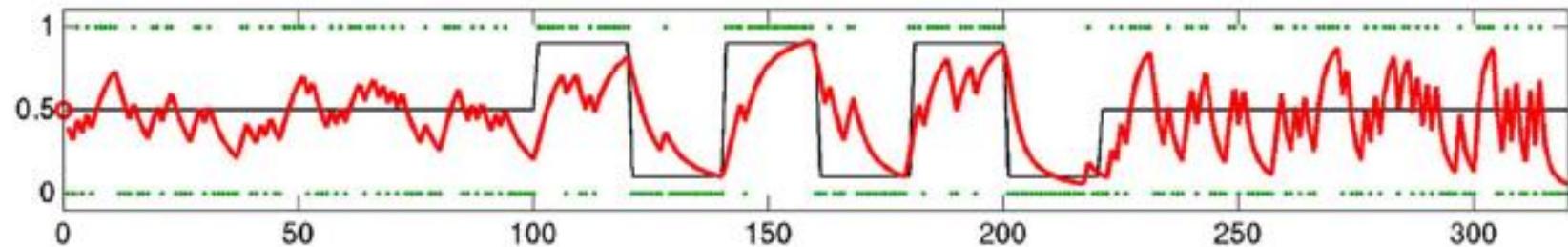
NOR-  
ADRENALINE  
(NA)



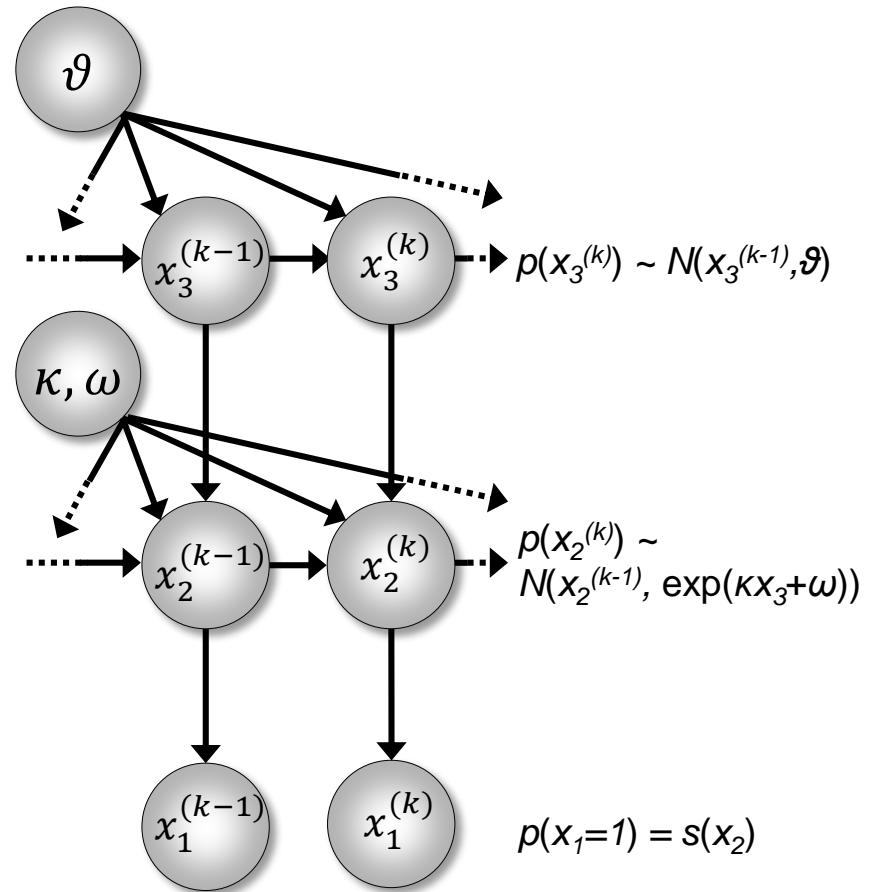
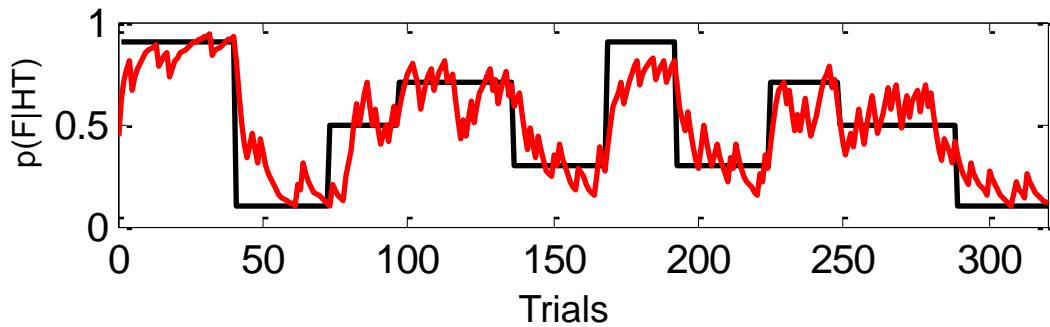
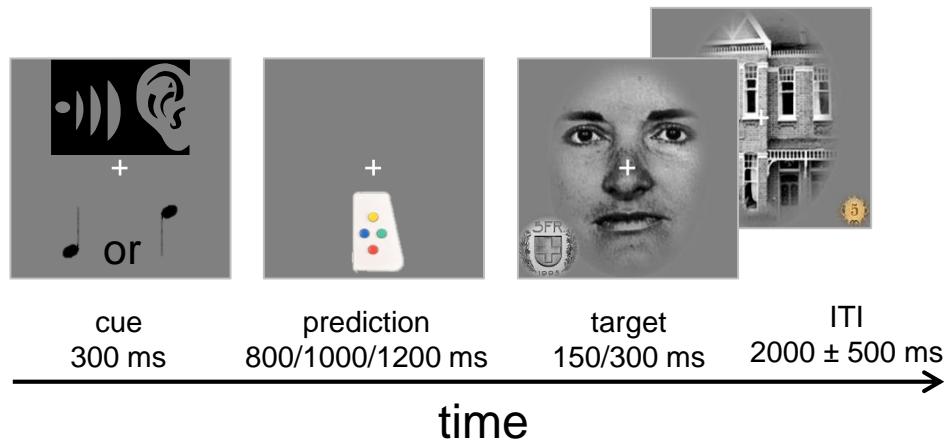
# Hierarchical Gaussian Filter (HGF)



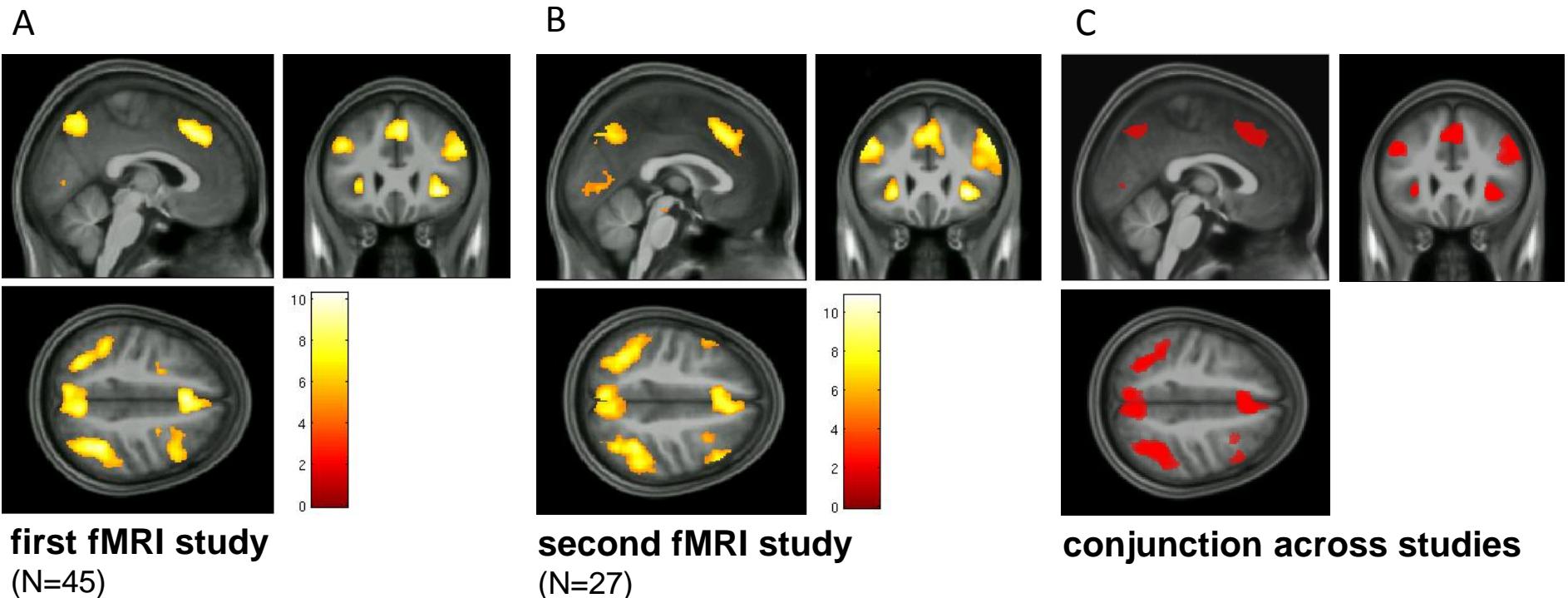
$$\Delta \text{belief} \propto \text{precision} \times \text{PE}$$



# Hierarchical prediction errors (PEs) in sensory learning



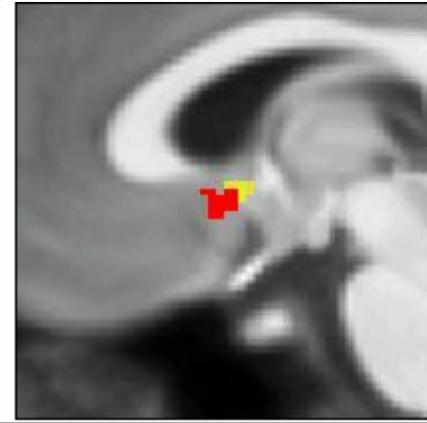
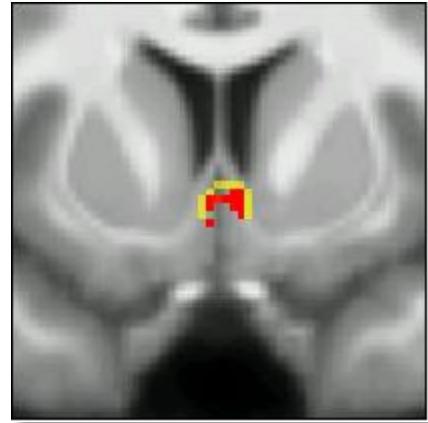
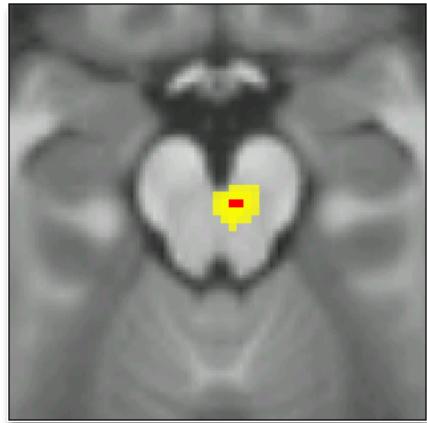
# Sensory precision-weighted PEs (pwPEs)



p<0.05 FWE whole-brain corrected

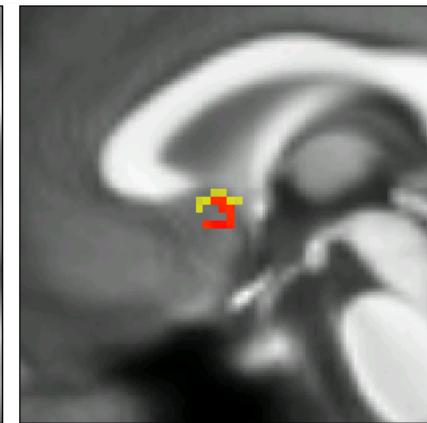
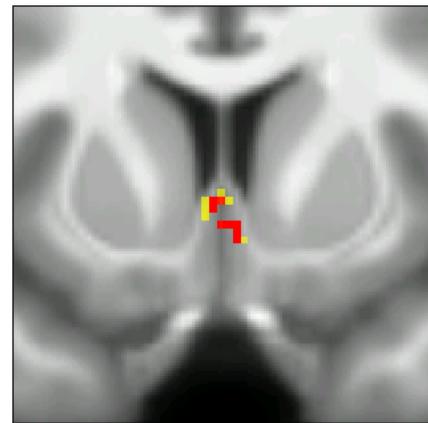
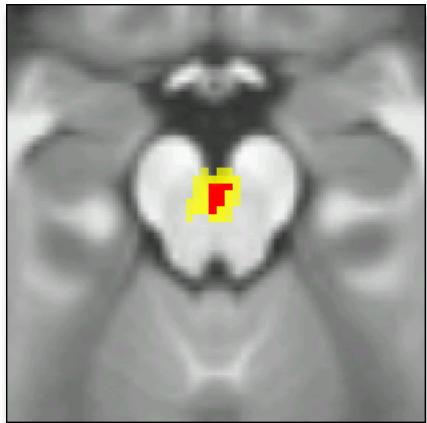
# Hierarchical precision-weighted PEs in sensory learning

Study 1: N=48



Outcome PEs in VTA/SN

Study 2: N=27



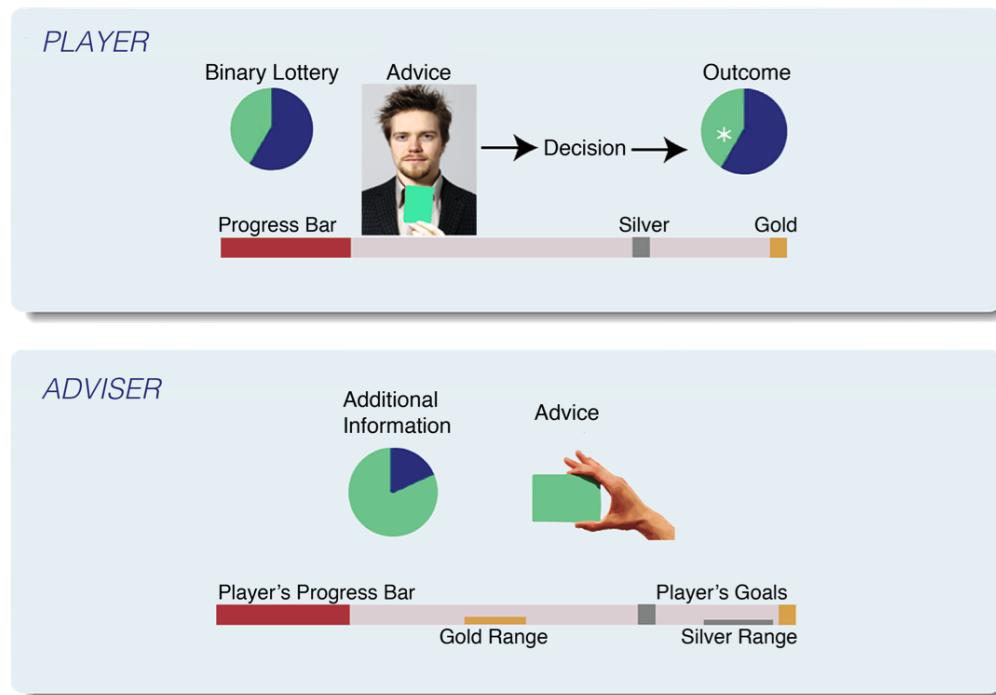
Probability PEs in basal forebrain

p<0.05, whole brain FWE corrected  
p<0.05, SVC FWE corrected

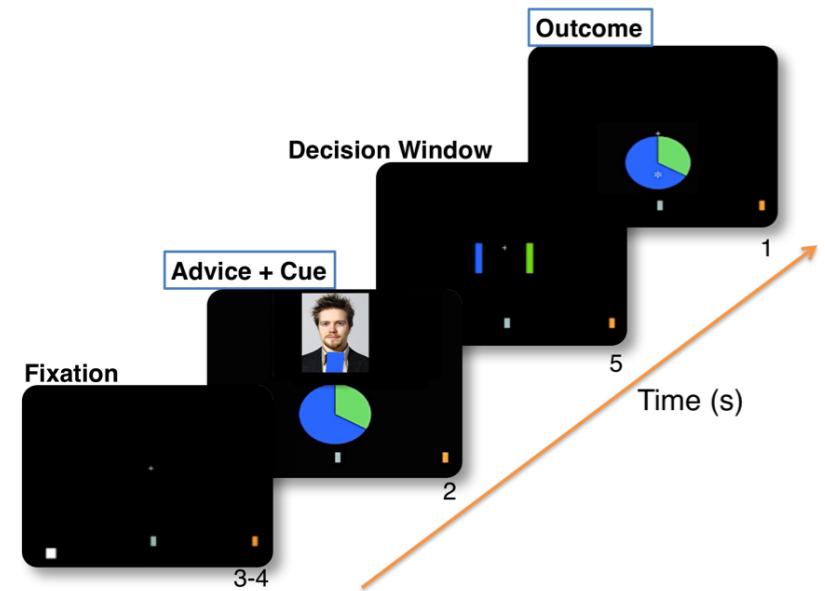
p<0.05, SVC FWE corrected  
p<0.001, uncorrected

# Hierarchical PEs during social learning

A.

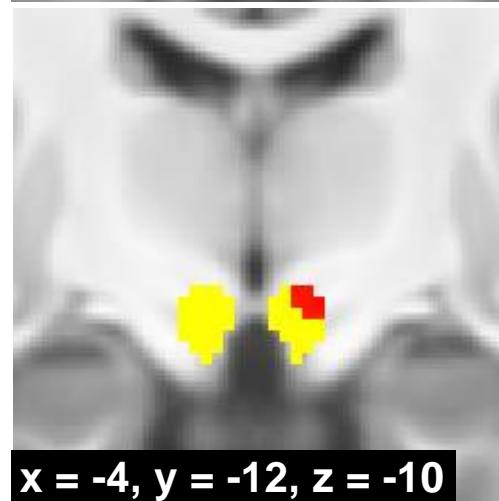
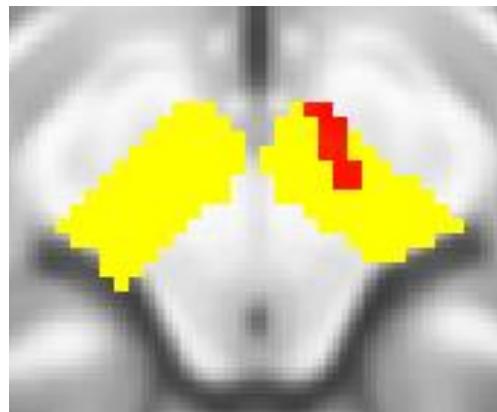


B.



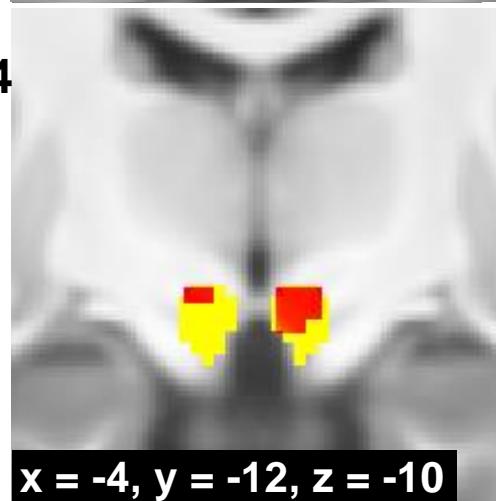
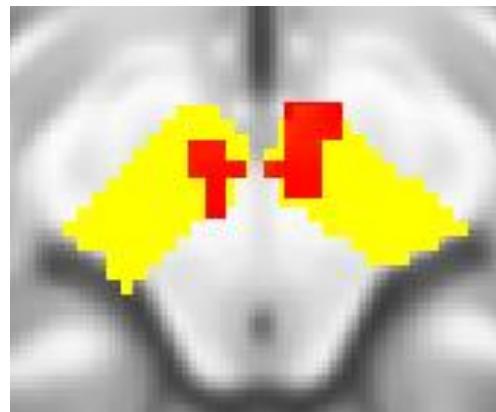
# Precision-weighted advice PEs ( $\varepsilon_2$ )

first fMRI study



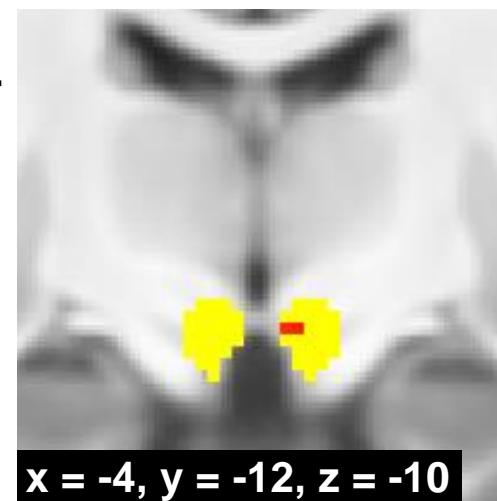
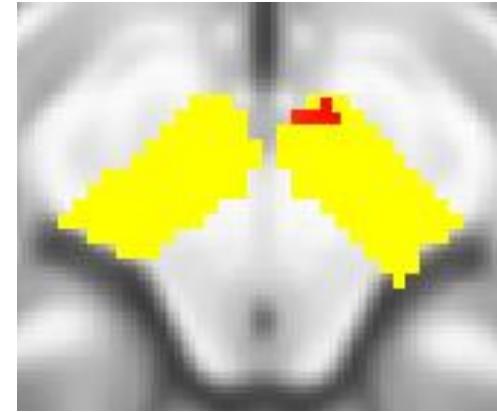
$x = -4, y = -12, z = -10$

second fMRI study



$x = -4, y = -12, z = -10$

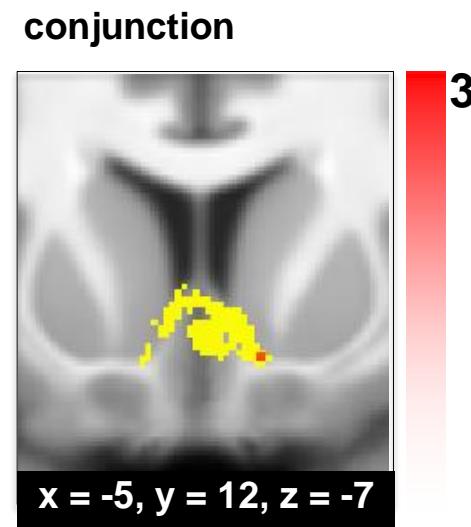
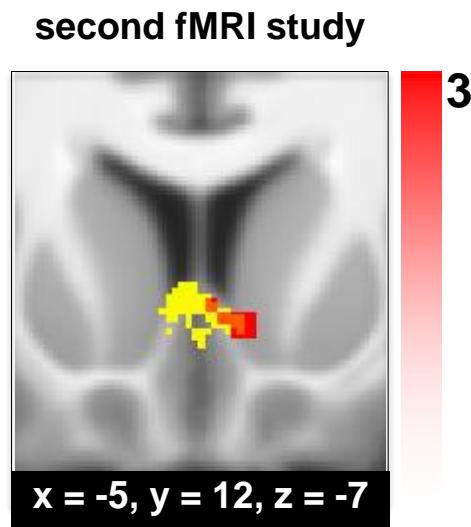
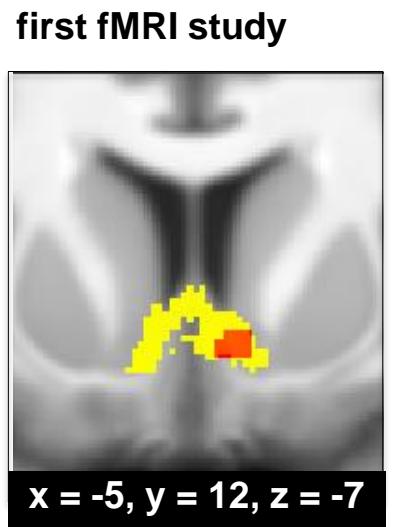
conjunction



$x = -4, y = -12, z = -10$

$p < 0.05$ , FWE  
corrected for  
anatomical  
mask

# Precision-weighted PEs about adviser fidelity ( $\varepsilon_3$ )

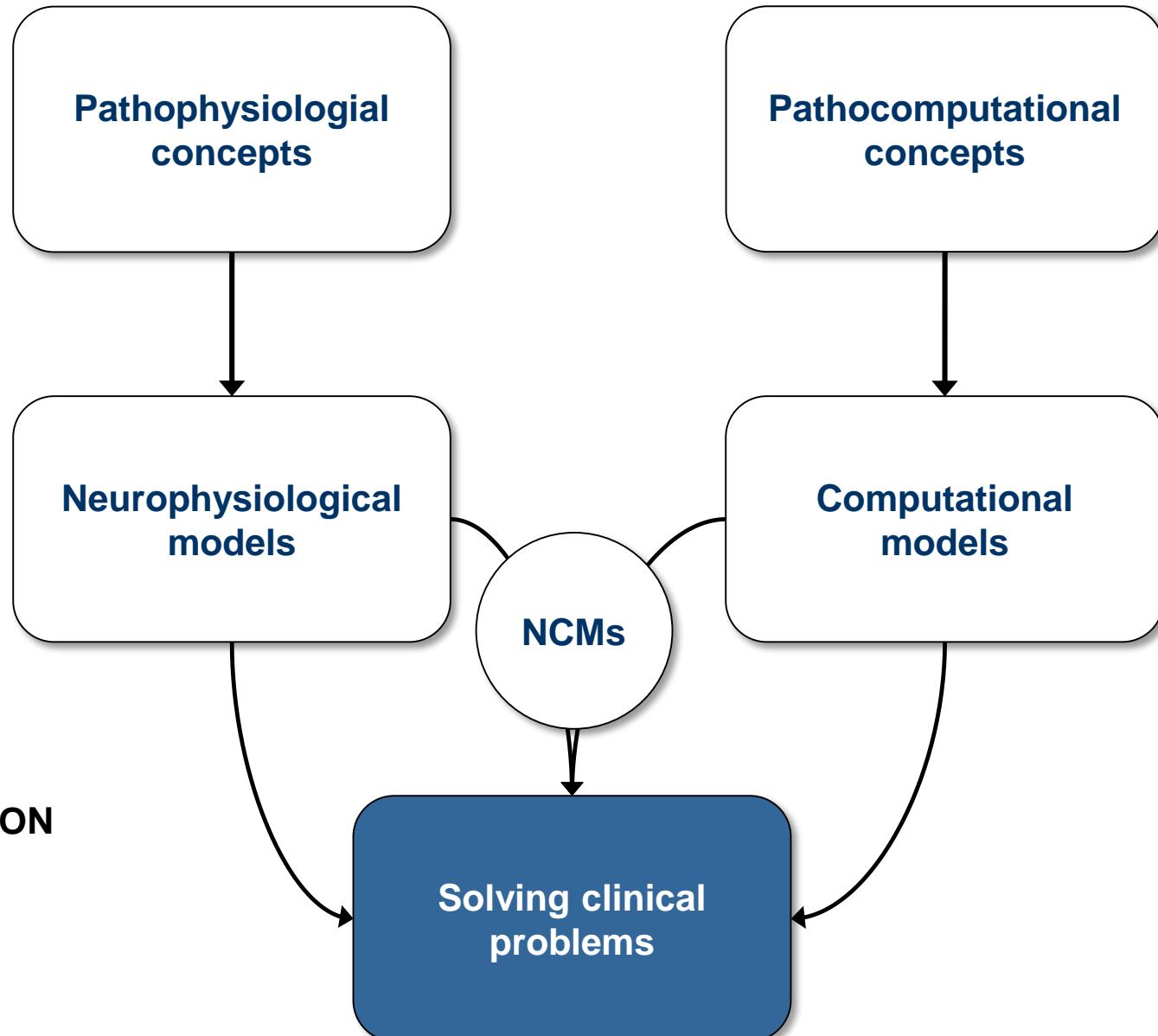


p<0.05, FWE  
corrected for  
anatomical  
mask

## DISEASE THEORIES

## GENERATIVE MODELING

## INFERENCE & PREDICTION

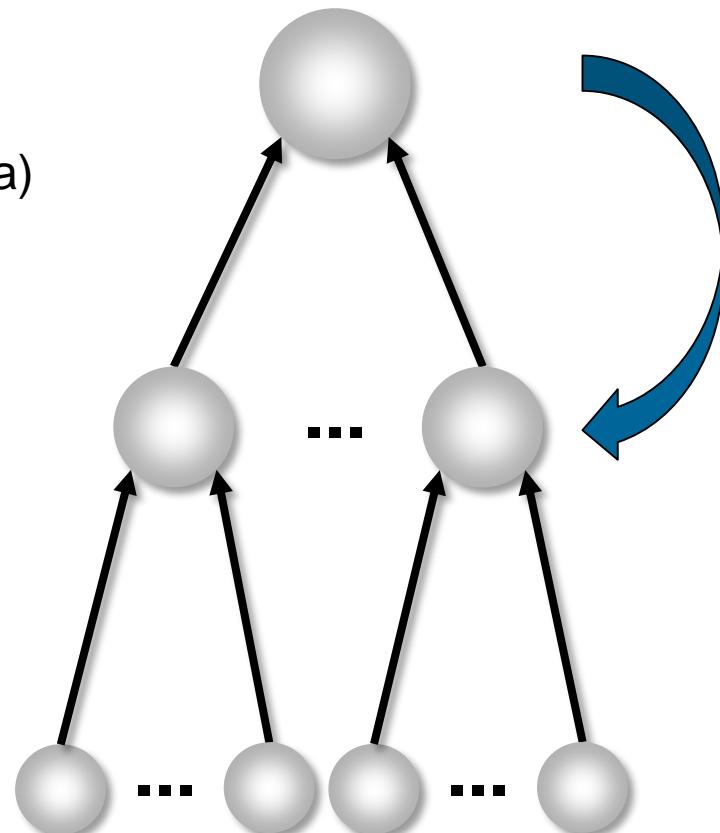


# Computational assays: key clinical questions

**SYMPTOMS**  
(behavioural or physiological data)

**MECHANISMS**  
(computational, physiological)

**CAUSES**  
(aetiology)



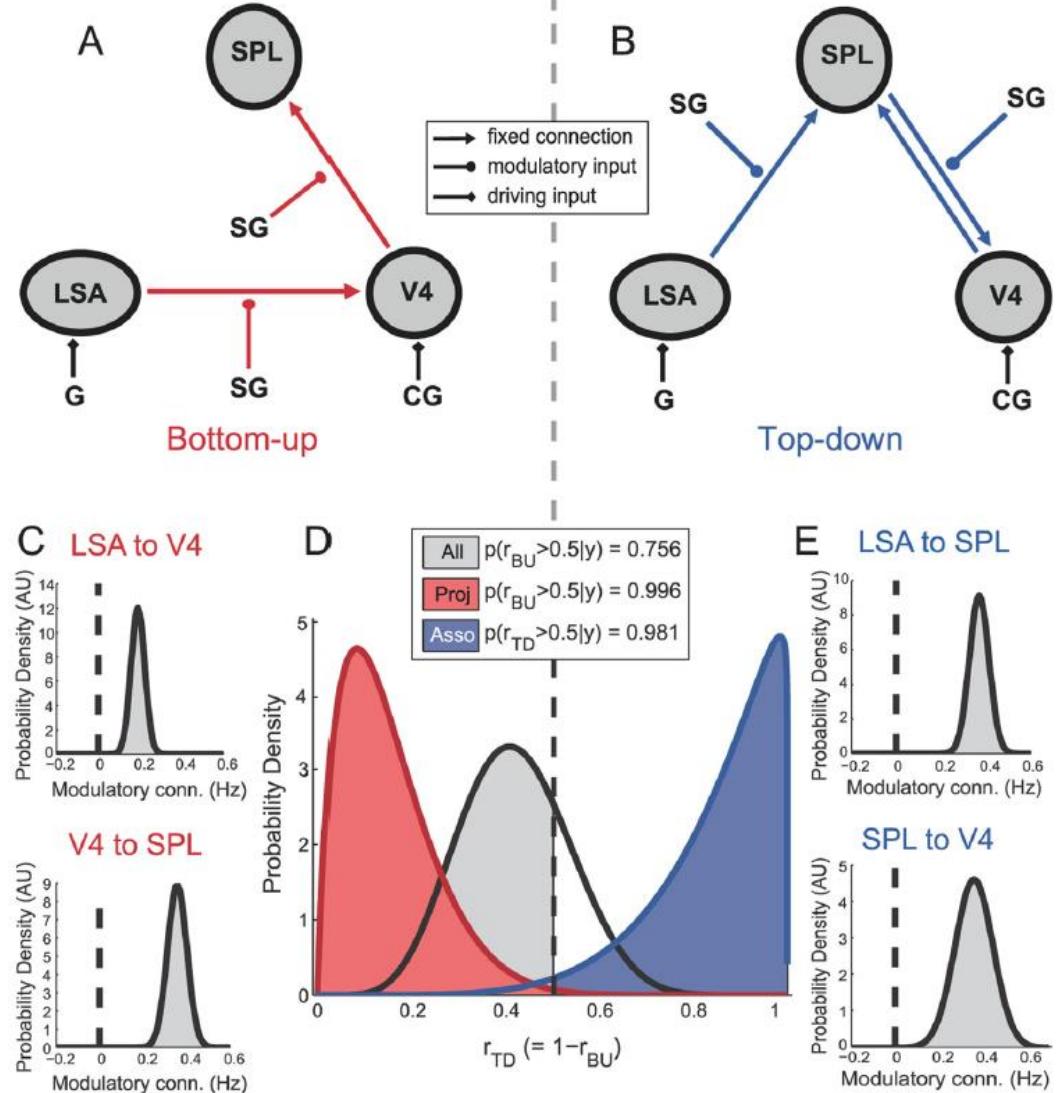
❶ **differential diagnosis** of alternative disease mechanisms

❷ **stratification / subgroup detection** into mechanistically distinct subgroups

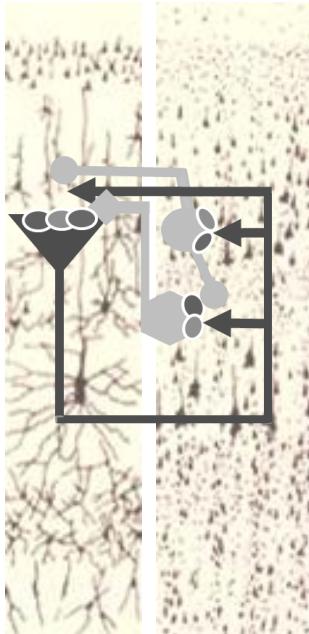
❸ **prediction** of clinical trajectories and treatment response

# Synesthesia

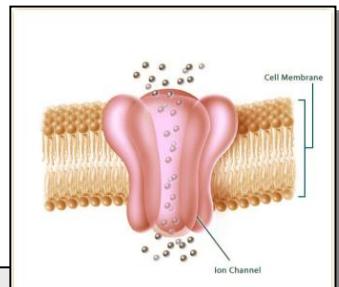
- “projectors” experience color externally colocalized with a presented grapheme
- “associators” report an internally evoked association
- across all subjects: no evidence for either model
- but BMS results map precisely onto projectors (bottom-up mechanisms) and associators (top-down)



# ① Differential diagnosis: inferring synaptic processes



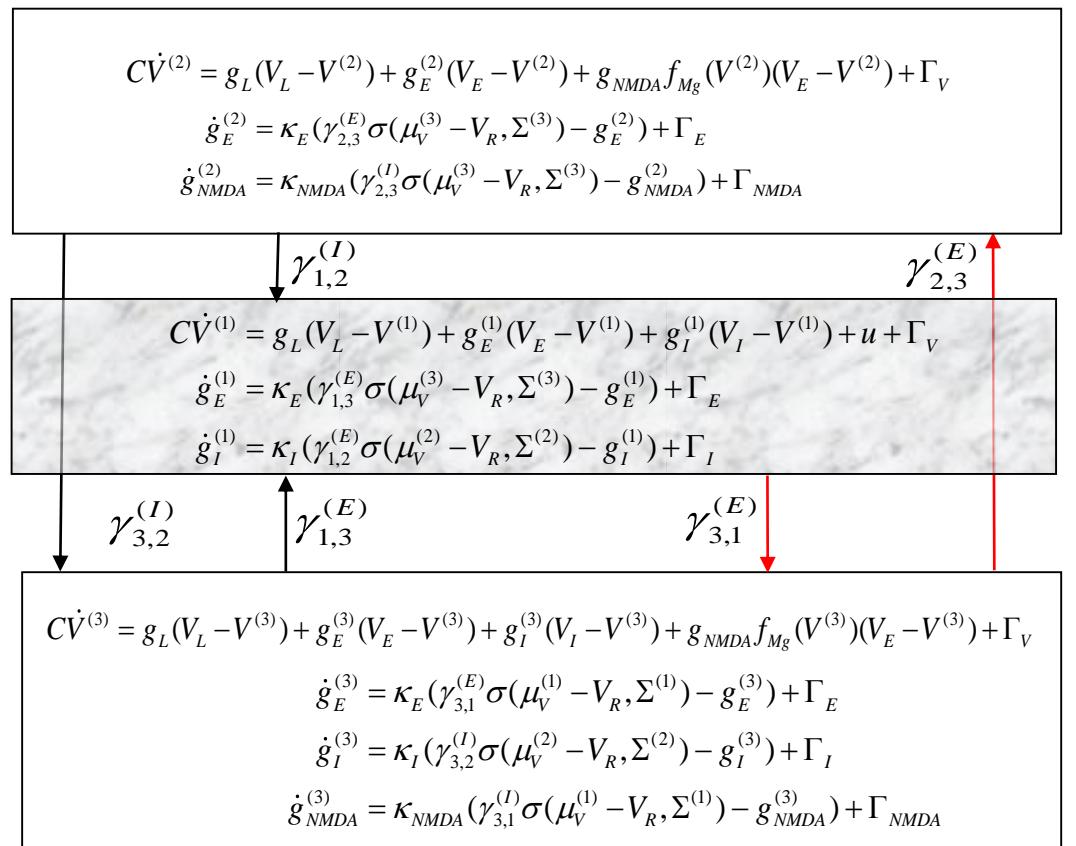
- inhibitory interneurons
  - excitatory interneurons
  - pyramidal cells
- AMPA, NMDA, GABA<sub>A</sub> receptors



$$C\dot{V} = \sum g_i (V_i^0 - V)$$

$$\dot{g}_k = \kappa (u_{ij} - g_k)$$

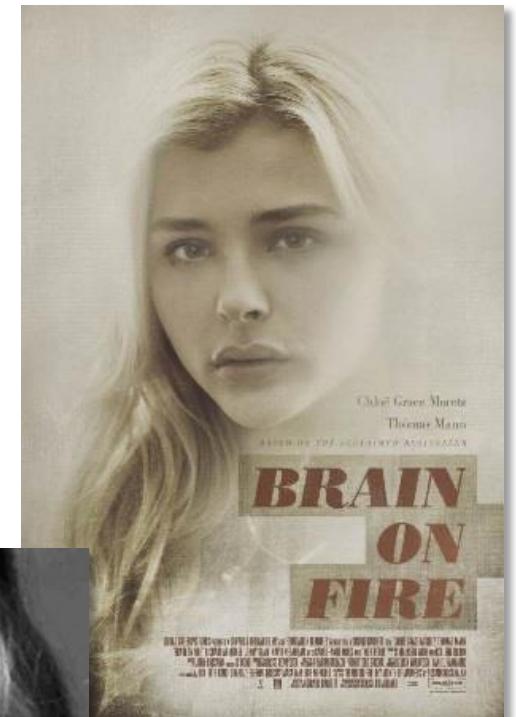
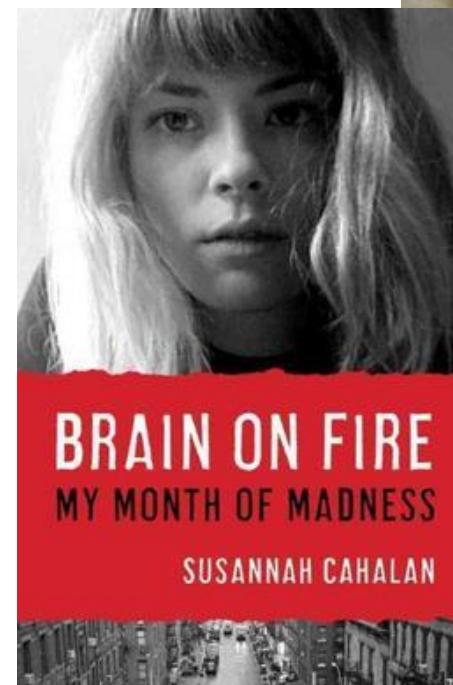
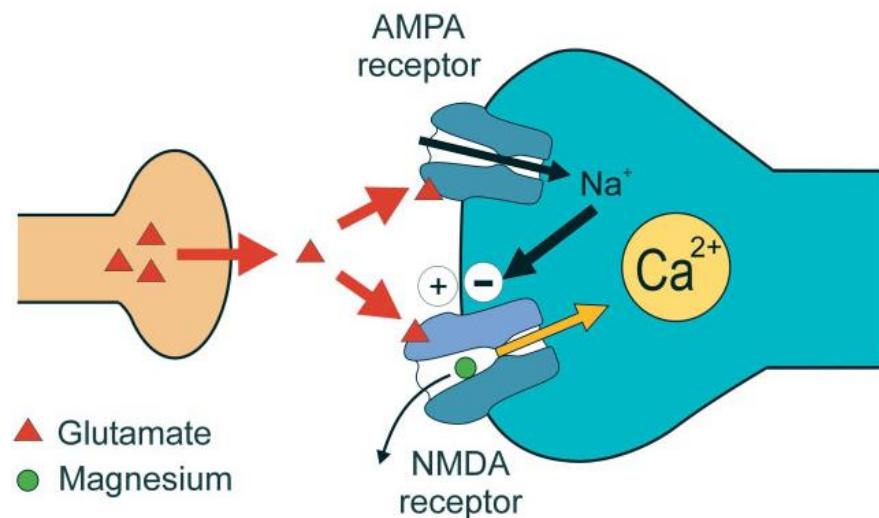
$$u_{ij} = \gamma_{ij} \sigma (\mu_V^{(j)} - V_R, \Sigma^{(j)})$$



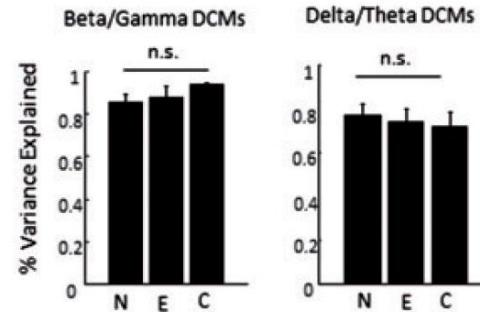
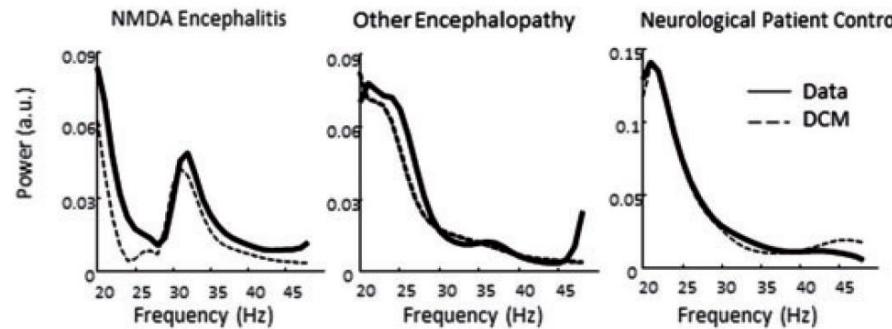
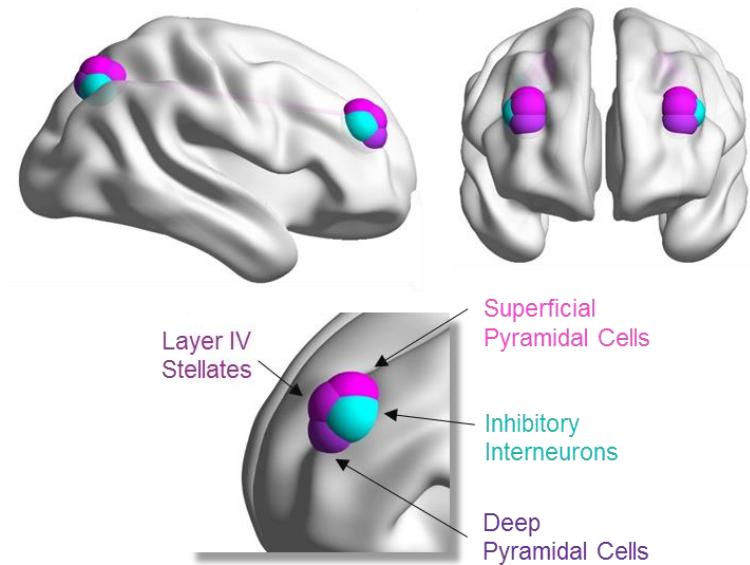
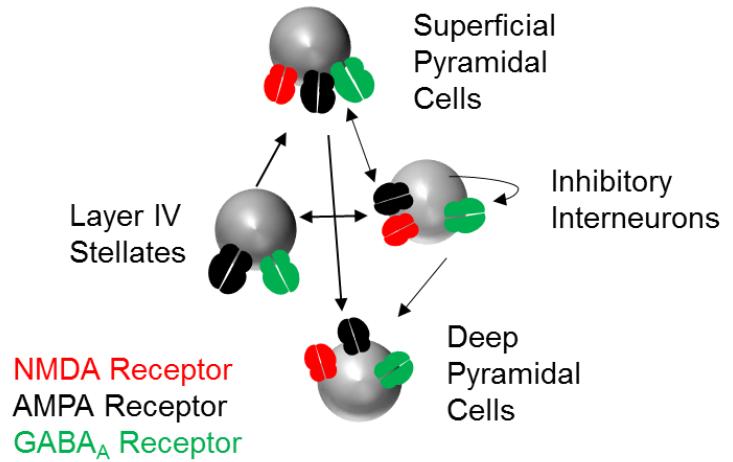
$u_{ij}$  = presynaptic input from ensemble j to i

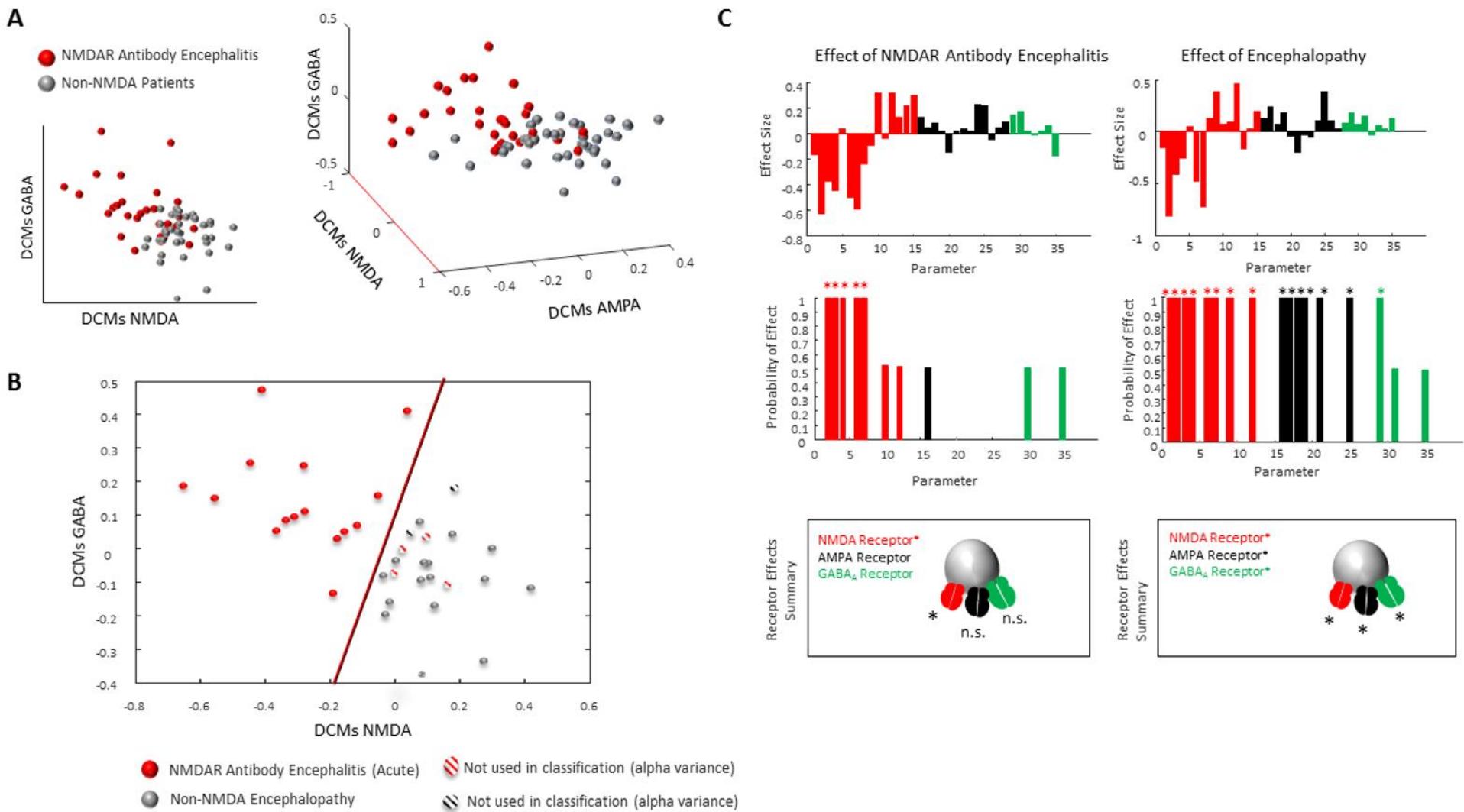
$\sigma$  = CDF of presynaptic depolarization density around threshold potential  $V_R$

# NMDA receptor antibody encephalitis

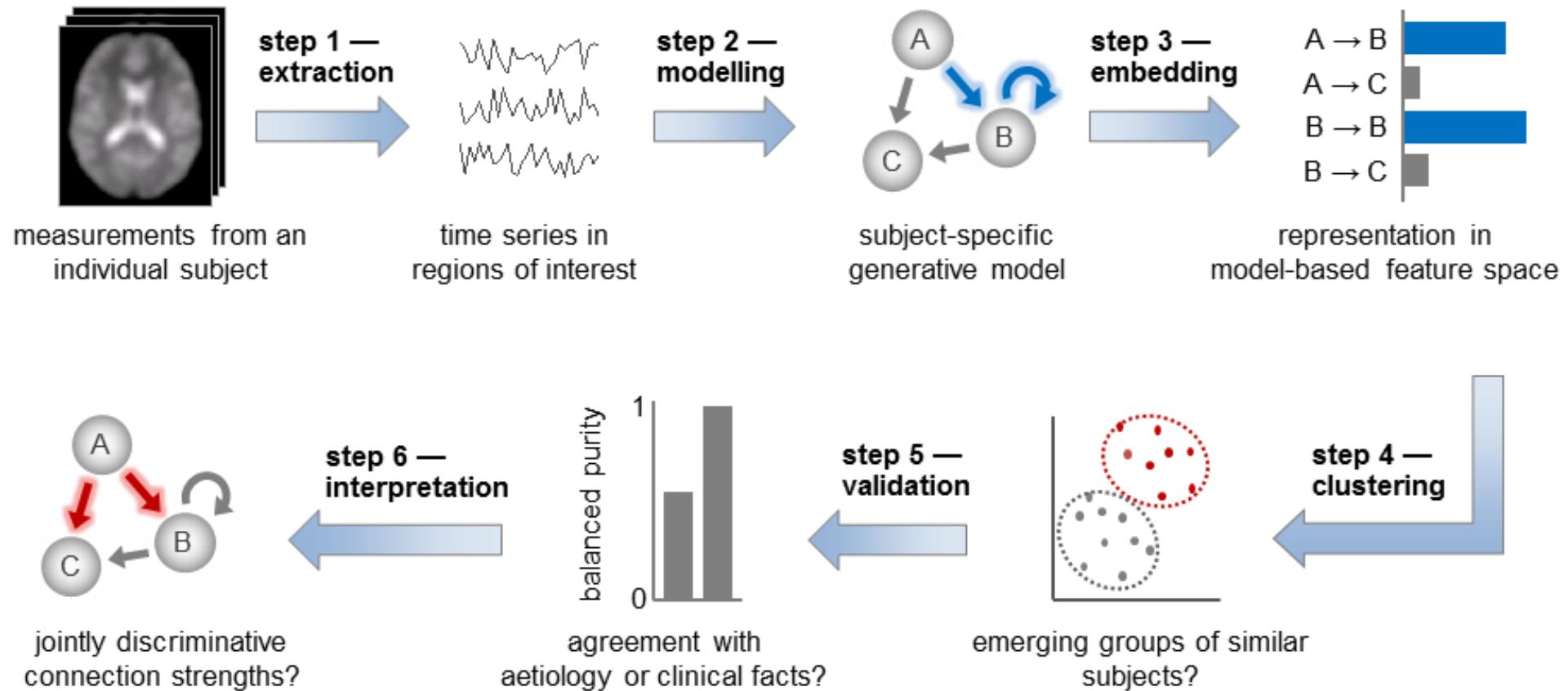


# Assaying NMDA receptors





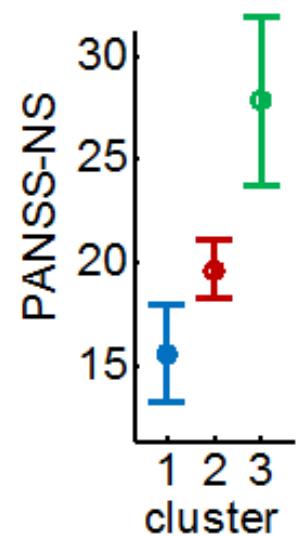
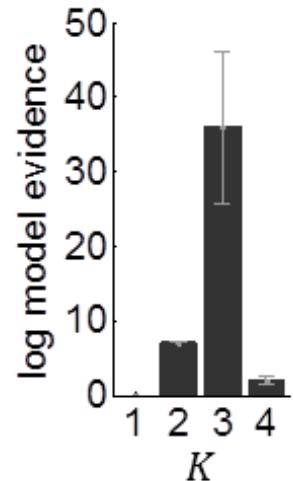
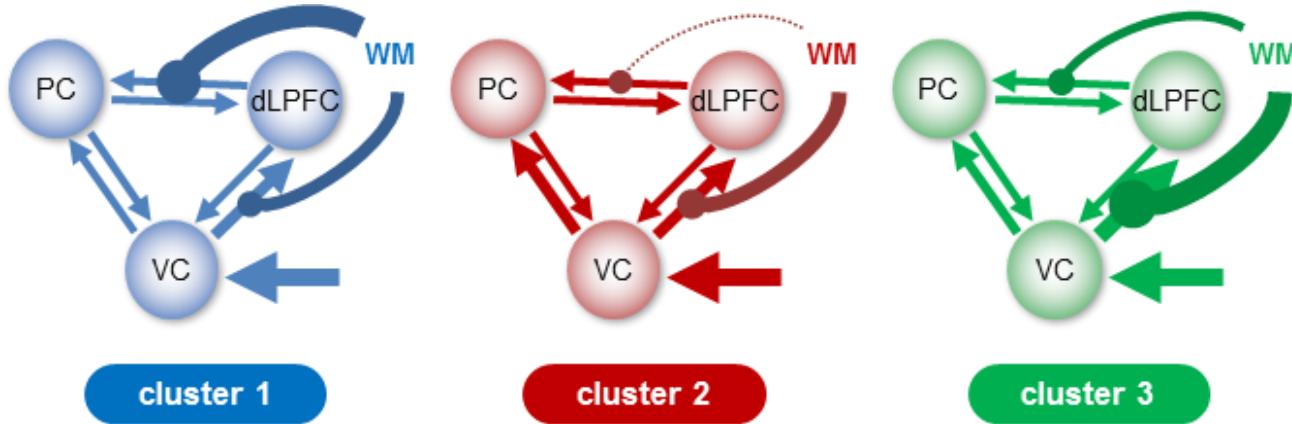
## ② Stratification / subgroup detection: Generative embedding (unsupervised)



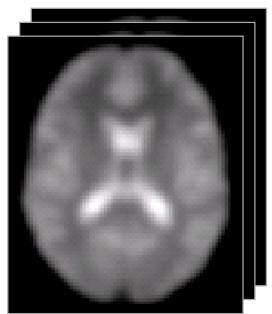
# Detecting subgroups of patients in schizophrenia

Optimal cluster solution

- three distinct subgroups (total N=41)
- subgroups differ ( $p < 0.05$ ) wrt. negative symptoms on the *positive and negative symptom scale* (PANSS)



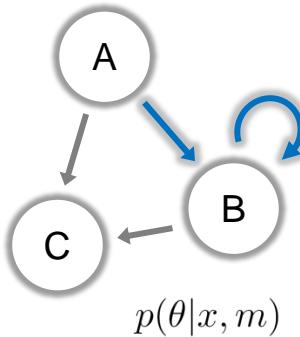
### ③ Prediction: Generative embedding (supervised)



measurements from  
an individual subject

**step 1 —  
model inversion**

$$\mathcal{X} \rightarrow \mathcal{M}_\Theta$$



subject-specific  
inverted generative model

**step 2 —  
kernel construction**

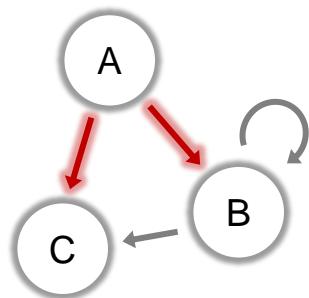
$$\mathcal{M}_\Theta \rightarrow \mathbb{R}^d$$

$$k : \mathbb{R}^d \times \mathbb{R}^d \rightarrow \mathbb{R}$$

$$k_{\mathcal{M}} : \mathcal{M}_\Theta \times \mathcal{M}_\Theta \rightarrow \mathbb{R}$$

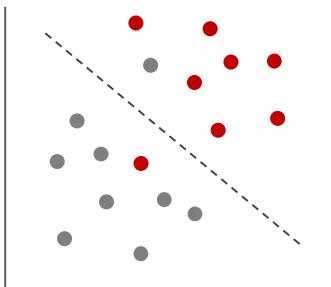


subject representation in the  
generative score space



jointly discriminative  
model parameters

**step 4 —  
interpretation**

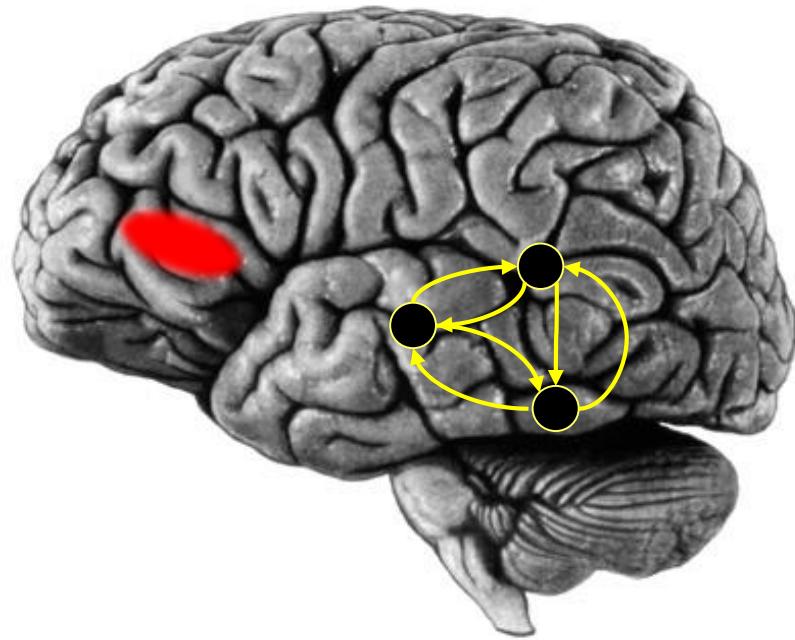


separating hyperplane fitted to  
discriminate between groups

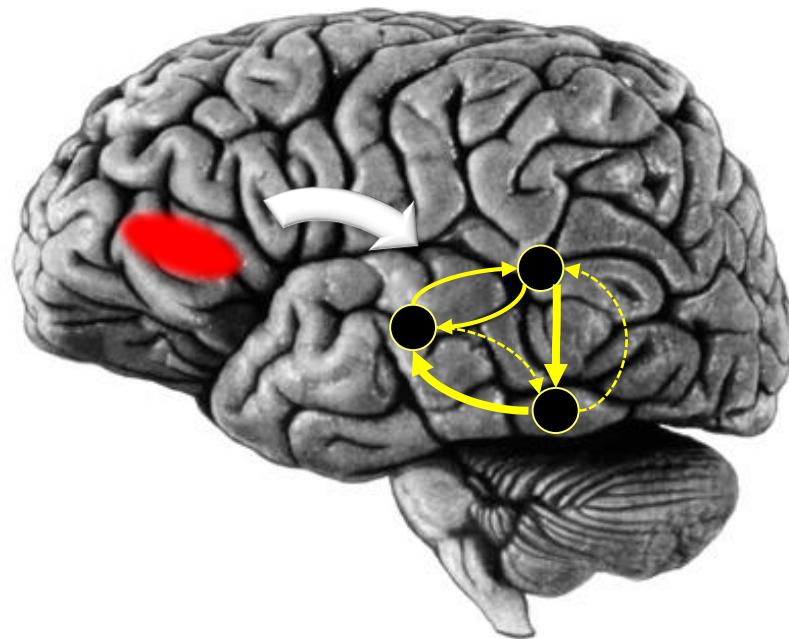
**step 3 —  
support vector classification**

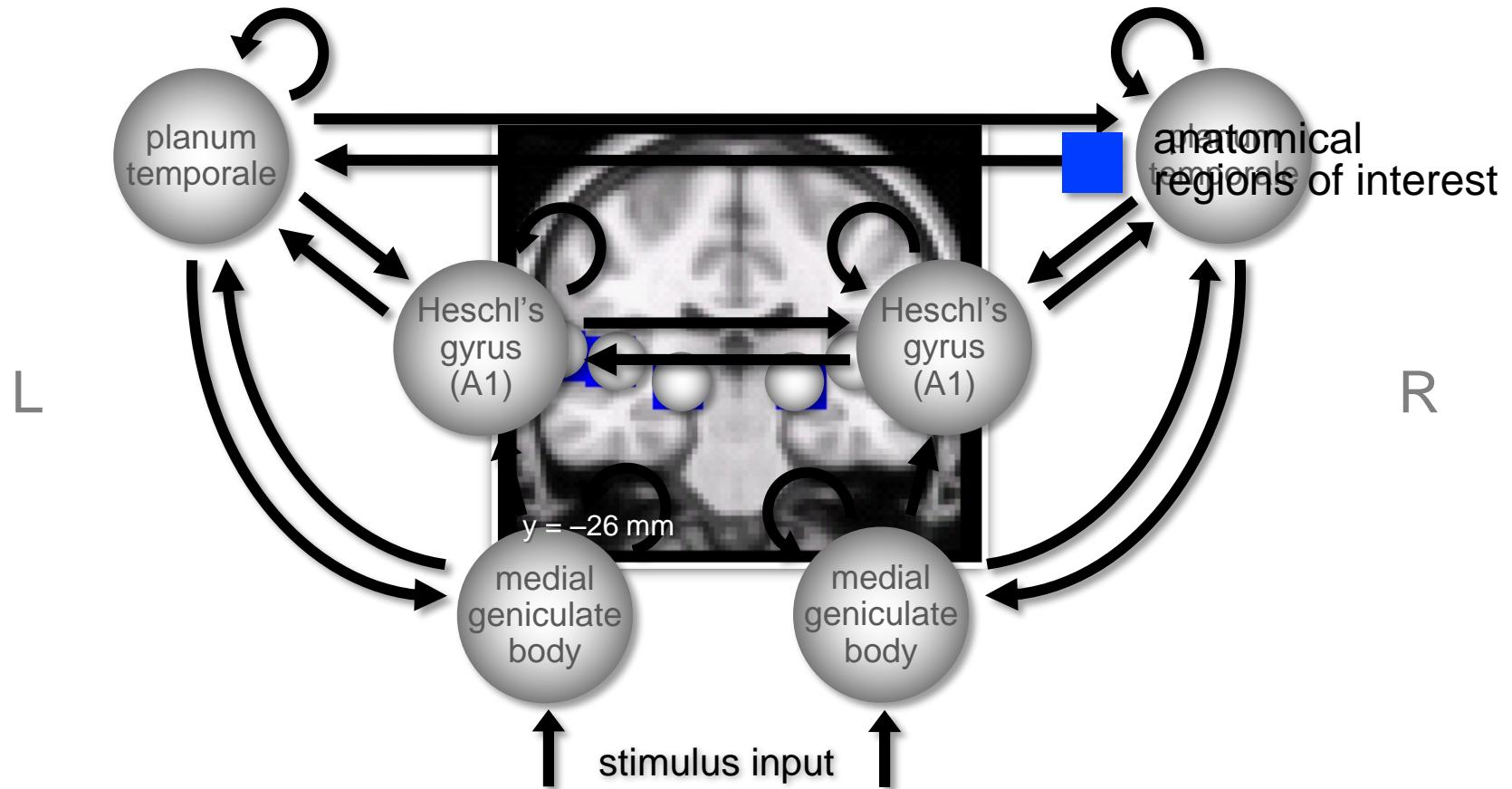
$$\hat{c} = \text{sgn} \left( \sum_i^n \alpha_i^* k(x_i, x) + b^* \right)$$

# Discovering remote or “hidden” brain lesions



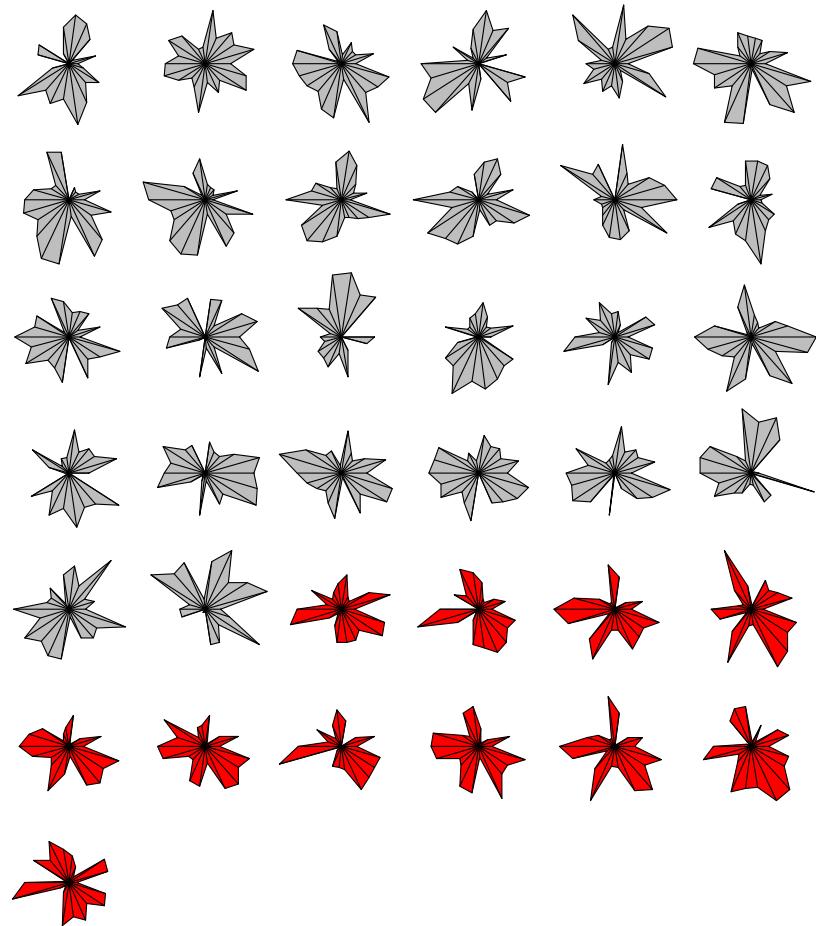
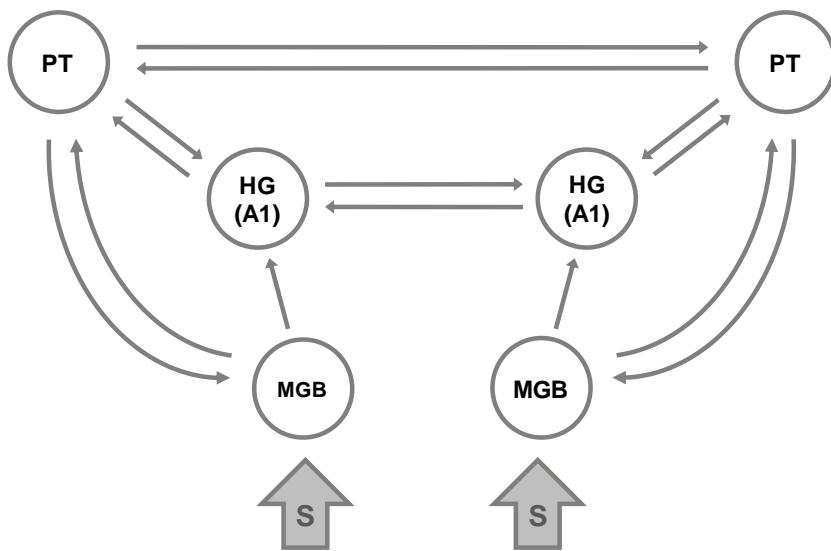
# Discovering remote or “hidden” brain lesions

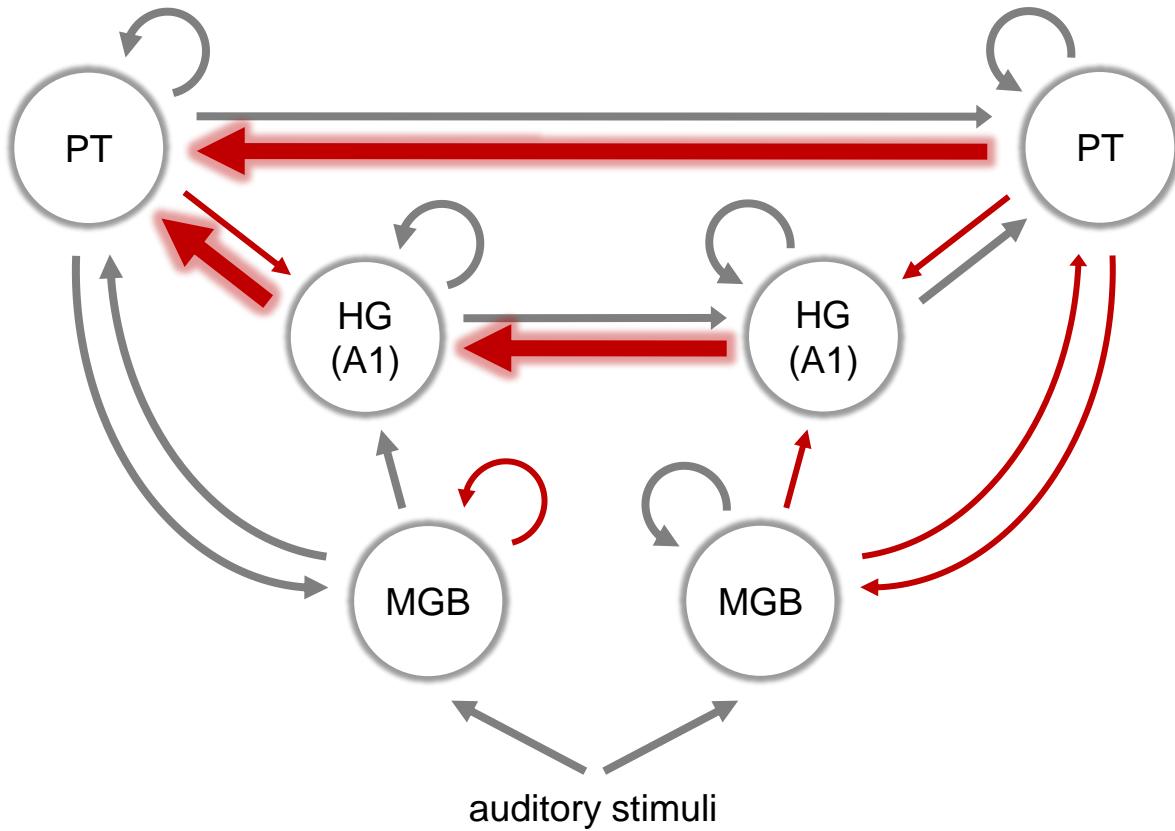




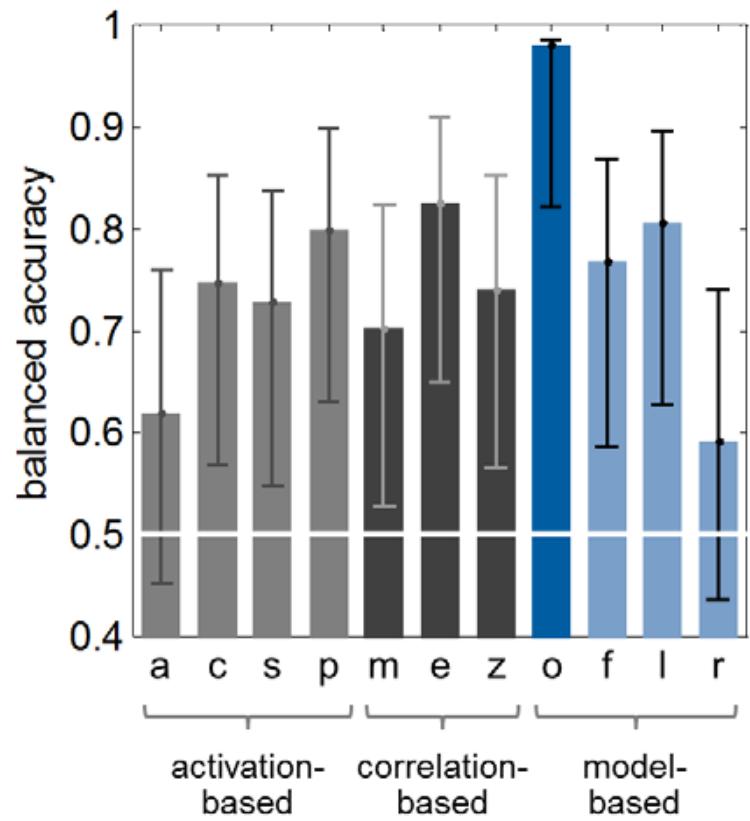
# Connectional fingerprints : aphasic patients (N=11) vs. controls (N=26)

6-region DCM of auditory  
areas during passive speech  
listening

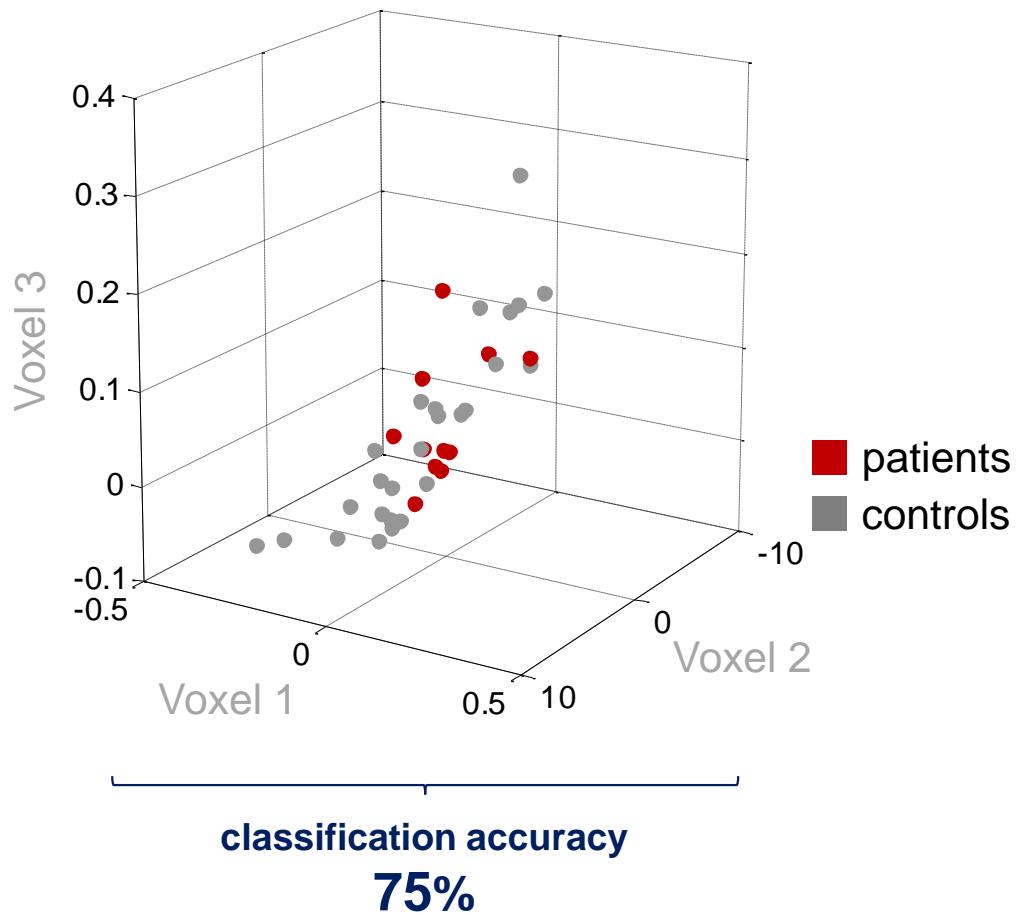




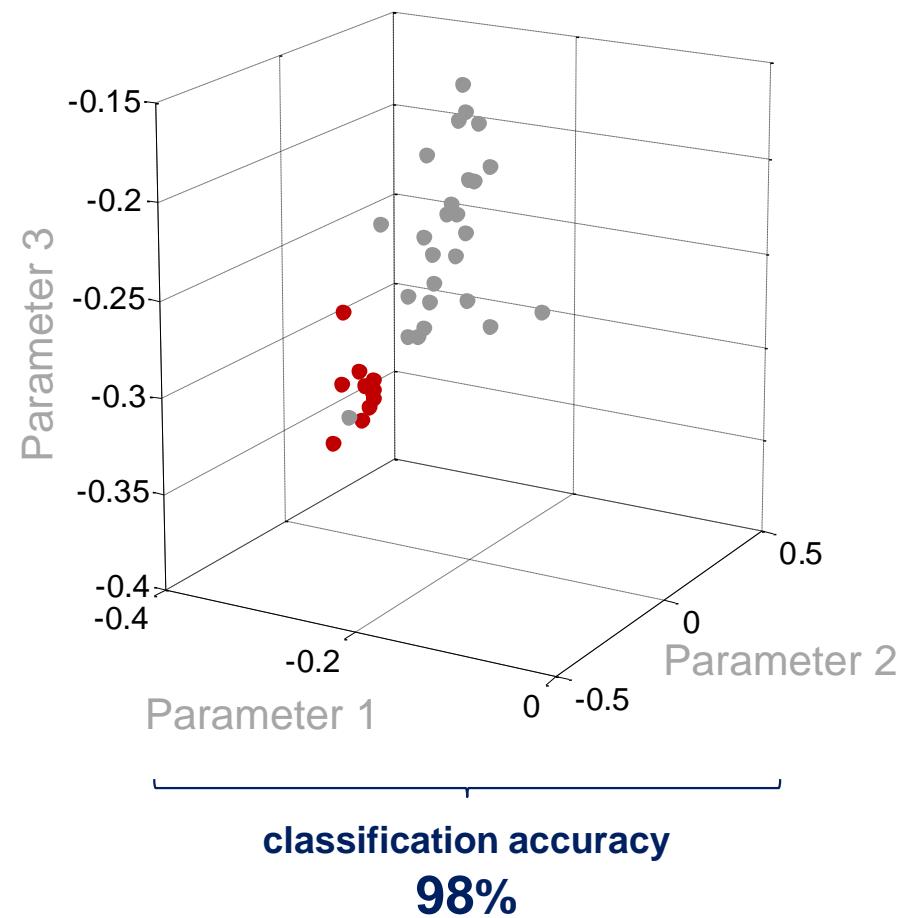
### Classification accuracy



### Voxel-based activity space



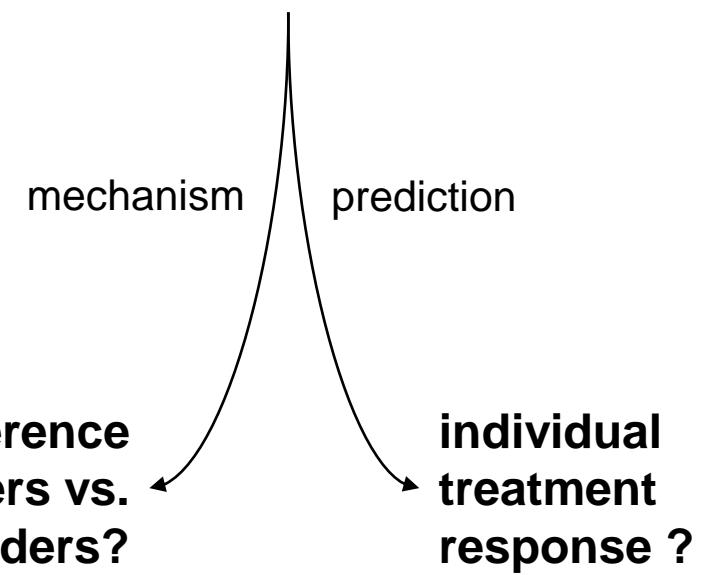
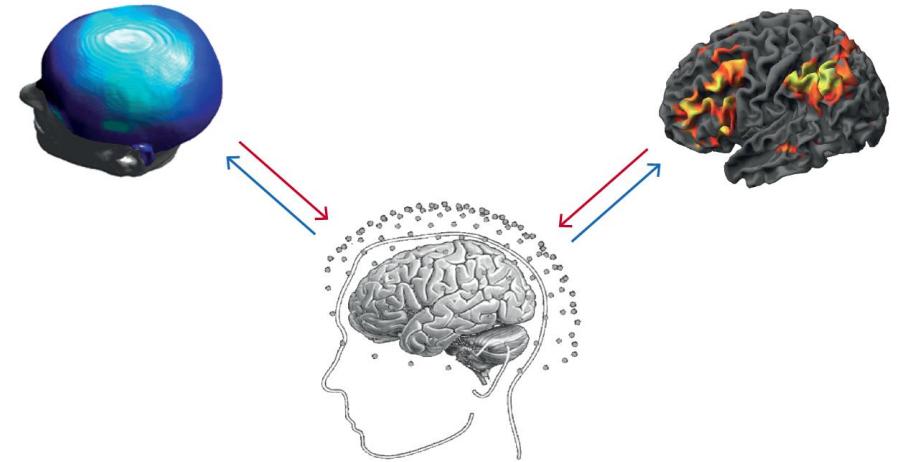
### Model-based parameter space



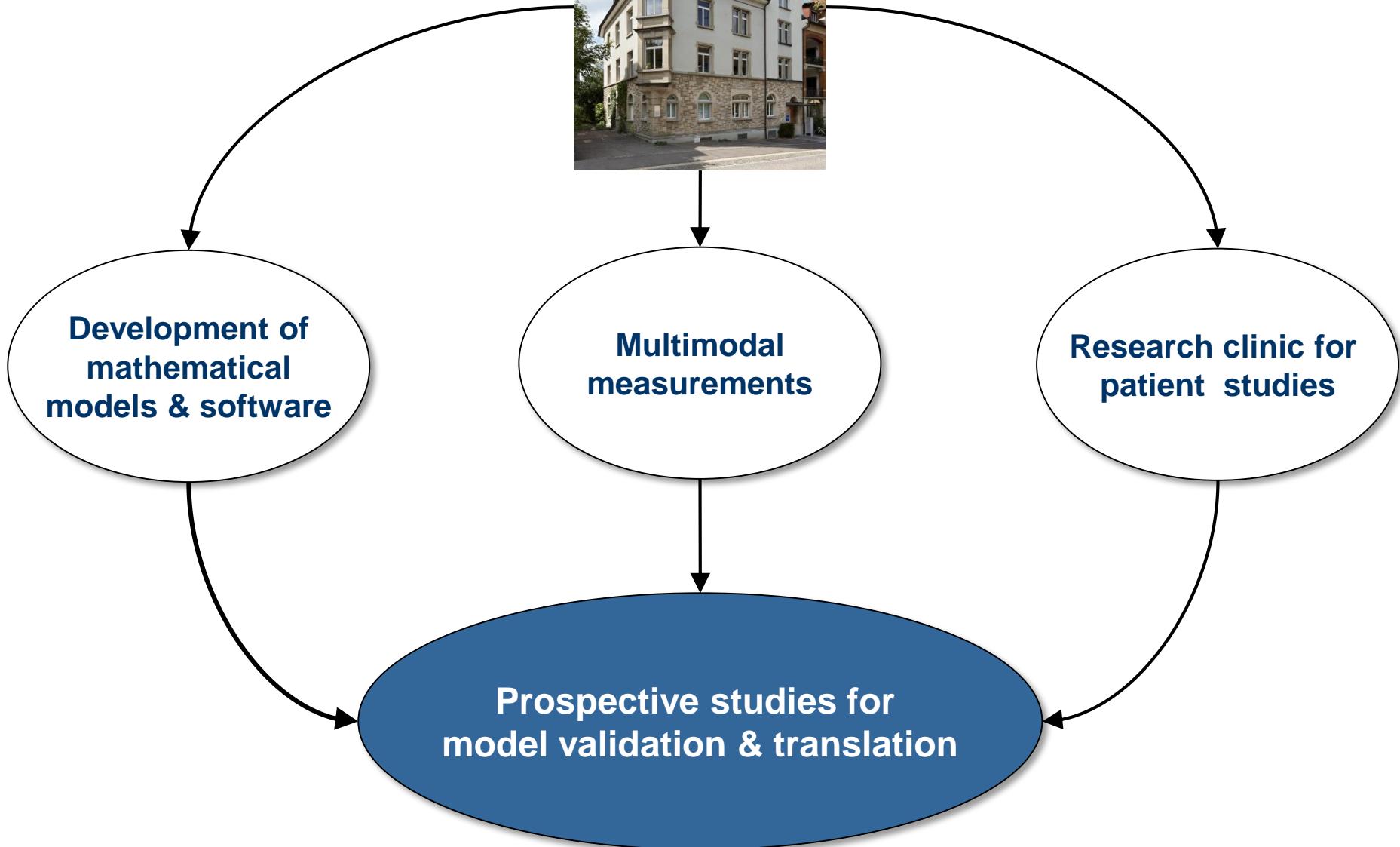
# Prospective patient studies at TNU Zurich

## Ongoing studies:

- schizophrenia (COMPASS)
- depression (AIDA)
- autism (BIASD)
- pathological gambling (CSTCG)
- multiple sclerosis (EEGMS)



Translational Neuromodeling Unit

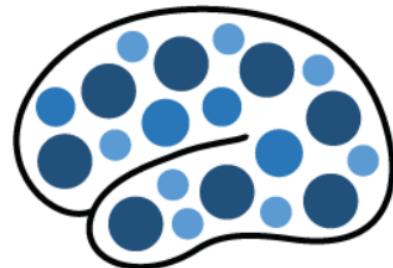


E. Aponte  
N. Araya  
I. Berwian  
H. Brunner  
D. Cole  
A. Diaconescu  
H.C.T. Do  
I. Elbau  
S. Frässle  
S. Grässli  
H. Haker  
J. Heinze  
Q. Huys  
S. Iglesias  
L. Kasper  
S. Maier  
C. Mathys  
S. Paliwal  
G. Paolini  
F. Petzschner  
D. Renz  
L. Rigoux  
M. Schneebeli  
I. Schnürer  
D. Schöbi  
J. Siemerkus  
G. Stefanics  
K.E. Stephan  
S. Tomiello  
F. Vinckier  
L. Weber  
K. Wellstein  
Y. Yao  
N. Zahnd

# The TNU – 16 nationalities, from mathematics to medicine



**Open source software TAPAS**



**TAPAS**

[www.translationalneuromodeling.org/tapas](http://www.translationalneuromodeling.org/tapas)

# Computational Psychiatry Course (CPC) Zurich



[www.translationalneuromodeling.org/cpcourse](http://www.translationalneuromodeling.org/cpcourse)

# Thank you – UZH & ETH partnership

**Medical Faculty  
Faculty of Science**



**Dept. of Information Technology  
& Electrical Engineering**



**Universität  
Zürich<sup>UZH</sup>**

**ETH**

Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# Further reading

- Brodersen KH, Schofield TM, Leff AP, Ong CS, Lomakina EI, Buhmann M, Stephan KE (2011) Generative Embedding for Model-Based Classification of fMRI Data. *PLoS Computational Biology* 7: e1002079.
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- Mathys C, Daunizeau J, Friston KJ, Stephan KE (2011) A Bayesian foundation for individual learning under uncertainty. *Frontiers in Human Neuroscience* 5: 39.
- Stephan KE, Kasper L, Harrison LM, Daunizeau J, den Ouden HEM, Breakspear M, Friston KJ (2008) Nonlinear dynamic causal models for fMRI. *NeuroImage* 42: 649-662.
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- Stephan KE, Iglesias S, Heinze J, Diaconescu AO (2015) Translational Perspectives for Computational Neuroimaging. *Neuron* 87: 716-732.
- Stephan KE, Schlagenhauf F, Huys QJM, Raman S, Aponte EA, Brodersen KH, Rigoux L, Moran RJ, Daunizeau J, Dolan RJ, Friston KJ, Heinz A (2017) Computational Neuroimaging Strategies for Single Patient Predictions. *NeuroImage* 145: 180-199.
- Stephan KE, Siemerkus J, Bischof M, Haker H (2017) Hat Computational Psychiatry Relevanz für die klinische Praxis der Psychiatrie? *Zeitschrift für Psychiatrie, Psychologie und Psychotherapie* 65: 9-19.

# Thank you

[www.tnu.ethz.ch](http://www.tnu.ethz.ch)

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